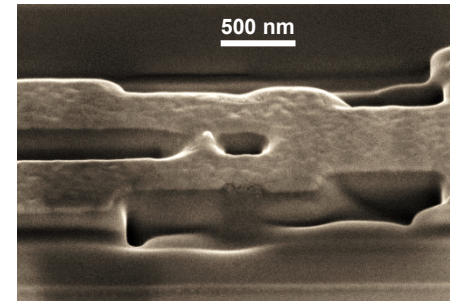
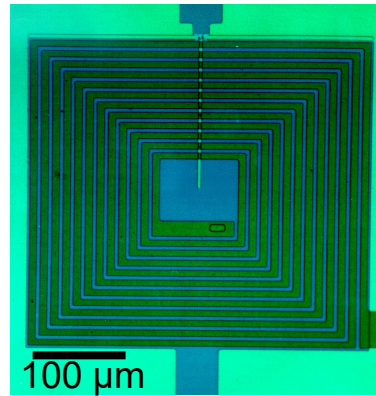
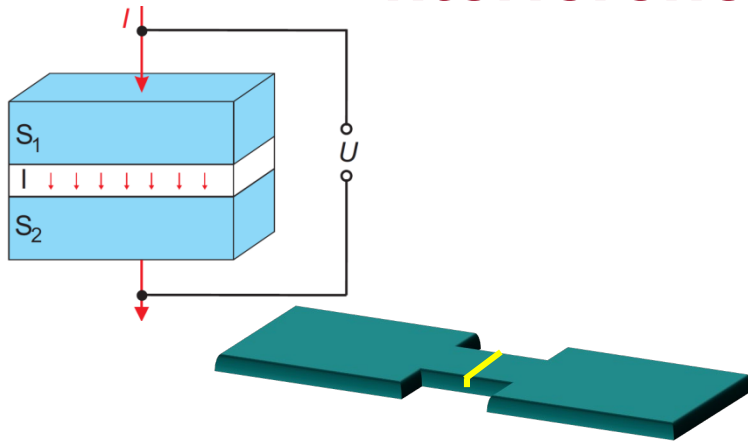


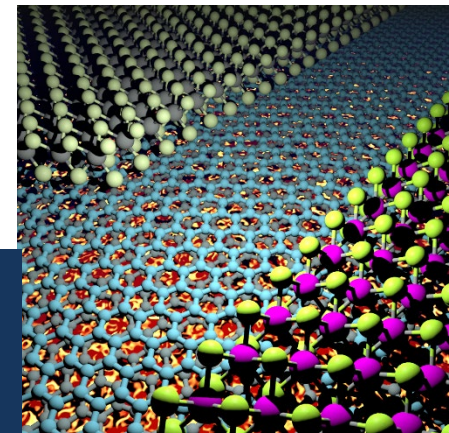


Josephson Junctions & Superconducting Quantum Interference Devices (SQUIDS)



Dieter Koelle

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Center for Light-Matter Interaction, Sensors & Analytics (LISA⁺)*



European School on Superconductivity & Magnetism
in Quantum Materials,
21-25 April 2024, Gandia (Valencia, Spain)

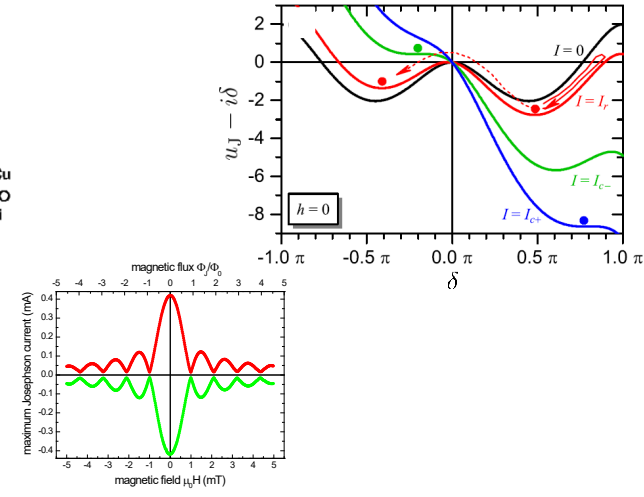
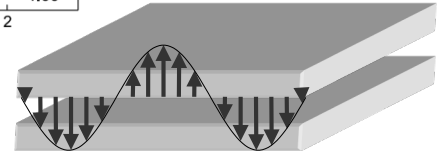
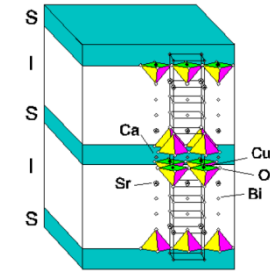
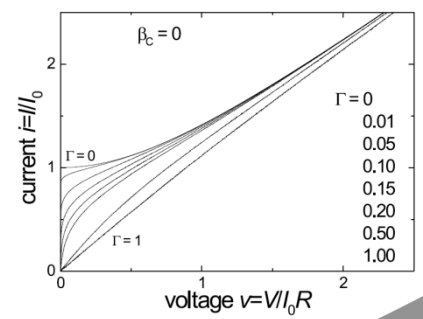
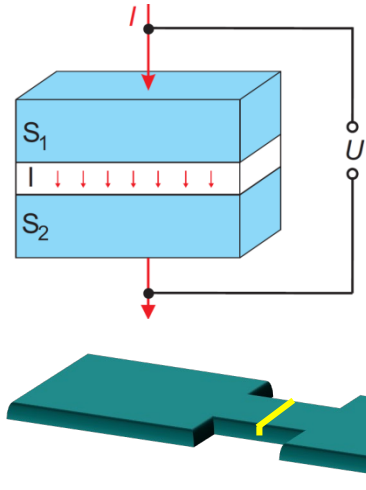


Baden-
Württemberg

Tübingen (population ~90,000)

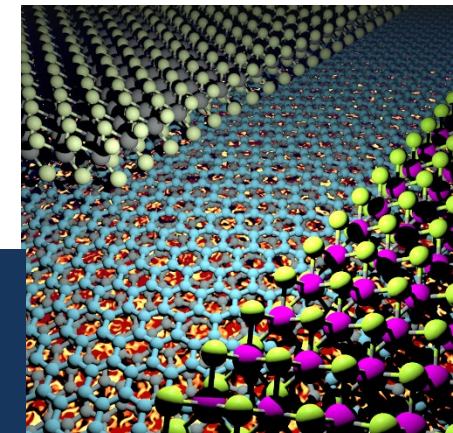


Part 1: Basic Properties of Josephson Junctions



Dieter Koelle

*Physikalisches Institut, Center for Quantum Science (CQ) and
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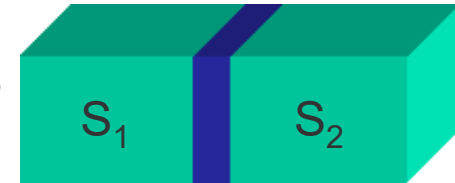


European School on Superconductivity & Magnetism
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Josephson junction (JJ) = two weakly coupled superconductors („weak link“)

quantum mechanical coupling of the superconductor wave functions



→ insights into basic properties of superconductors

e.g. phase-sensitive experiments on the order parameter symmetry of unconventional superconductors, based on interference effects

→ JJ is the key element in superconducting electronics

large variety of devices for many applications, e.g.

- voltage standards,
- SQUID magnetometers,
- radiation detectors,
- qubits,
- ultrafast processors,
- ...



- I. Macroscopic Wave Function**
- II. Josephson Relations & Consequences**
- III. Josephson Junction in a Magnetic Field**
- IV. Resistively & Capacitively Shunted Junction (RCSJ) model**
- V. Fluctuations in Josephson Junctions**
- VI. Classification of JJs – Ground States: 0-, π -, φ -Junctions**

Books:

- A. Barone & G. Paterno, *Physics & Applications of the Josephson Effect*, J. Wiley & Sons (1982)
K.K. Likharev, *Dynamics of Josephson Junctions and Circuits*, Gordon & Breach (1986)
T.P. Orlando, K.A. Delin, *Foundations of Applied Superconductivity*, Addison-Wesley (1991)
W. Buckel, R. Kleiner, *Superconductivity*, Wiley-VCH, 3rd ed. (2016)

Reviews:

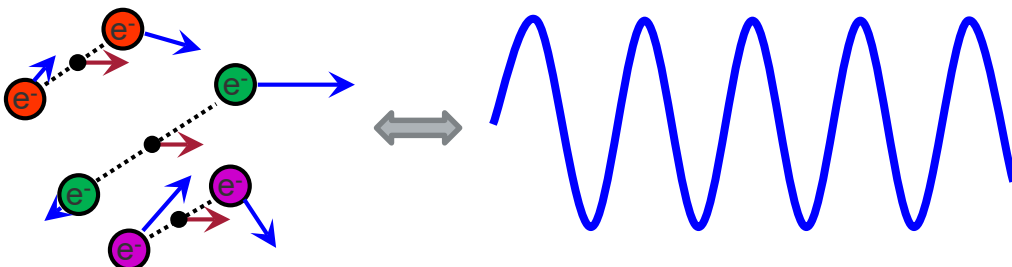
- K.K. Likharev, *Superconducting weak links*, Rev. Mod. Phys. **51**, 101 (1979)
A.A. Golubov, M.Yu. Kupriyanov, E. Il'ichev, *The current phase relation in Josephson junctions*, Rev. Mod. Phys. **76**, 411 (2004)
A.I. Buzdin, *Proximity effects in superconductor-ferromagnet heterostructures*, Rev. Mod. Phys. **77**, 935 (2005)



Macroscopic Wave Function

a single (macroscopic) wave function
describes the state of all Cooper pairs in a superconductor
→ highly correlated, coherent many-particle quantum state

superconducting charge carriers: **Cooper pairs** (mobile electrons correlated in momentum k -space)



macroscopic wave function

$$\Psi = \Psi_0 \cdot e^{i\varphi}$$

phase φ

determined by carrier velocity v_s
& vector potential A

(connected to magnetic field via relation for magnetic induction (flux density) $B = \nabla \times A$)

amplitude $\Psi_0 = \sqrt{n_s}$

n_s : Cooper pair density

$$\hbar \nabla \varphi = m_s \mathbf{v}_s + q_s \mathbf{A}$$

Cooper pair charge $q_s = 2e$
and mass $m_s = 2m_e$



Macroscopic Wave Function

a single (macroscopic) wave function describes the state of all Cooper pairs in a superconductor
 → highly correlated, coherent many-particle quantum state



Cooper pairs
highly correlated
motion

supercurrent density:

$$\mathbf{j}_s = q_s n_s \mathbf{v}_s = \frac{q_s n_s}{m_s} (\hbar \nabla \varphi - q_s \mathbf{A})$$



Macroscopic Wave Function

with the definition of the **gauge-invariant phase gradient**

$$\nabla\phi \equiv \nabla\varphi - \frac{q_s}{\hbar}\mathbf{A} \quad \text{or}$$

$$\nabla\phi \equiv \nabla\varphi - \frac{2\pi}{\Phi_0}\mathbf{A}$$

with $q_s = 2e$
and magnetic
flux quantum
 $\Phi_0 \equiv \frac{h}{2e}$

$$\mathbf{j}_s = \frac{q_s\hbar}{m_s} n_s \nabla\phi$$

i.e.

$$\mathbf{j}_s \propto n_s \nabla\phi$$

integration of $\nabla\phi \equiv \nabla\varphi - \frac{2\pi}{\Phi_0}\mathbf{A} \Rightarrow$ **gauge-invariant phase**

$$\phi(\mathbf{r}) = \varphi(\mathbf{r}) - \frac{2\pi}{\Phi_0} \int_{\mathbf{r}_0}^{\mathbf{r}} \mathbf{A} d\mathbf{r}$$



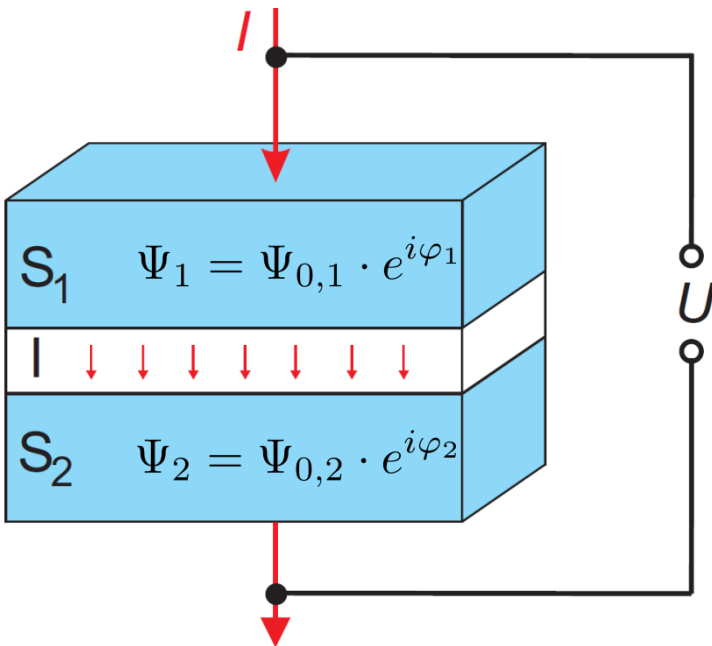
Weakly Coupled Superconductors

consider now two superconductors S_1, S_2 with macroscopic wave functions

$$\Psi_i = \Psi_{0,i} \cdot e^{i\varphi_i} \quad (i = 1, 2)$$

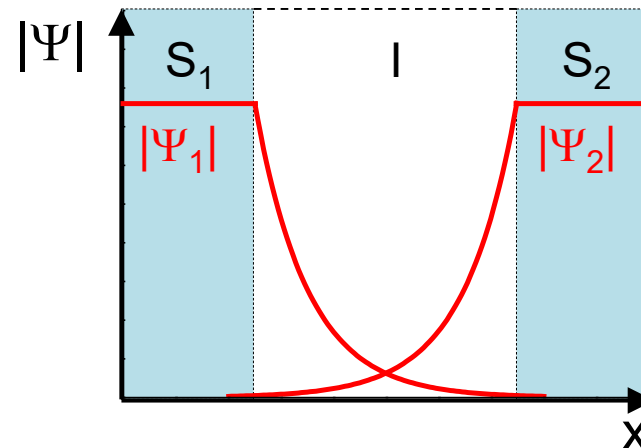
What is the relation between the wave functions Ψ_i (phases φ_i) if the two superconductors are coupled via a weak link?

(e.g. via insulating (I) tunnel barrier in a SIS junction)



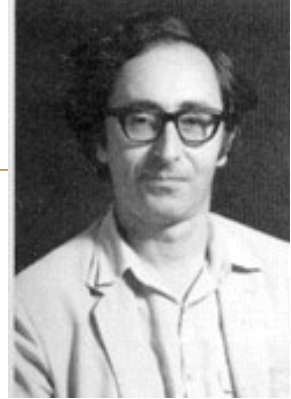
finite coupling \longleftrightarrow overlap of the wave functions Ψ_i

\Rightarrow supercurrent through weak link (across barrier)





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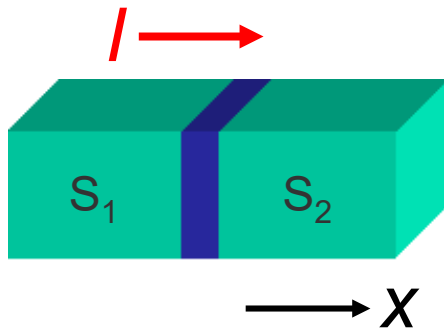


B. D. Josephson
Nobel prize
physics 1973

connect phase of the wave functions
to current I and voltage U across weak link

derivation by solving Schrödinger Eq. for two coupled quantum
mechanical systems \rightarrow Feynman

Alternative: following general arguments by Landau & Lifschitz

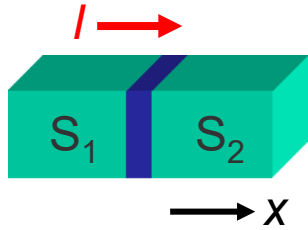


assume

- barrier in (y,z) plane
- constant current density in (y,z)
- constant phase gradient and n_s in the S_1 , S_2 electrodes



Josephson Relations

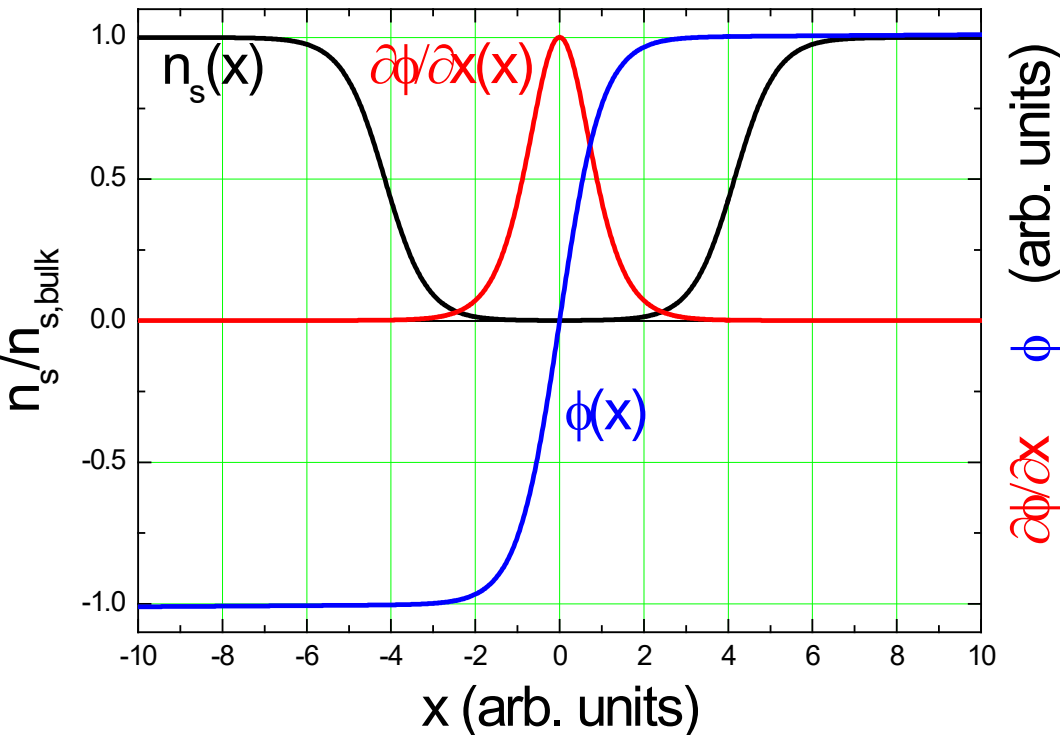


for current I along x and cross section $A_J = \text{const}$

$$j_s(x) = I/A_J = \text{const}$$

i.e., because of $j_s \propto n_s \nabla \phi \Rightarrow n_s(x) \cdot \frac{\partial \phi}{\partial x}(x) = \text{const}$

change in n_s at weak link has to be compensated by change in $\partial\phi/\partial x$



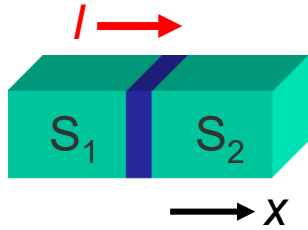
suppressed n_s at barrier
→ dip in $n_s(x)$

→ peak in $\partial\phi/\partial x$

→ $\phi(x)$ makes a step



Josephson Relations



weak link is characterized by a **phase difference**

$$\delta \equiv \phi_2 - \phi_1 = \varphi_2 - \varphi_1 - \frac{2\pi}{\Phi_0} \int_1^2 A_x dx$$

integral across barrier

⇒ analogous to $\mathbf{j}_s \propto \nabla\phi$ in the bulk superconductor,
 j_s across the weak link is a function of the phase difference

$$\mathbf{j}_s = \mathbf{j}_s(\delta)$$



Question: what is the functional dependence of $j_s(\delta)$?

➔ from simple considerations:

- phases ϕ_i in the electrodes are defined modulo 2π
(phase change of $2\pi n$ (n : integer) does not change Ψ_i)

➔ $j_s = 2\pi$ -periodic function of δ

$$j_s = \sum_n j_{0n} \sin n\delta + \sum_n \tilde{j}_{0n} \cos n\delta \quad (n = 1, 2, \dots)$$

- time reversal symmetry: $j_s(\delta) = -j_s(-\delta)$
(both, currents and phases ($\sim \omega t$) change sign upon time reversal)

➔ excludes cosine terms

- rapid convergence of sin-series (e.g. for conventional SIS junctions)

$$j_s = j_0 \sin \delta$$

**1. Josephson relation
(current-phase relation = CPR)**



Josephson Relations

Question: what is the evolution of δ in time ?

take time derivative of gauge-invariant phase difference $\delta = \varphi_2 - \varphi_1 - \frac{2\pi}{\Phi_0} \int_1^2 \mathbf{A} \cdot d\mathbf{l}$

$$\frac{\partial \delta}{\partial t} = \frac{\partial \varphi_2}{\partial t} - \frac{\partial \varphi_1}{\partial t} - \frac{2\pi}{\Phi_0} \frac{\partial}{\partial t} \int_1^2 \mathbf{A} \cdot d\mathbf{l}$$

with energy-phase relation $-\hbar \frac{\partial \varphi}{\partial t} = \frac{\mu_0 \lambda_L^2}{2n_s} \mathbf{j}_s^2 + q_s \tilde{\phi}$ (derived from Schrödinger equation for $n_s = \text{const}$ in the electrodes)

with London penetration depth $\lambda_L = \left(\frac{m_s}{\mu_0 q_s^2 n_s} \right)^{1/2}$

and electrochemical potential $\tilde{\phi}$
related to electric field $\mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t} - \nabla \tilde{\phi}$

$$\frac{\partial \delta}{\partial t} = -\frac{1}{\hbar} \left(\frac{\mu_0 \lambda_L^2}{2n_s} \underbrace{[\mathbf{j}_s^2(2) - \mathbf{j}_s^2(1)]}_{=0 \text{ (current continuity)}} + q_s [\tilde{\phi}_2 - \tilde{\phi}_1] \right) - \frac{2\pi}{\Phi_0} \frac{\partial}{\partial t} \int_1^2 \mathbf{A} \cdot d\mathbf{l}$$

$$\frac{\partial \delta}{\partial t} = \frac{2\pi}{\Phi_0} \int_1^2 \underbrace{\left(-\nabla \tilde{\phi} - \frac{\partial \mathbf{A}}{\partial t} \right)}_{= \text{electric field } \mathbf{E}} \cdot d\mathbf{l} \quad \Rightarrow \quad \frac{\partial \delta}{\partial t} \equiv \boxed{\dot{\delta} = \frac{2\pi}{\Phi_0} U} \quad \begin{array}{l} \text{2. Josephson relation} \\ \text{(voltage-phase relation)} \\ \uparrow \\ \text{voltage across junction} \end{array}$$

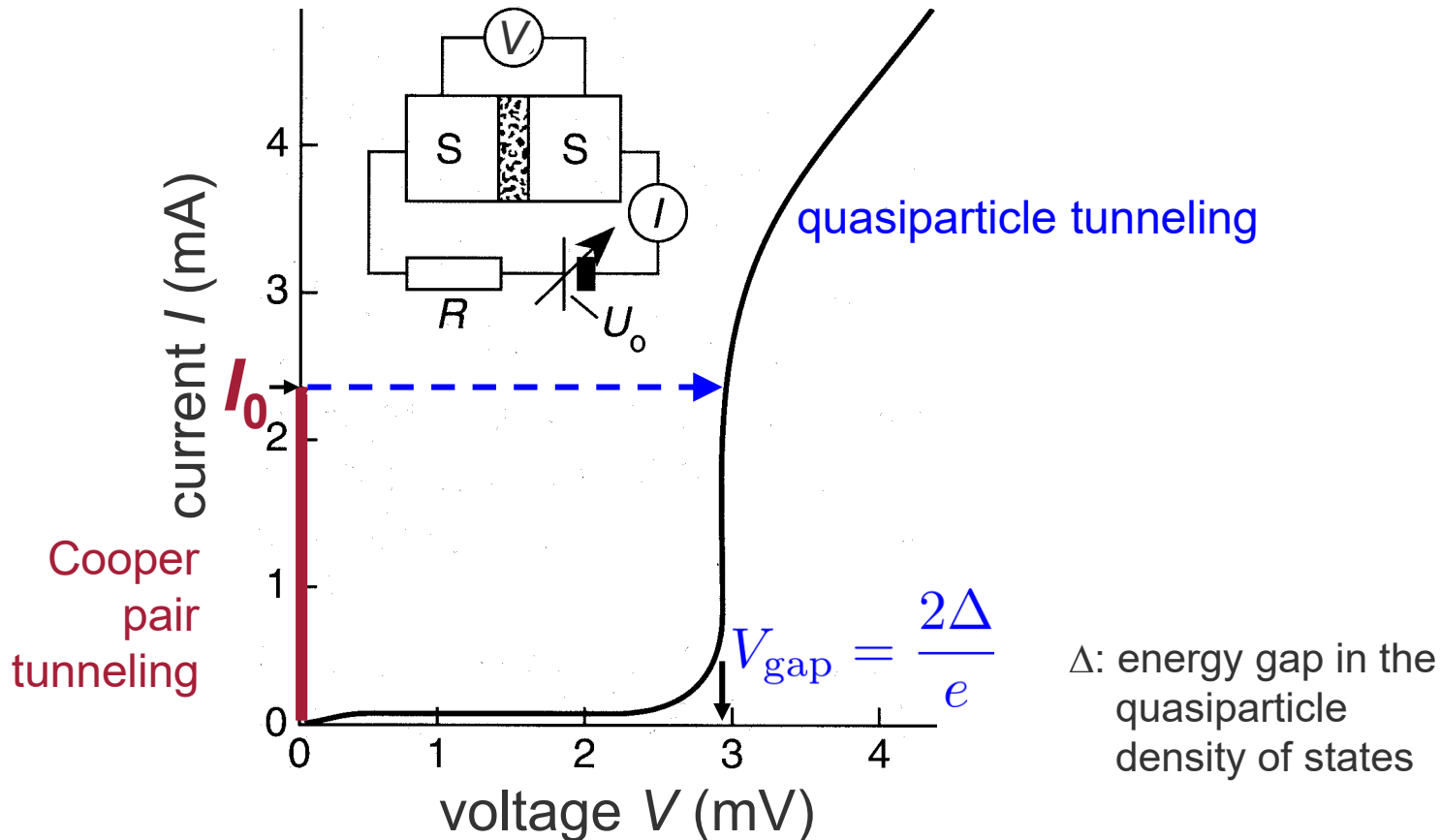


1. zero voltage state (static case) $U = 0 \Rightarrow \delta = const = \delta_0$

$\Rightarrow j_s = j_0 \sin \delta_0 \Rightarrow$ maximum supercurrent density across junction:

$\sin \delta_0 = 1 \Rightarrow j_{s,max} = j_0$ critical current density

$\Rightarrow j_0 \ll j_{c,deparing} \Rightarrow$ „weak link“





2. finite voltage state (dynamic case) $U \neq 0$

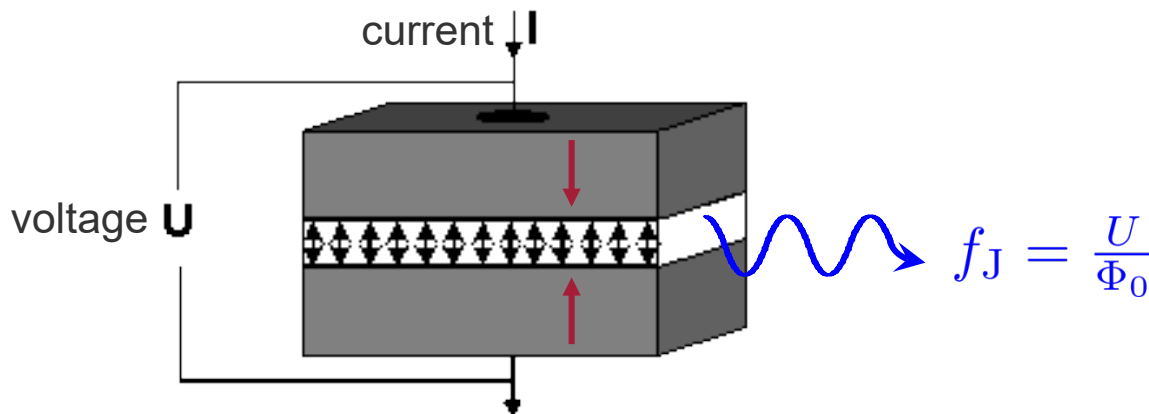
for $U = \text{const}$ integrate 2nd Josephson Eq. $\delta(t) = \delta_0 + 2\pi \frac{U}{\Phi_0} t$

insert into 1st Josephson Eq. $j_s = j_0 \sin\{\delta_0 + 2\pi f_J t\}$

➔ Cooper pair current across junction oscillating with the **Josephson frequency**

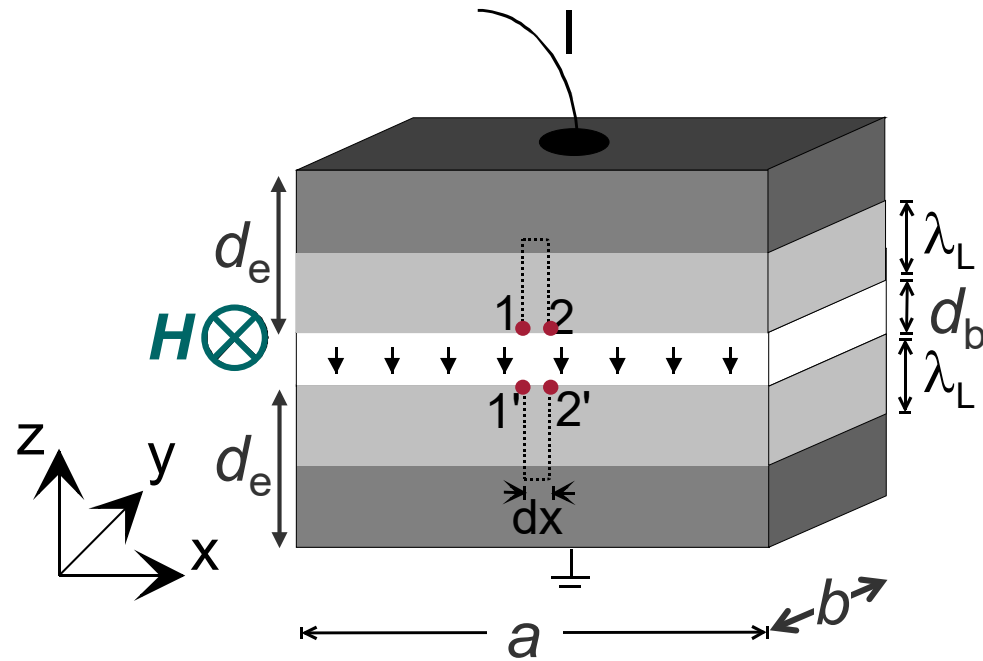
$$f_J \equiv \frac{U}{\Phi_0} \approx 483.6 \frac{\text{GHz}}{\text{mV}} \cdot U$$

➔ **quantum interference of the wave functions across the barrier**





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field H applied in the JJ plane
(rectangular barrier)

magnetic flux density B penetrates into electrodes

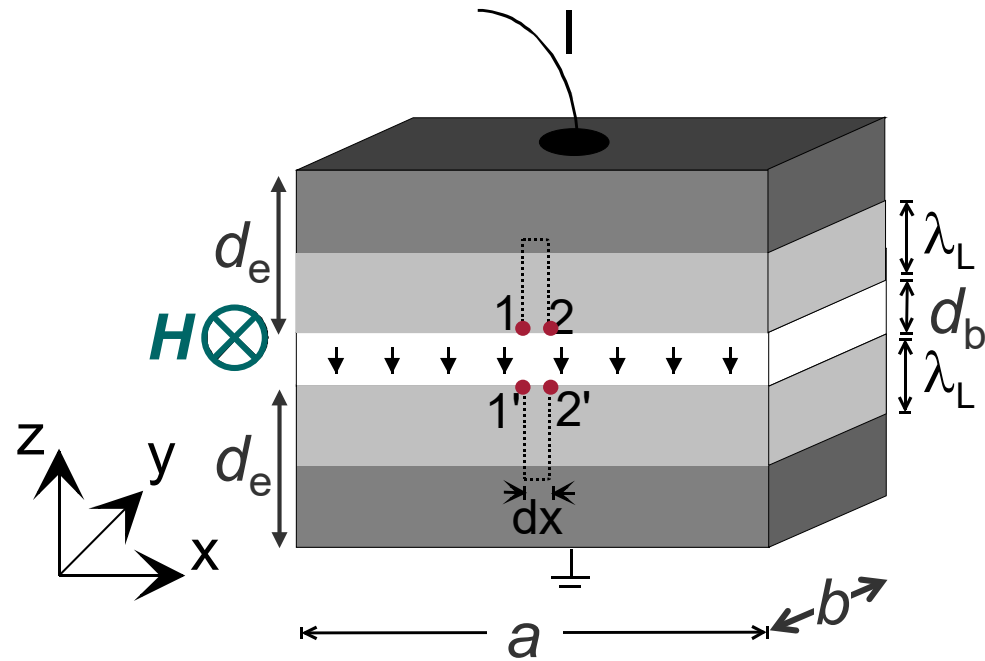
→ effective magnetic thickness:

$$d_{\text{eff}} \approx d_b + 2\lambda_L$$

for identical electrode materials
with thickness $d_e \gtrsim 2\lambda_L$

for different electrode materials with thickness $d_{e,i}$:

$$d_{\text{eff}} \equiv d_b + \lambda_{L,1} \tanh \frac{d_{e,1}}{\lambda_{L,1}} + \lambda_{L,2} \tanh \frac{d_{e,2}}{\lambda_{L,2}}$$



relation between B and δ ?

$$\mathbf{B} = \nabla \times \mathbf{A}$$

$$\delta = \varphi_2 - \varphi_1 - \frac{2\pi}{\Phi_0} \int_1^2 \mathbf{A} \cdot d\mathbf{l}$$

$$\text{from } \mathbf{j}_s = \frac{q_s n_s}{m_s} (\hbar \nabla \varphi - q_s \mathbf{A})$$

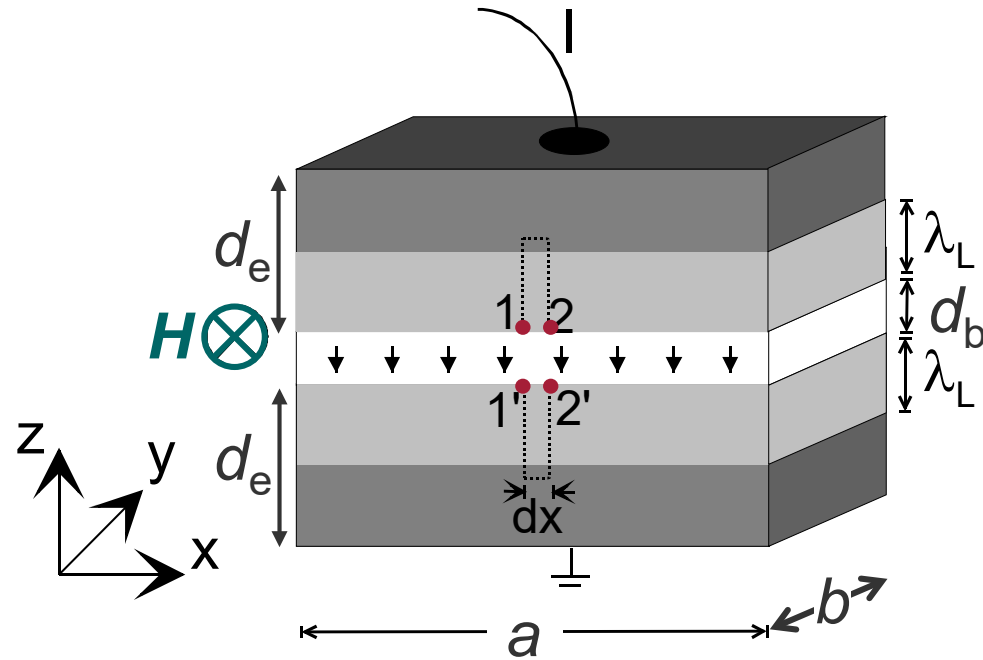
$$\nabla \varphi = \frac{2\pi}{\Phi_0} (\mu_0 \lambda_L^2 \mathbf{j}_s + \mathbf{A})$$

$$\text{with } \mu_0 \lambda_L^2 = \frac{m_s}{q_s^2 n_s}$$

integrate $\nabla \varphi$ along dotted lines in the two electrodes

$$\text{path } 2 \rightarrow 1: \quad \varphi(1) - \varphi(2) = \frac{2\pi}{\Phi_0} \mu_0 \lambda_L^2 \int_2^1 \mathbf{j}_s \cdot d\mathbf{l} + \frac{2\pi}{\Phi_0} \int_2^1 \mathbf{A} \cdot d\mathbf{l}$$

$$\text{path } 1' \rightarrow 2': \quad \varphi(2') - \varphi(1') = \frac{2\pi}{\Phi_0} \mu_0 \lambda_L^2 \int_{1'}^{2'} \mathbf{j}_s \cdot d\mathbf{l} + \frac{2\pi}{\Phi_0} \int_{1'}^{2'} \mathbf{A} \cdot d\mathbf{l}$$



sum up both Eqs. and add
on both sides integrals across barrier

$$\frac{2\pi}{\Phi_0} \int_1^{1'} \mathbf{A} \, dl + \frac{2\pi}{\Phi_0} \int_{2'}^2 \mathbf{A} \, dl$$

magnetic flux through integration path

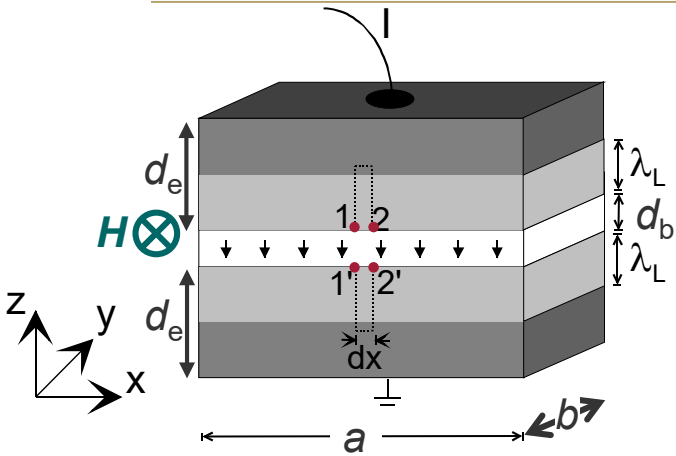
$$\Phi = B d_{\text{eff}} dx$$

$$\overbrace{\varphi(2') - \varphi(2) - \frac{2\pi}{\Phi_0} \int_2^{2'} \mathbf{A} \, dl}^{\delta(x + dx)} - \left(\overbrace{\varphi(1') - \varphi(1) - \frac{2\pi}{\Phi_0} \int_1^{1'} \mathbf{A} \, dl}^{\delta(x)} \right) = \frac{2\pi}{\Phi_0} \oint \mathbf{A} \, dl + \frac{2\pi}{\Phi_0} \mu_0 \lambda_L^2 \left(\underbrace{\int_2^1 \mathbf{j}_s \, dl + \int_{1'}^{2'} \mathbf{j}_s \, dl}_{\approx 0} \right)$$

➔ magnetic field induces a gradient of δ along the JJ

$$\frac{\partial \delta}{\partial x} = \frac{2\pi}{\Phi_0} B d_{\text{eff}}$$

for thick enough electrodes
in the Meissner state



General case: applied field \mathbf{H} can be screened by supercurrents flowing across the JJ

with Ampere's law $\nabla \times \mathbf{B} = \mu_0 \mathbf{j}$

for our geometry $\mathbf{B} = B_y \hat{e}_y$: $\frac{\partial B_y(x)}{\partial x} = \mu_0 j_z(x)$

combined with $\frac{\partial \delta}{\partial x} = \frac{2\pi}{\Phi_0} B_y d_{\text{eff}}$ \Rightarrow $\frac{\partial^2 \delta}{\partial x^2} = \frac{2\pi}{\Phi_0} d_{\text{eff}} \frac{\partial B_y}{\partial x} = \frac{1}{\lambda_J^2} \frac{j_z(x)}{j_0} = \frac{1}{\lambda_J^2} \sin \delta(x)$

Ferrel-Prange Eq.

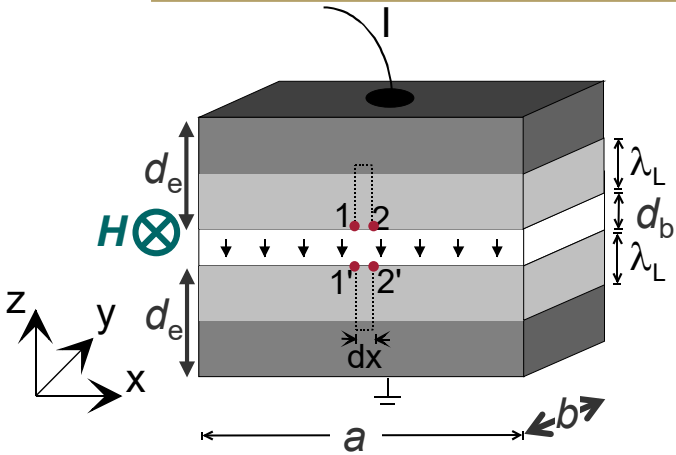
with the Josephson length $\lambda_J \equiv \left(\frac{\Phi_0}{2\pi \mu_0 d_{\text{eff}} j_0} \right)^{1/2}$

for small applied fields: $\frac{\partial^2 \delta}{\partial x^2} = \frac{1}{\lambda_J^2} \delta(x) \Rightarrow \delta(x) = \delta(0) e^{-\frac{x}{\lambda_J}}$
 $\left(\frac{\partial \delta}{\partial x} \ll \frac{1}{\lambda_J} \right) \Rightarrow B_y(x) = B_y(0) e^{-\frac{x}{\lambda_J}}$

λ_J is the characteristic length over which a JJ can screen external magnetic fields (similar to λ_L in a bulk superconductor)



Short JJ in a Magnetic Field



„short junction“ limit:

size of JJ along direction $\perp H$

$$a \lesssim 4\lambda_J$$

→ magnetic field penetrates JJ homogeneously along the barrier

$$B(x) = \text{const}$$

→ magnetic flux in the JJ:

$$\Phi_J = B d_{\text{eff}} a$$

integration of $\frac{\partial \delta}{\partial x} = \frac{2\pi}{\Phi_0} B d_{\text{eff}}$ along x



$$\delta(x) = \delta_0 + \frac{2\pi}{\Phi_0} B d_{\text{eff}} x$$

$\delta(x)$ grows linearly along barrier
(slope determined by $B = \mu_0 H$)



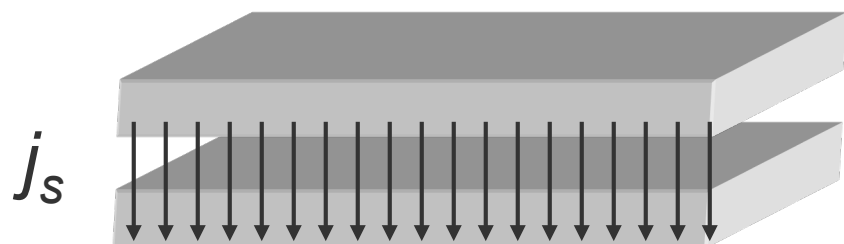
Short JJ in a Magnetic Field

$\delta(x) = \delta_0 + \frac{2\pi}{\Phi_0} B d_{\text{eff}} x$ inserted into 1st Josephson relation:

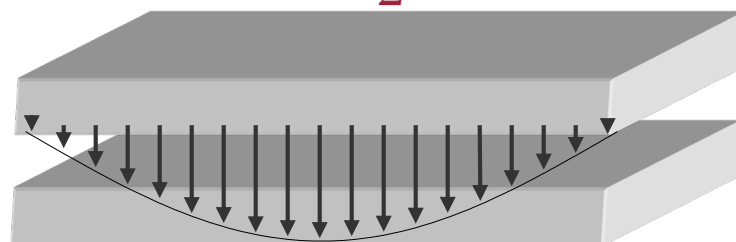
$$j_s(x) = j_0 \sin \left\{ \delta(0) + \frac{2\pi}{\Phi_0} B d_{\text{eff}} \cdot x \right\}$$

→ $j_s(x)$ oscillates with a wavelength $= \frac{\Phi_0}{B d_{\text{eff}}} = a \frac{\Phi_0}{\Phi_J}$

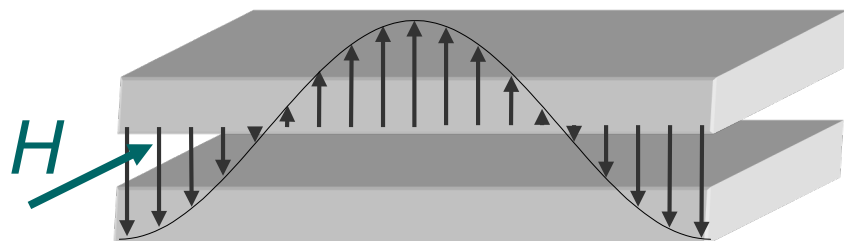
$$\Phi_J = 0$$



$$\Phi_J = \frac{1}{2} \Phi_0$$

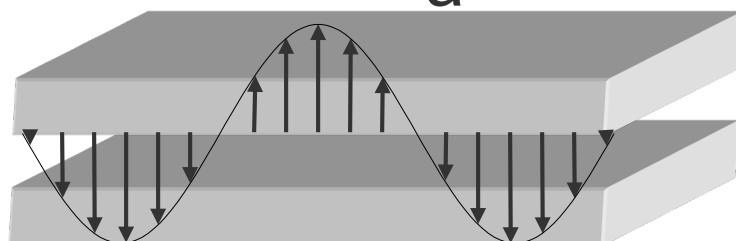


→ x



$$\Phi_J = \Phi_0$$

a



$$\Phi_J = \frac{3}{2} \Phi_0$$



Short JJ in a Magnetic Field

total supercurrent I_s through the JJ \rightarrow integrate $j_s(x)$ over JJ area $A_J = ab$

$$I_s(\Phi_J, \delta_0) = \int_0^b dy \int_0^a dx j_0 \sin \delta(x) = -j_0 \cdot b \cdot \frac{\cos \left(\delta_0 + \frac{2\pi}{\Phi_0} B d_{\text{eff}} x \right) \Big|_0^a}{\left(\frac{2\pi}{\Phi_0} B d_{\text{eff}} \right)}$$

$$\Phi_J = B d_{\text{eff}} a = \underbrace{j_0 \cdot b \cdot a}_{I_0} \cdot \frac{\sin \pi \frac{\Phi_J}{\Phi_0}}{\pi \frac{\Phi_J}{\Phi_0}} \cdot \sin \left(\delta_0 + \pi \frac{\Phi_J}{\Phi_0} \right) \quad \text{for given } I_s, \Phi_J \rightarrow \delta_0 \text{ adjusts accordingly}$$

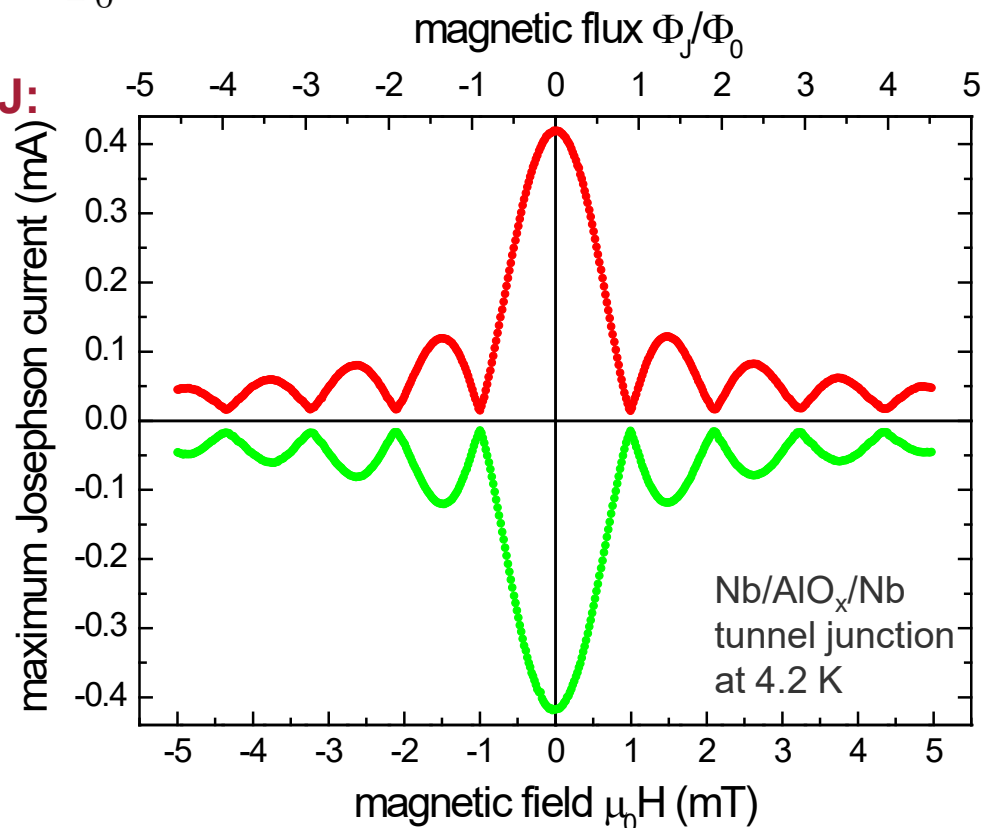
maximum supercurrent I_c through the JJ:

$$\rightarrow \sin \left(\delta_0 + \pi \frac{\Phi_J}{\Phi_0} \right) = \pm 1$$

$$I_c(\Phi_J) = I_0 \cdot \left| \frac{\sin \pi \frac{\Phi_J}{\Phi_0}}{\pi \frac{\Phi_J}{\Phi_0}} \right|$$

Fraunhofer pattern

(analogous to diffraction at single slit in optics)





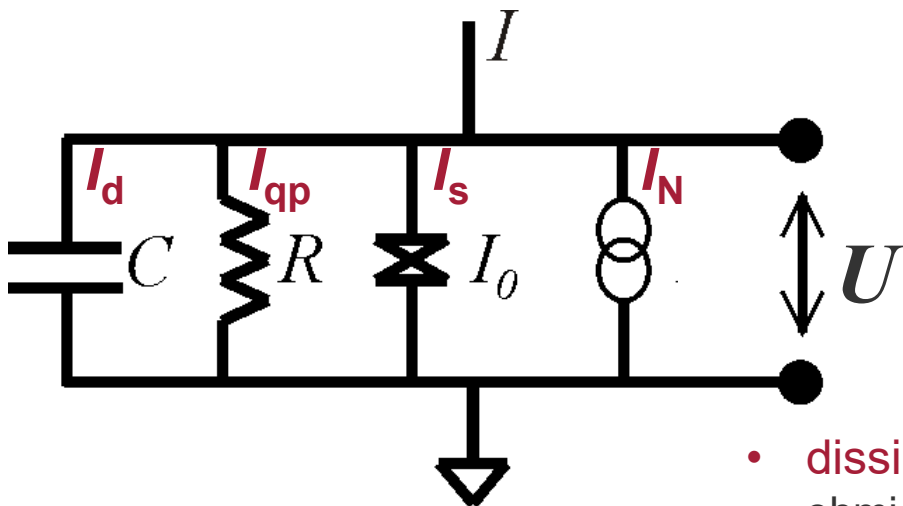
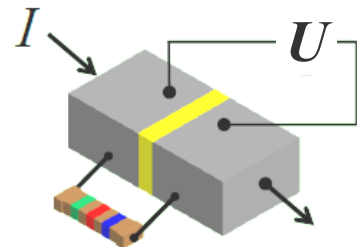
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RCSJ Model & Washboard Potential

resistively and capacitively shunted junction (RCSJ)

→ simple model to describe dynamics of JJs
→ current voltage characteristics (IVC)



- Josephson current
- displacement current
across junction capacitance C

$$I_s = I_0 \sin \delta$$

$$I_d = C\dot{U}$$

- dissipative (quasiparticle) current
ohmic current through shunt resistor R

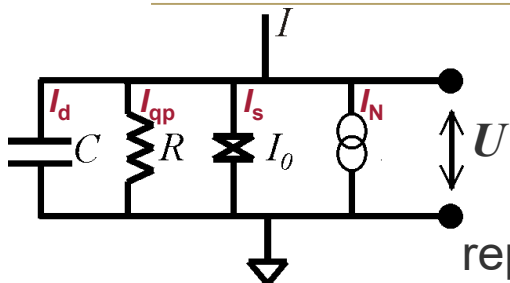
$$I_{qp} = U/R$$

- current noise source
thermal noise of shunt resistor R
at temperature T , with
spectral density $S_I(f) = \frac{4k_B T}{R}$

$$I_N(t)$$



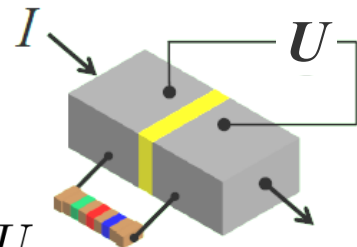
RCSJ Model & Washboard Potential



from Kirchoff's law:

$$I + I_N(t) = I_0 \sin \delta + \frac{U}{R} + C\dot{U}$$

replace $U \rightarrow \dot{\delta}$ via 2nd Josephson relation $\dot{\delta} = \frac{2\pi}{\Phi_0} U$



→ Eq. of motion for δ :

$$I + I_N(t) = I_0 \sin \delta + \frac{\Phi_0}{2\pi R} \dot{\delta} + \frac{\Phi_0 C}{2\pi} \ddot{\delta}$$

finite voltage contains high-frequency Josephson oscillations → experimentally detected voltage:

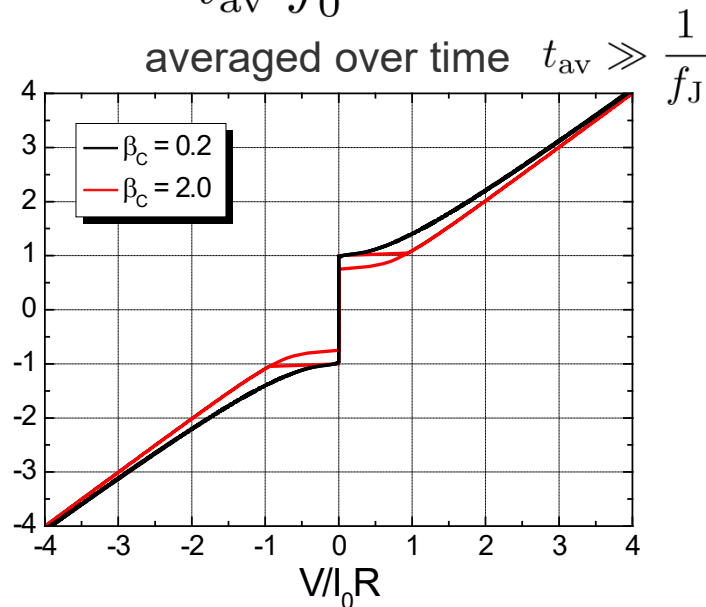
$$V \equiv \langle U \rangle = \frac{1}{t_{\text{av}}} \int_0^{t_{\text{av}}} dt U(t)$$

averaged over time $t_{\text{av}} \gg \frac{1}{f_J}$

solution of Eq. of motion (numerical simulations)

$\delta(t) \Rightarrow \dot{\delta}(t) \propto U(t) \Rightarrow V$ for given bias current $I \neq I_0$

→ current-voltage characteristics (IVC)





RCSJ Model & Washboard Potential

rearrange Eq. of motion for δ (for simplicity we set $T=0$, i.e. $I_N=0$)

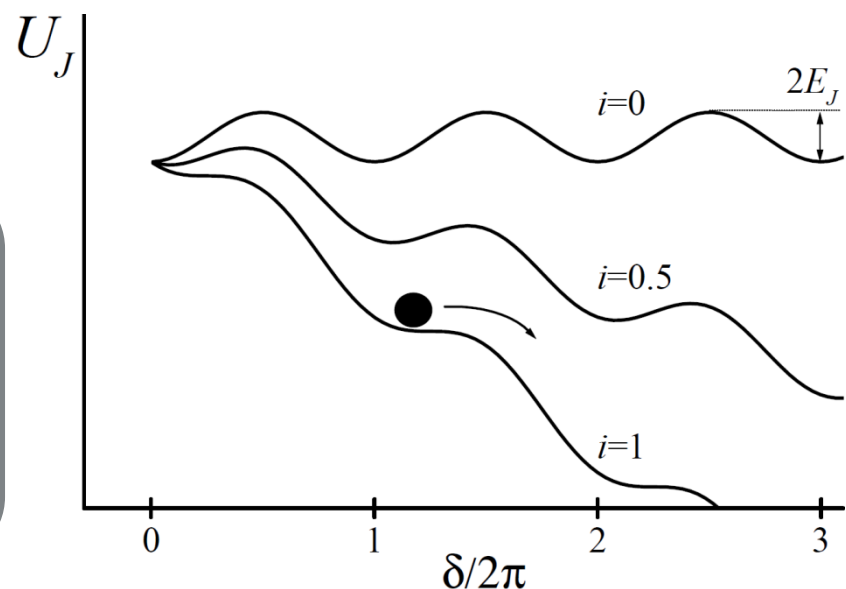
$$\frac{\Phi_0}{2\pi} C \ddot{\delta} + \frac{\Phi_0}{2\pi} \frac{1}{R} \dot{\delta} = -I_0 \sin \delta + I \equiv -\frac{2\pi}{\Phi_0} \frac{\partial U_J}{\partial \delta}$$

with the **tilted washboard potential** $U_J \equiv E_J \{1 - \cos \delta - i \delta\}$

Josephson coupling energy $E_J \equiv \frac{I_0 \Phi_0}{2\pi}$ normalized bias current $i \equiv \frac{I}{I_0}$

➔ analogous system: **point-like particle in the tilted washboard potential**

$$m\ddot{x} + \xi\dot{x} = -\frac{\partial \{W(x) - F_d x\}}{\partial x}$$

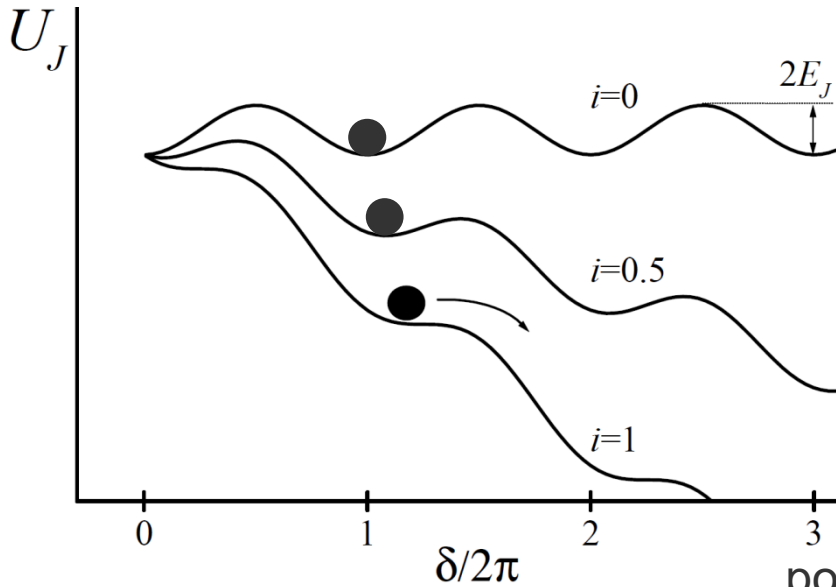


mass	m	C	capacitance
friction coeff.	ξ	$1/R$	conductance
force	F_d	I	current
velocity	\dot{x}	$\dot{\delta} \frac{\Phi_0}{2\pi} = U$	voltage

↔



RCSJ Model & Washboard Potential



static case:

„particle“ is trapped in potential minimum

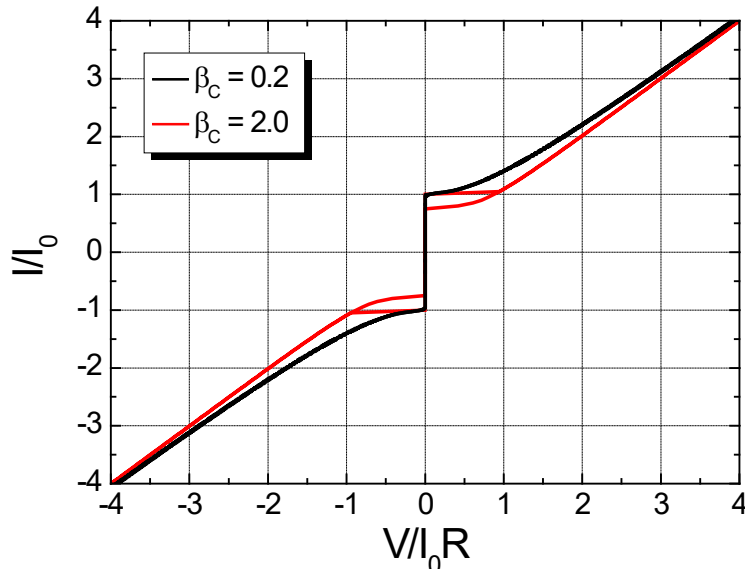
$$\langle \dot{\delta} \rangle \propto V = 0$$

dynamic case:

„particle“ rolls down the tilted potential

$$\langle \dot{\delta} \rangle \propto V \neq 0$$

potential minima disappear at $i = 1 \Leftrightarrow I = I_0$
i.e. when critical current I_0 is reached

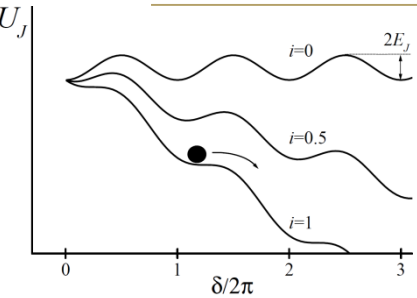


for large tilt $F_d \gg \frac{\partial W(x)}{\partial x} \Rightarrow \dot{x} = \frac{F_d}{\xi}$

i.e. for $I \gg I_0 \Rightarrow V = IR$



Effect of Damping in the RCSJ Model



normalized Eq. of motion

$$\beta_C \ddot{\delta} + \dot{\delta} + \sin \delta = i + i_N$$

with Stewart-McCumber parameter

$$\beta_C \equiv \frac{2\pi}{\Phi_0} I_0 R^2 C$$

$$i \equiv \frac{I}{I_0}, \quad i_N \equiv \frac{I_N}{I_0}$$

characteristic voltage
($I_0 R$ product) $V_c \equiv I_0 R$

characteristic frequency

$$\omega_c \equiv \frac{2\pi}{\Phi_0} I_0 R$$

normalized time $\tau \equiv t\omega_c$

decreasing I from $I > I_0$:

strong damping: friction term $\dot{\delta}$ dominates,
i.e. $\beta_C \ll 1$

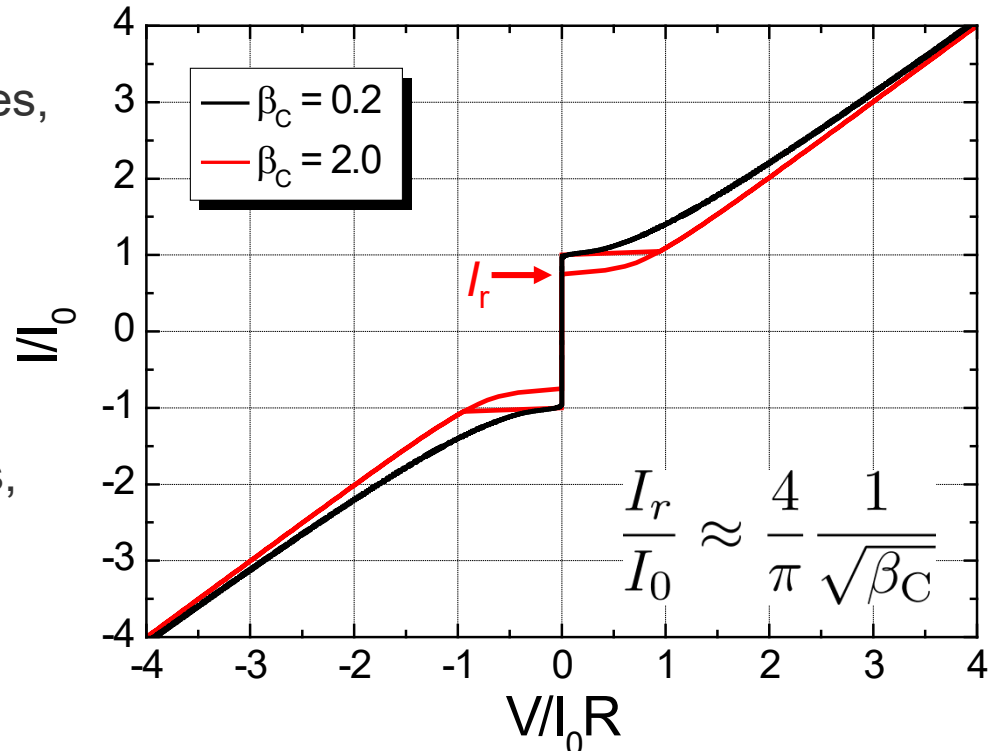
particle gets retrapped at $I = I_0$

➔ non-hysteretic IVC

weak damping: inertial term $\ddot{\delta}$ dominates,
i.e. $\beta_C \gg 1$

particle gets retrapped at $I = I_r < I_0$

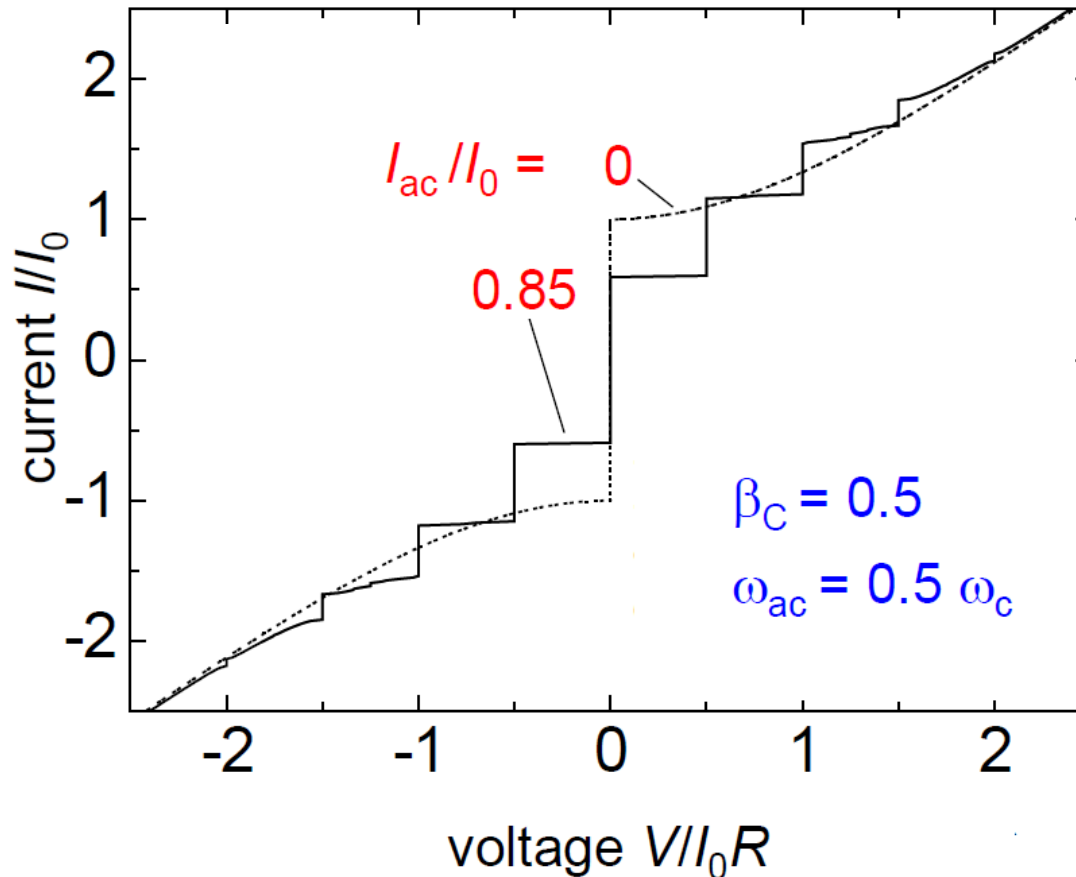
➔ hysteretic IVC





apply alternating current in addition to dc current $I_{\text{tot}} = I + I_{\text{ac}} \sin \omega_{\text{ac}} t$

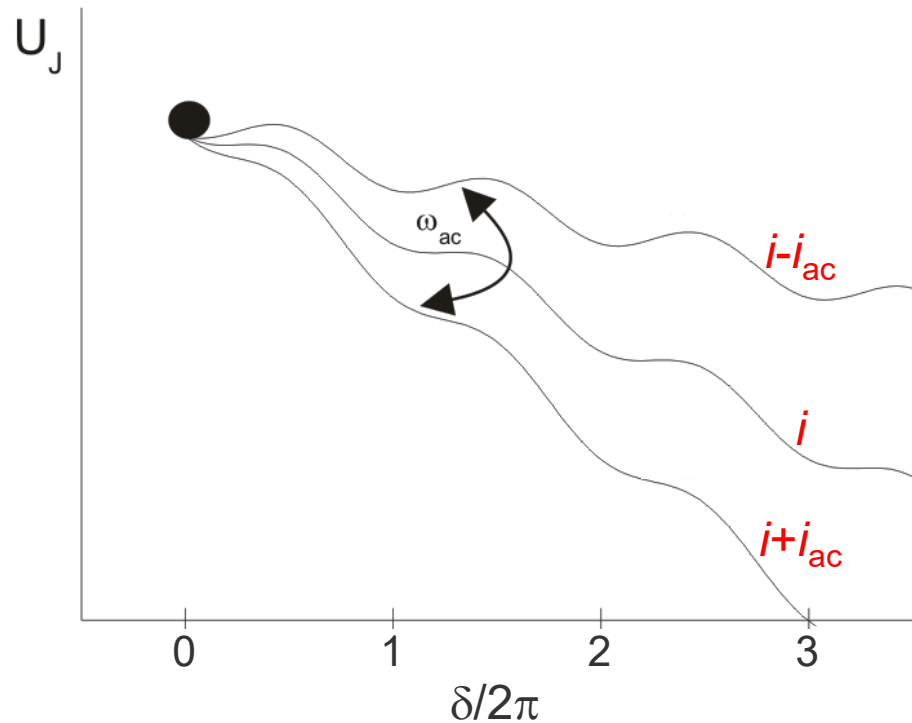
⇒ regimes of constant voltage V_n in the IVC = Shapiro steps





Microwave Absorption: Shapiro Steps

illustrative interpretation with particle in tilted washboard potential



motion of the „particle“ synchronizes with the external drive

change of δ by $2\pi n$ ($n = 1, 2, \dots$)
per excitation period $T_{ac} = 1/f_{ac}$

$$f_{ac} = 2\pi\omega_{ac}$$

velocity $\dot{\delta}_n = \frac{2\pi n}{T_{ac}} = 2\pi n f_{ac}$

stable within some intervall
of applied dc current I

⇒ steps of constant voltage V_n on IVC at $V_n = \frac{\Phi_0}{2\pi} \dot{\delta}_n = n\Phi_0 f_{ac}$

⇒ equidistant Shapiro steps with separation

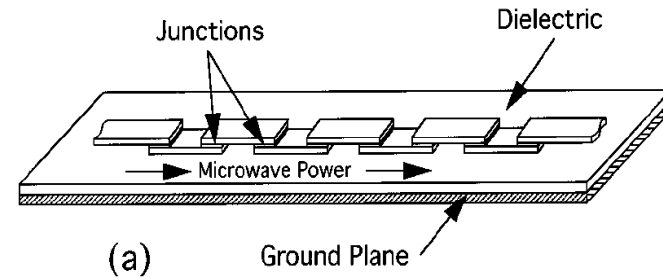
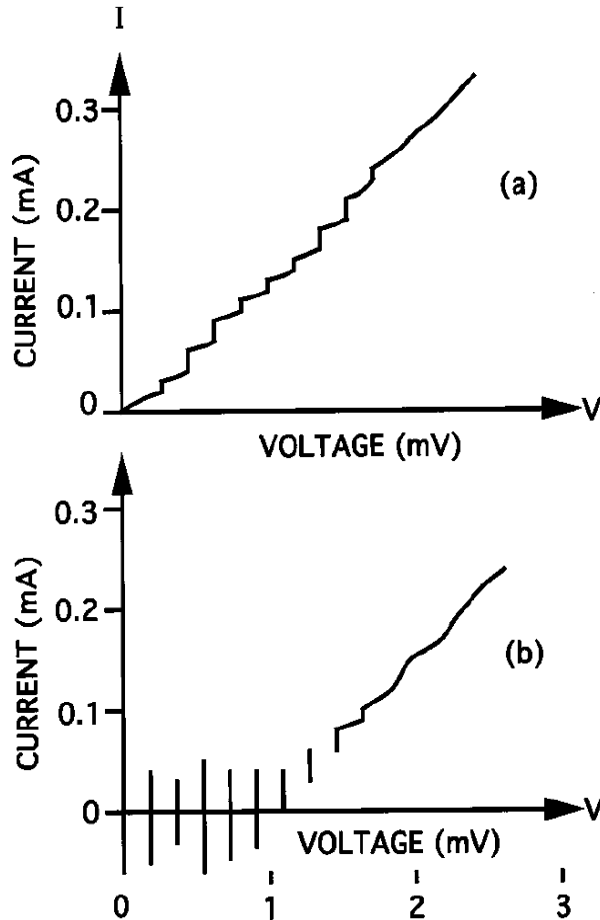
$$\Delta V = V_{n+1} - V_n = \Phi_0 f_{ac} \approx \frac{1 \text{ mV}}{483.6 \text{ GHz}} \cdot f_{ac}$$



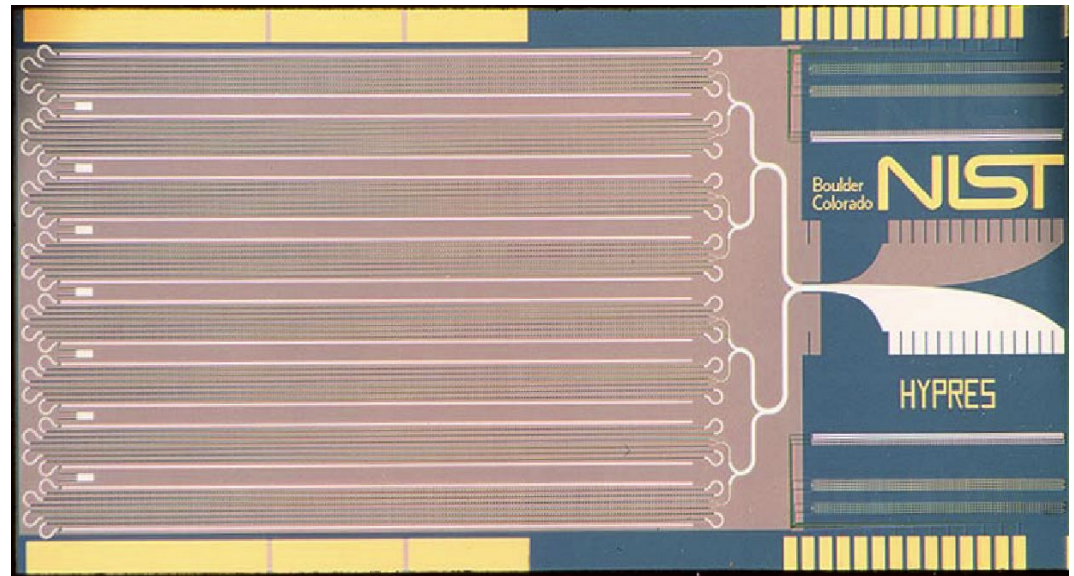
Josephson Normal: Voltage Standard

irradiation of microwaves on Josephson junctions
(typically: $f = 70 \text{ GHz} \rightarrow n \cdot 0.144 \text{ mV}$)

$$V_n = n\Phi_0 f_{ac}$$



20.000 Josephson junctions



reproducible voltages with relative uncertainty
< $1 : 10^{10}$, corresponds to 1 nV at 10 V)



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- **Thermal noise**

➡ **What is the effect of finite temperature T ?**

for a JJ described within the RCSJ model:

$$\frac{\Phi_0}{2\pi} C \ddot{\delta} + \frac{\Phi_0}{2\pi} \frac{1}{R} \dot{\delta} = -I_0 \sin \delta + I + I_N \equiv -\frac{2\pi}{\Phi_0} \frac{\partial U_J}{\partial \delta}$$

noise current acts as a stochastic force → Langevin Eq.

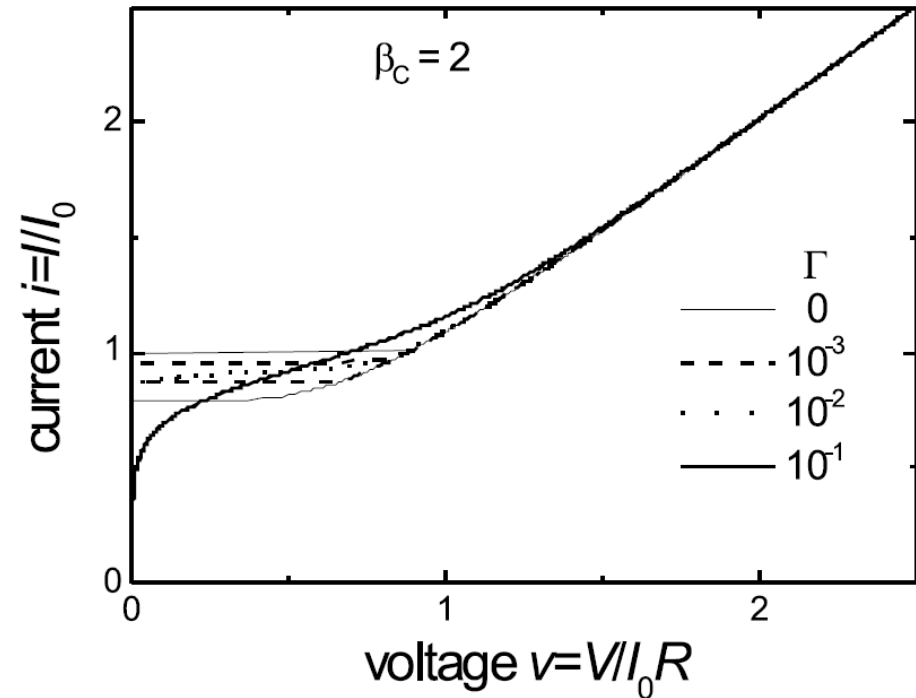
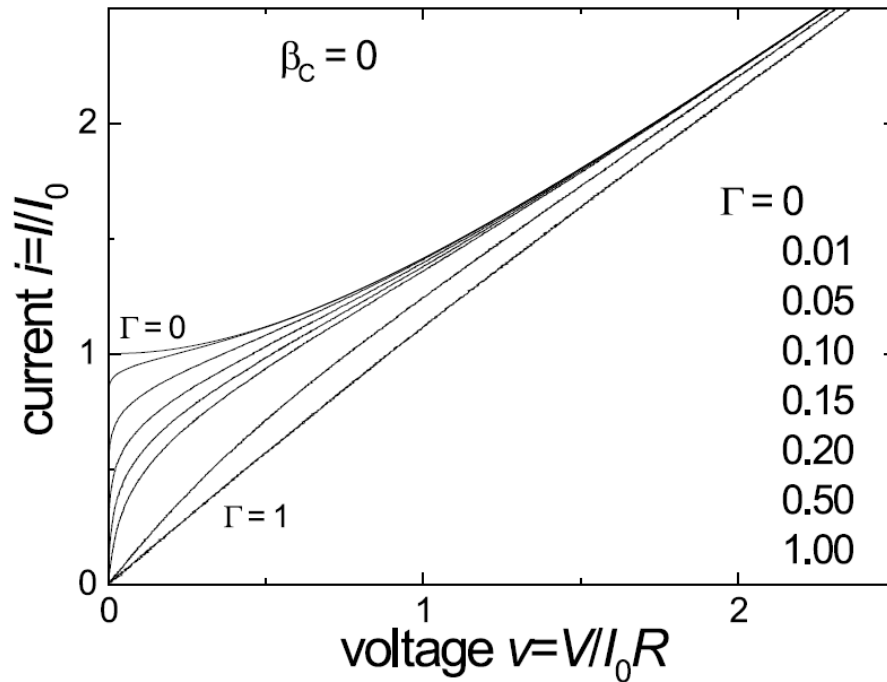
induces fluctuating tilt of the washboard potential

$$U_J \equiv E_J \{ 1 - \cos \delta - (i + i_N) \delta \} \quad i_N \equiv I_N / I_0$$



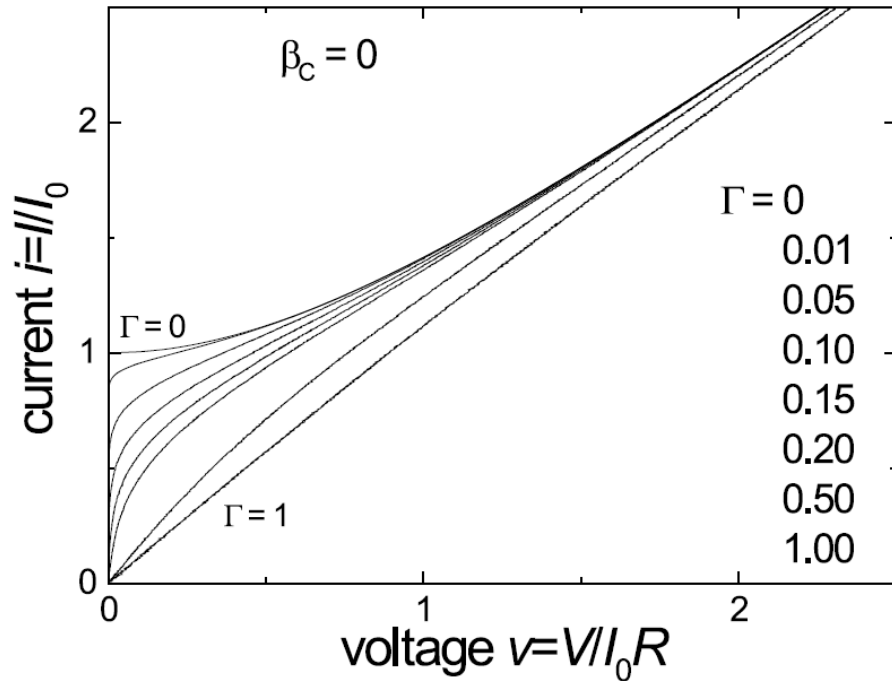
for $I \lesssim I_0$ fluctuations can lead to $I + I_N(t) > I_0 \rightarrow$ voltage pulses $U(t)$ with $V > 0$

\rightarrow thermal smearing (noise rounding) of IVCs



quantified by **thermal noise parameter** $\Gamma \equiv \frac{k_B T}{E_J}$

E_J : Josephson coupling energy = amplitude of washboard potential



$$\Gamma = \frac{2\pi k_B T}{I_0 \Phi_0} = \frac{2\pi k_B T / \Phi_0}{I_0} = \frac{I_{th}}{I_0}$$

thermal fluctuations „destroy“
Josephson coupling

regime of small thermal fluctuations:

$$\Gamma \ll 1$$

corresponds to $I_0 \gg I_{th} = \frac{2\pi}{\Phi_0} k_B T \propto T$

for $T = 4.2 \text{ K}$: $I_{th} \sim 0.18 \mu\text{A}$

for $T = 77 \text{ K}$: $I_{th} \sim 2.3 \mu\text{A}$



significant suppression
of „measurable“ I_c
already at $\Gamma = 10^{-2}$!



- Low-frequency excess noise: $1/f$ noise**

description of tunnel junctions by parameter fluctuations rather than Langevin force

origin:

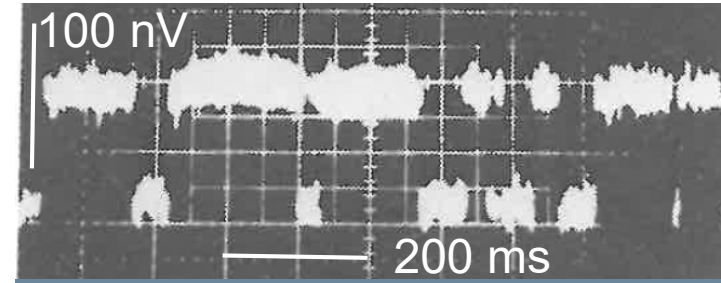
fluctuations in I_0 due to trapping and release of electrons at defects in the tunnel barrier (change barrier height, and hence I_0 , (also R))

single trap:

random switching of I_0 between two values with difference δI_0 and effective lifetime τ

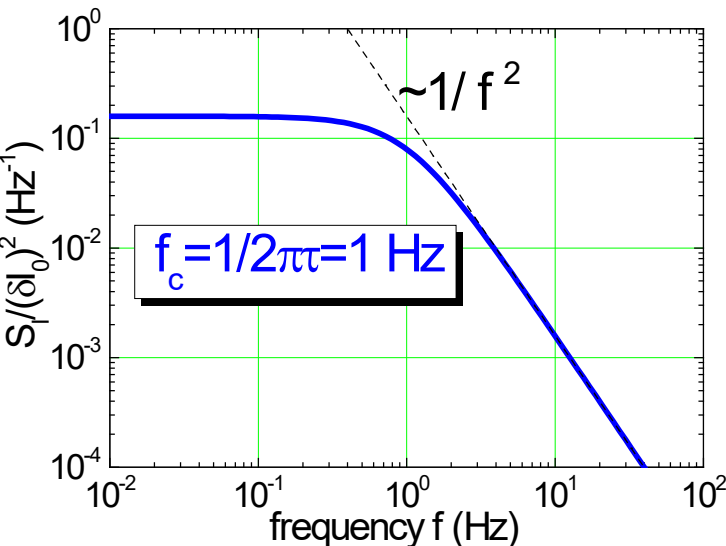


random telegraph signal (RTS)



R. Gross, B. Mayer, Physica C **180**, 235 (1991)

$V(t)$ of grain boundary junction at $I = 1.2 I_0$



with Lorentzian spectral density

$$S_I(f) = \frac{(\delta I_0)^2 \cdot \tau}{1 + (2\pi\tau \cdot f)^2}$$

with $\tau^{-1} \equiv \tau_1^{-1} + \tau_2^{-1}$
for mean life times $\tau_1 = \tau_2$
in the two states

C.T. Rogers & R.A. Burman, *Composition of 1/f noise in metal-insulator-metal tunnel junctions*, Phys. Rev. Lett. **53**, 1272 (1984)



for thermally activated trapping processes

$$\tau = \tau_0 \exp\left(\frac{E}{k_B T}\right)$$

with $\tau_0 = \text{const}$, and activation energy E

e.g. $\tau_0 = 0.1$ s, and $E = 1.8$ meV
for Nb-AlO_x-Nb tunnel JJs

B. Savo, F.C. Wellstood, J. Clarke,
Appl. Phys. Lett. **50**, 1757 (1987)

superposition of several (or many) traps

$$S(f) \propto \int dE \underset{\substack{\uparrow \\ \text{distribution function}}}{D(E)} \left[\frac{\tau_0 e^{\frac{E}{k_B T}}}{1 + (2\pi f \tau_0)^2 e^{\frac{2E}{k_B T}}} \right]$$

peak at $\tilde{E} \equiv k_B T \ln\left\{\frac{1}{2\pi f \tau_0}\right\}$

for broad distribution $D(E)$
with respect to $k_B T$:

take $D(\tilde{E})$ out of the integral

$$S(f) \propto k_B T D(\tilde{E}) \frac{1}{f}$$

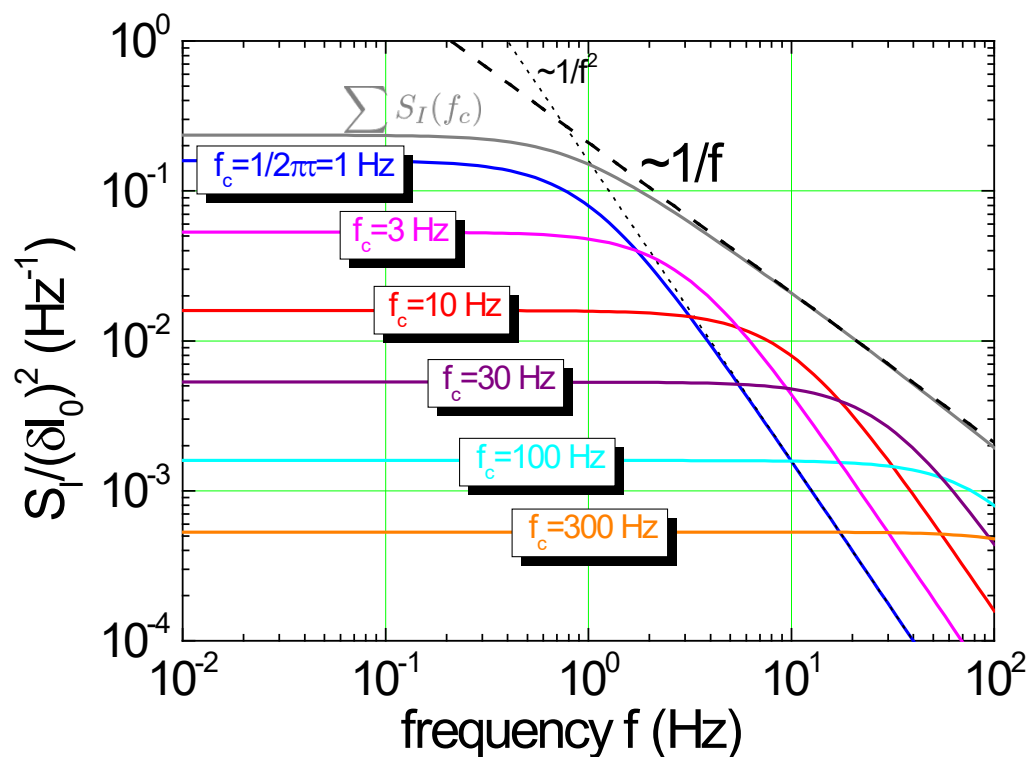
for given T , only traps contribute with

$$\tilde{E} - k_B T \lesssim E \lesssim \tilde{E} + k_B T$$



superposition of several (or many) traps

the superposition of only few traps already yields $S(f) \propto \frac{1}{f}$





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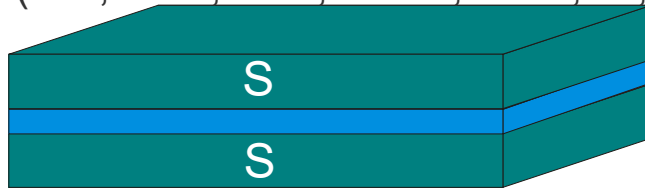


Types of Josephson Junctions

planar sandwich-type JJ

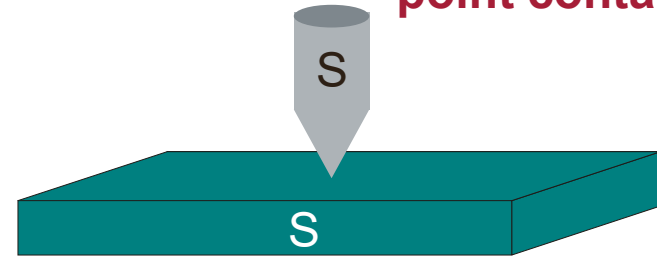
(SIS, SNS, SFS, SINIS, SIFS, ..., SAIFS)

barrier

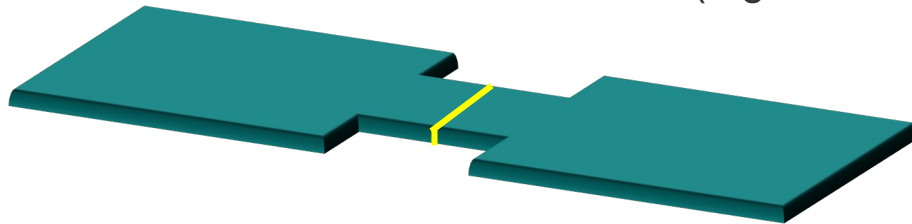


insulator (I), normal conductor (N), ferromagnet (F),
alterferromagnet (AIF)

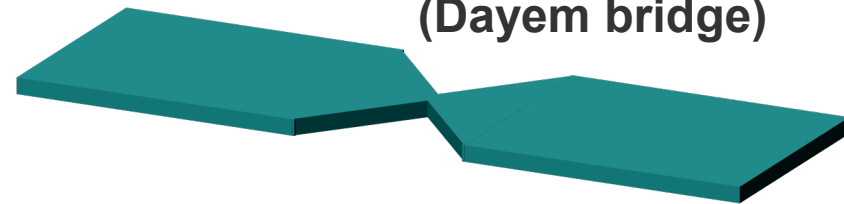
point contact



irradiation-induced barrier JJ (e.g. ion beam)

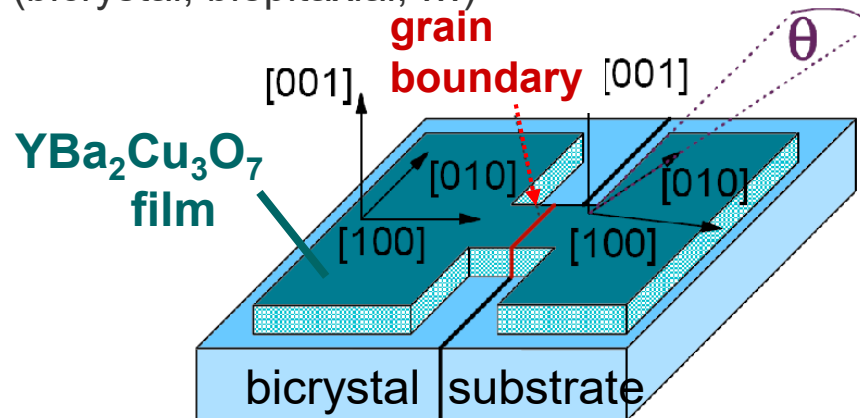


constriction junction (Dayem bridge)

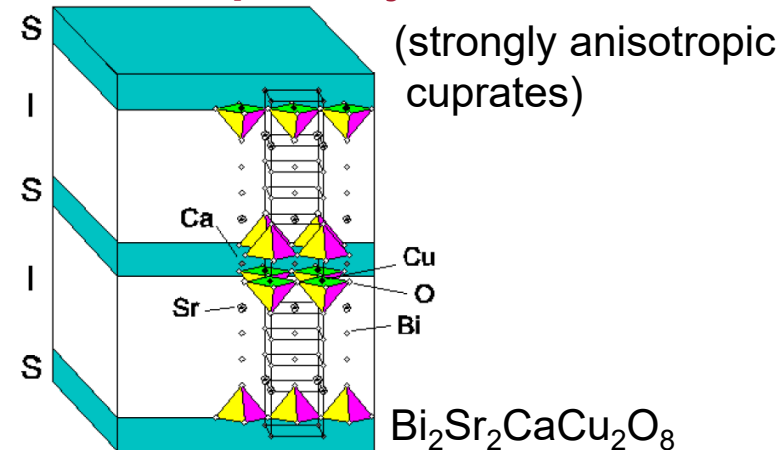


grain boundary junction (cuprates)

(bicrystal, biepitaxial, ...)



intrinsic Josephson junctions





JJs with Different Ground States

The **Josephson energy** $U_J(\delta)$ can be derived for any CPR, i.e. $I_s(\delta)$:
Increase current I_s in time $t \rightarrow$ change of $\delta(t) \rightarrow$ finite voltage U

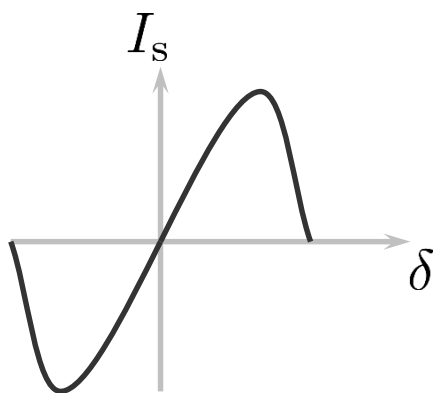
$$U_J(\delta) = \int_{t_0}^t I_s(\delta(\tilde{t})) U \, d\tilde{t} = \frac{\Phi_0}{2\pi} \int_{t_0}^t I_s(\tilde{\delta}(\tilde{t})) \underbrace{\dot{\delta}(\tilde{t})}_{= d\tilde{\delta}} \, d\tilde{t} = \frac{\Phi_0}{2\pi} \int_{\delta_0}^{\delta} I_s(\tilde{\delta}) \, d\tilde{\delta}$$

\uparrow
 $= \frac{\Phi_0}{2\pi} \dot{\delta}(\tilde{t})$

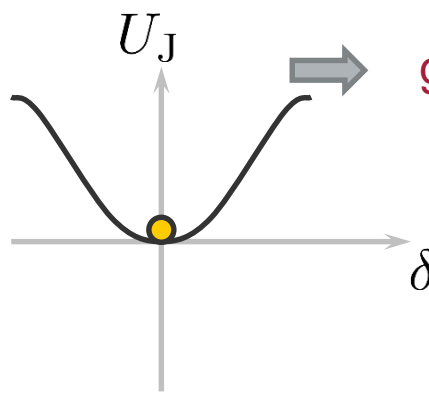
for $I_s = I_0 \sin \delta \Rightarrow U_J(\delta) = E_J(1 - \cos \delta)$

\uparrow
 $= \frac{I_0 \Phi_0}{2\pi}$

CPR



Josephson energy



ground state phase:
 $\delta=0$ (for $I=0$)

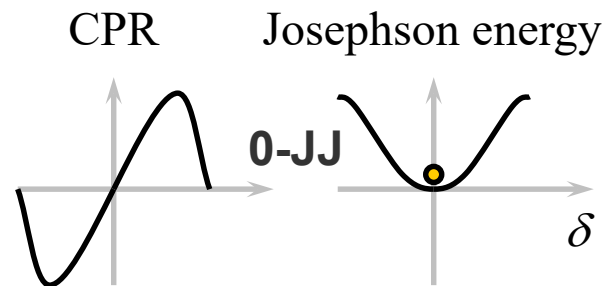
\Rightarrow „0-JJ“



JJs with Different Ground States

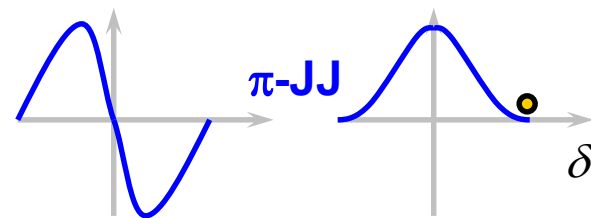
CPR: $I_s = I_0 \sin(\delta)$

→ ground state: $\delta = 0$



CPR: $I_s = I_0 \sin(\delta - \pi)$

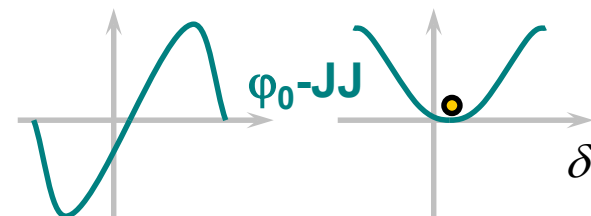
→ ground state: $\delta = \pi$



Experiment: V.V. Ryazanov *et al.*, Phys. Rev. Lett. **86**, 2427 (2001)

CPR: $I_s = I_0 \sin(\delta - \varphi_0)$
(with $0 < \varphi_0 < \pi$)

→ ground state: $\delta = \varphi_0$

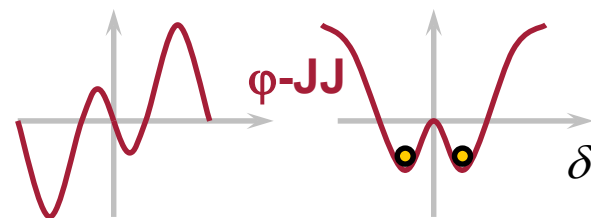


Experiment: D.B. Szombati *et al.*, Nat. Phys. **12**, 568 (2016)

CPR: $I_s = I_{0,1} \sin(\delta) + I_{0,2} \sin(2\delta)$

→ ground state: $\delta = \pm\varphi$

(with $0 < \varphi < \pi$ and $\varphi = \arccos(-\frac{I_{0,1}}{2I_{0,2}})$ for $-I_{0,2} > \frac{I_{0,1}}{2}$)



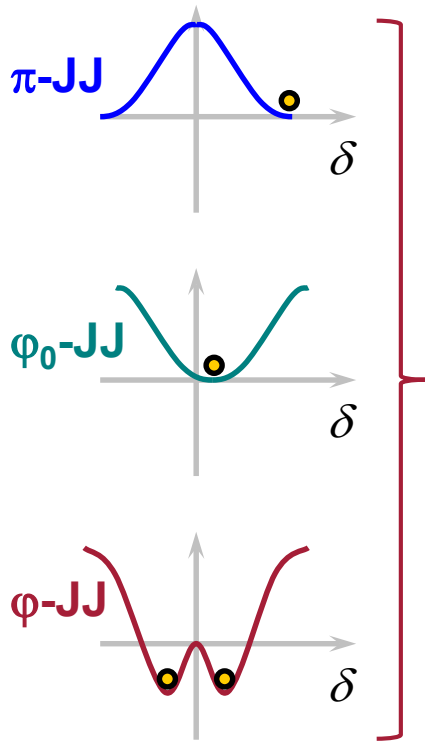
Theory: Yu.S. Barash *et al.*,
Phys. Rev. B **52**, 665 (1995)

Y. Tanaka, S. Kashiwaya,
Phys. Rev. B **53**, R11957 (1996)

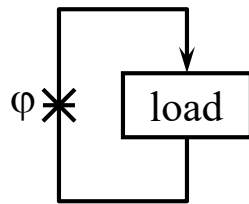
A. Buzdin, A.E. Koshelev,
Phys. Rev. B **67**, 220504(R) (2003)



JJs with Different Ground States

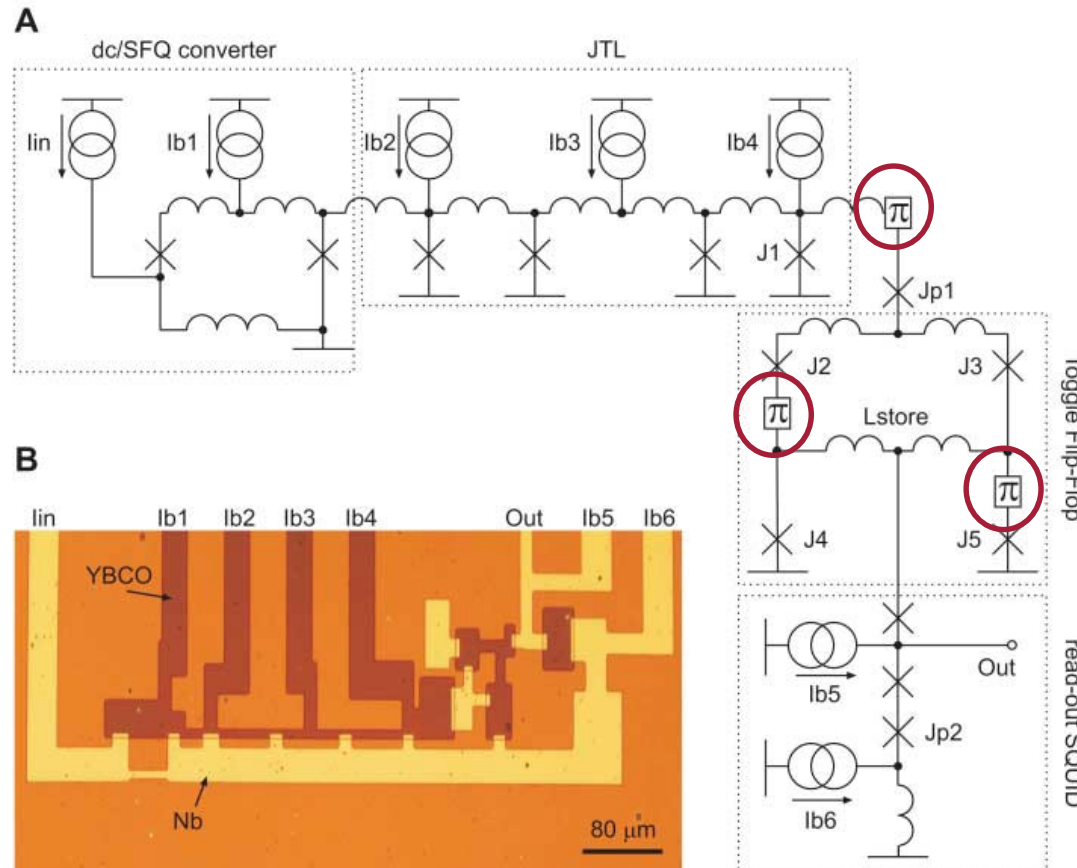


phase battery
phase shifter



Self-biased RSFQ flip-flop:

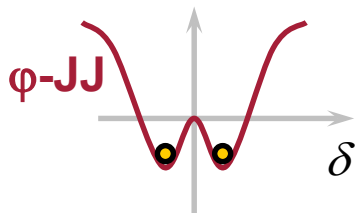
integrated π -rings based on YBCO-Nb s-/d-wave JJs



T. Ortlepp *et al.*, Science 312, 1495 (2006)



φ -JJ: Tunable Bistable System

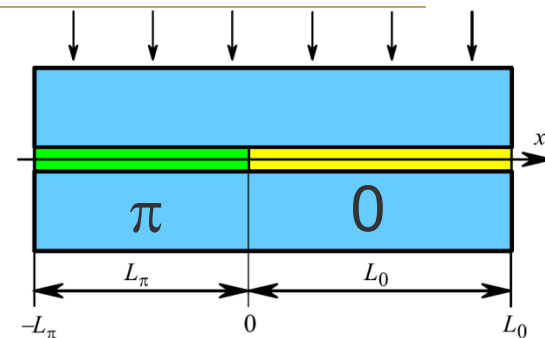


based on periodic $0-\pi$ JJs

A. Buzdin, A.E. Koshelev, PRB **67** (2003)

simplest case: $0-\pi$ JJ

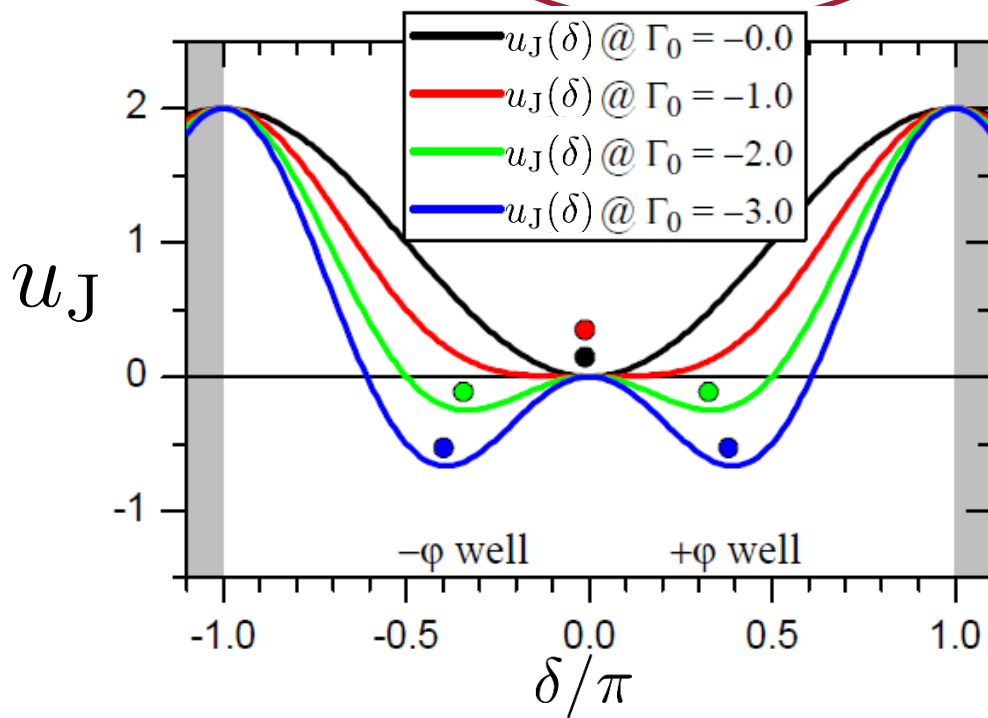
E. Goldobin *et al.*, Phys. Rev. Lett. **107**, 227001 (2011)



$$u_J \equiv \frac{U_J(\delta)}{E_J} = 1 - \cos(\delta) + \frac{\Gamma_0}{4} \{1 - \cos(2\delta)\} + \Gamma_h h \sin(\delta)$$

Γ_h : asymmetry parameter

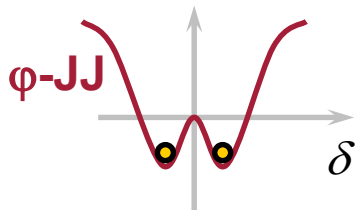
$$\Gamma_0 \equiv \frac{2I_{0,2}}{I_{0,1}}$$



**bistable/two-level system
for $-\Gamma_0 > 1$**

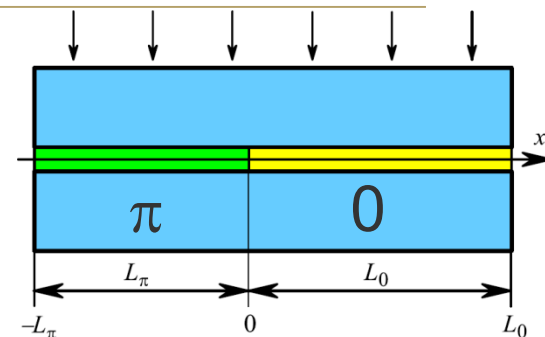


φ -JJ: Tunable Bistable System



simplest case: 0 - π JJ

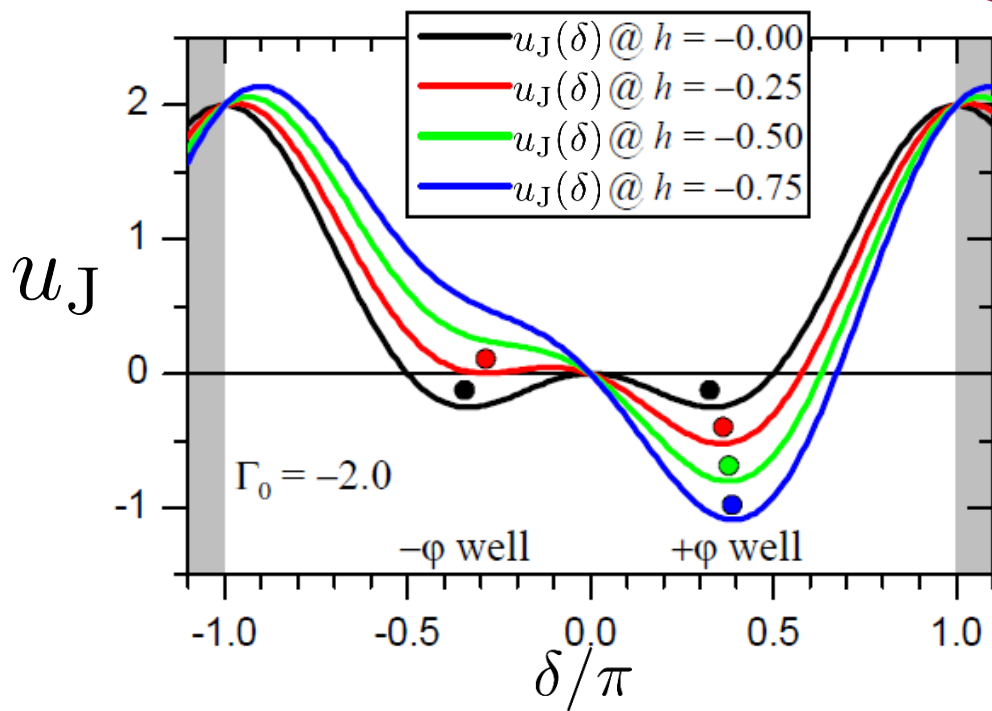
E. Goldobin *et al.*, Phys. Rev. Lett. **107**, 227001 (2011)



$$u_J \equiv \frac{U_J(\delta)}{E_J} = 1 - \cos(\delta) + \frac{\Gamma_0}{4} \{1 - \cos(2\delta)\} + \Gamma_h h \sin(\delta)$$

Γ_h : asymmetry parameter

$$\Gamma_0 \equiv \frac{2I_{0,2}}{I_{0,1}}$$

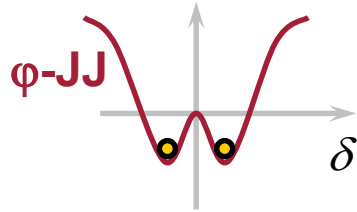


tunable by magnetic field

lifts degeneracy of
double-well potential

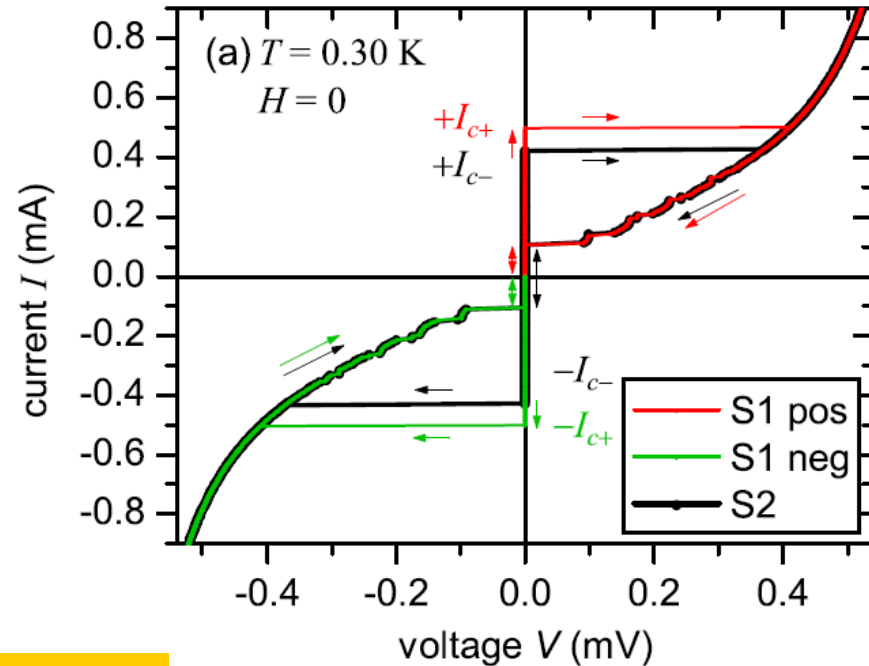
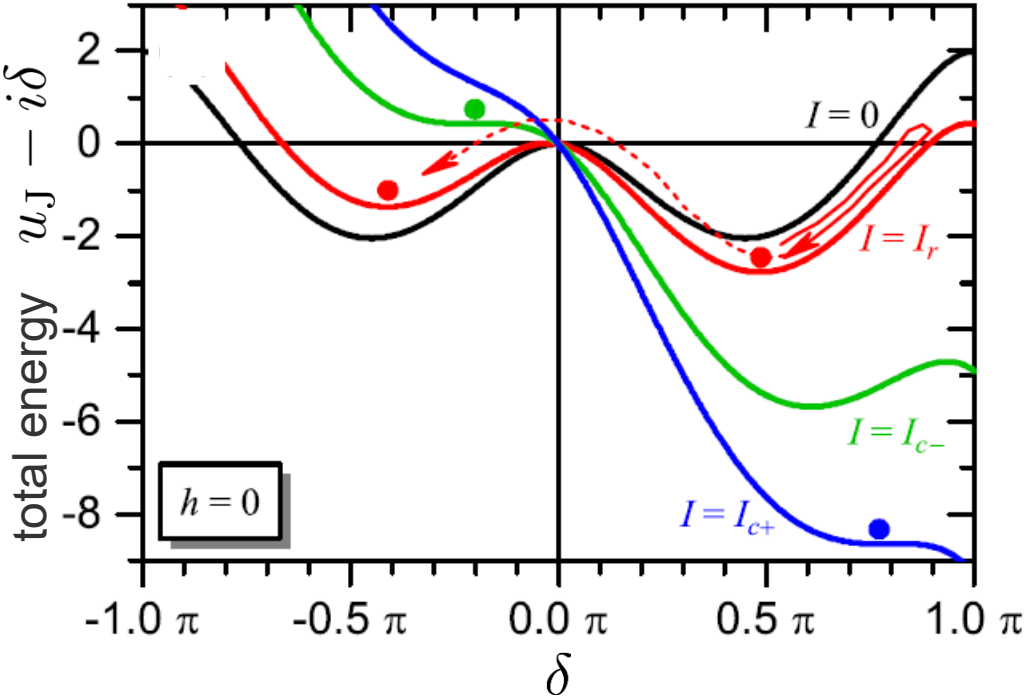
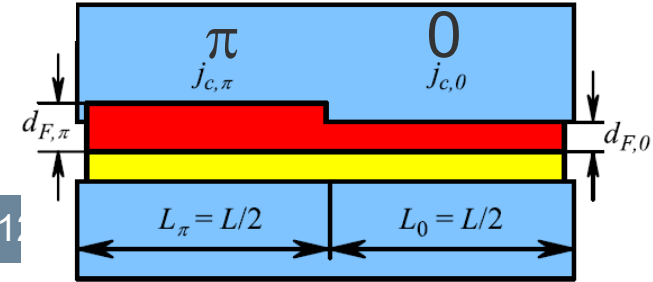


ϕ -JJ: Two Critical Currents



experimental realization:
Nb-AlO_x-Cu_{0.4}Ni_{0.6}-Nb SIFS JJ
with **step in the F layer**

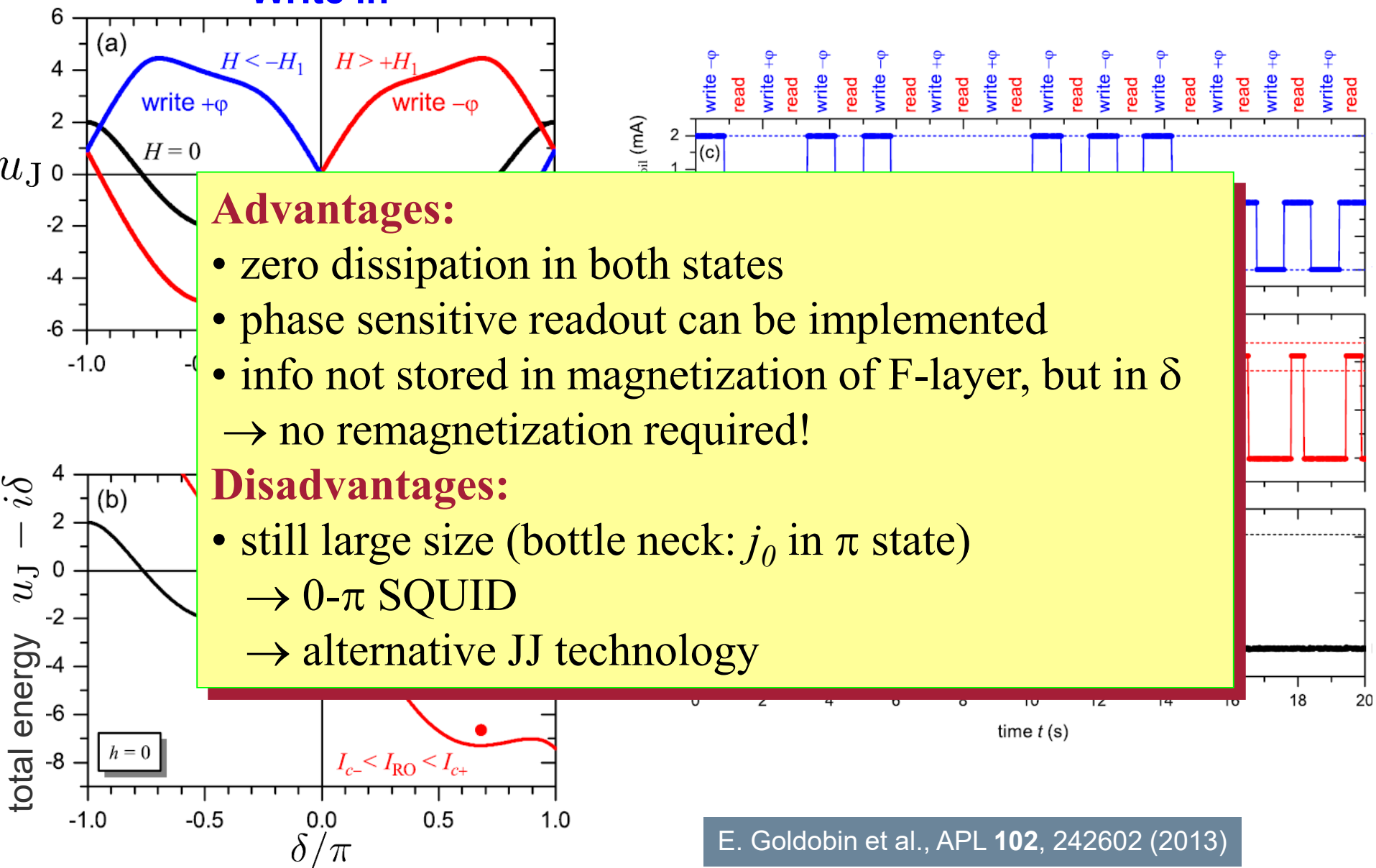
H. Sickinger *et al.*, Phys. Rev. Lett. **109**, 107002 (2012)



state detector!



Write in





Summary – part 1

Macroscopic Wave Function → coherent state of Cooper pairs



Weak coupling of two condensates

→ Josephson Relations & Consequences (static & dynamic cases)

- Static case:

Josephson Junction in a Magnetic Field → $I_c(H)$ Fraunhofer pattern for short JJs

- Dynamic case:

Resistively & Capacitively Shunted Junction (RCSJ) model

→ I-V-characteristics (particle in the tilted washboard potential)

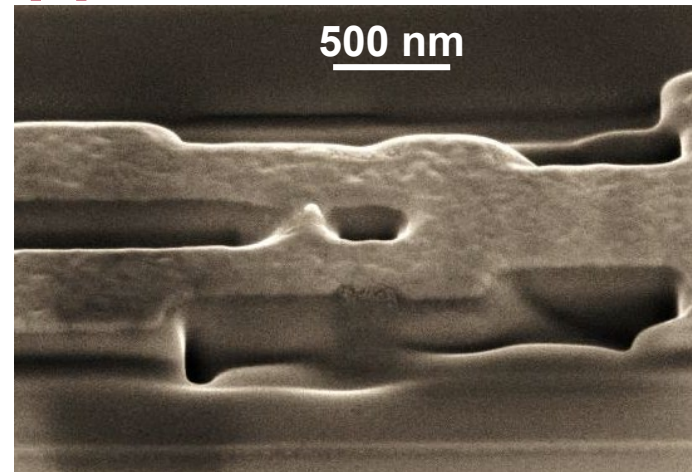
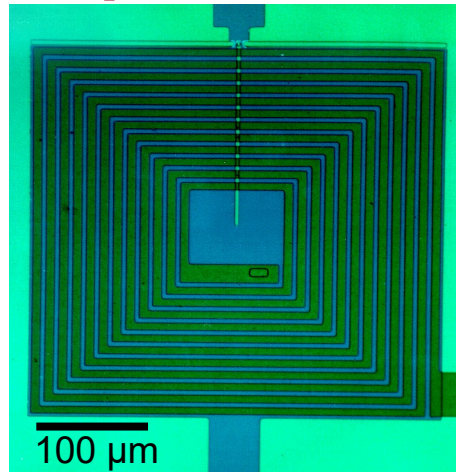
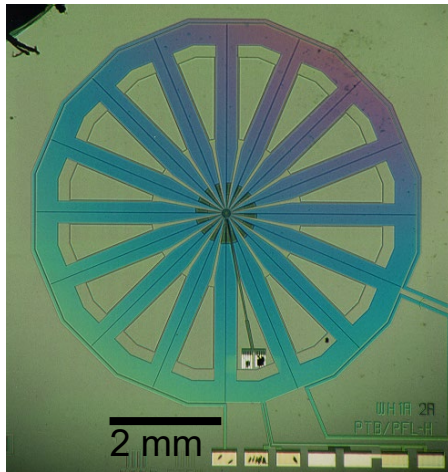
Fluctuations in Josephson Junctions → thermal noise & I_c fluctuations important for device applications, e.g. SQUIDS

Classification of JJs – Ground States: 0- π -, φ -Junctions

→ new applications: phase batteries, memory devices, qubits,...

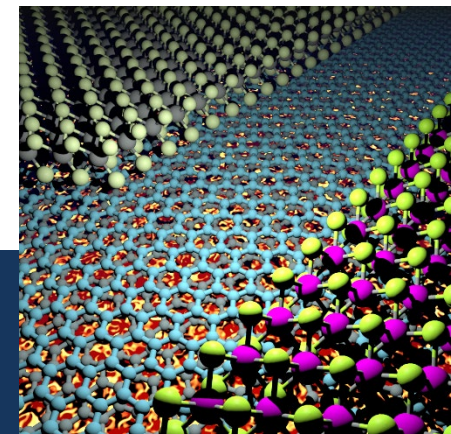


Part 2: Superconducting Quantum Interference Devices: Basic Properties & Applications of SQUIDS



Dieter Koelle

*Physikalisches Institut, Center for Quantum Science (CQ) and
Center for Light-Matter Interaction, Sensors & Analytics (LISA⁺)*



European School on Superconductivity & Magnetism
in Quantum Materials,
21-25 April 2024, Gandia (Valencia, Spain)



- I. SQUIDs: Basics & principle of operation**
- II. Practical devices and readout**
- III. SQUID applications**
 - a. Magnetometry, Susceptometry**
 - b. Biomagnetism: MEG, low-field MRI**
 - c. Scanning SQUID microscopy**
 - d. magnetic nanoparticle (MNP) detection**

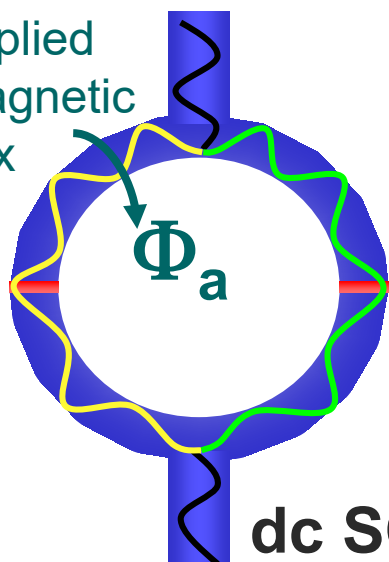
The SQUID Handbook, Vol. I Fundamentals and Technology of SQUIDs and SQUID Systems,
J. Clarke, A. I. Braginski (eds.) Wiley-VCH, Weinheim (2004)

The SQUID Handbook, Vol. II Applications of SQUIDs and SQUID Systems,
J. Clarke, A. I. Braginski (eds.) Wiley-VCH, Weinheim (2006)



Direct current (dc) SQUID

applied
magnetic
flux



combines

- fluxoid quantization in a superconducting ring
- Josephson effect in superconducting weak links

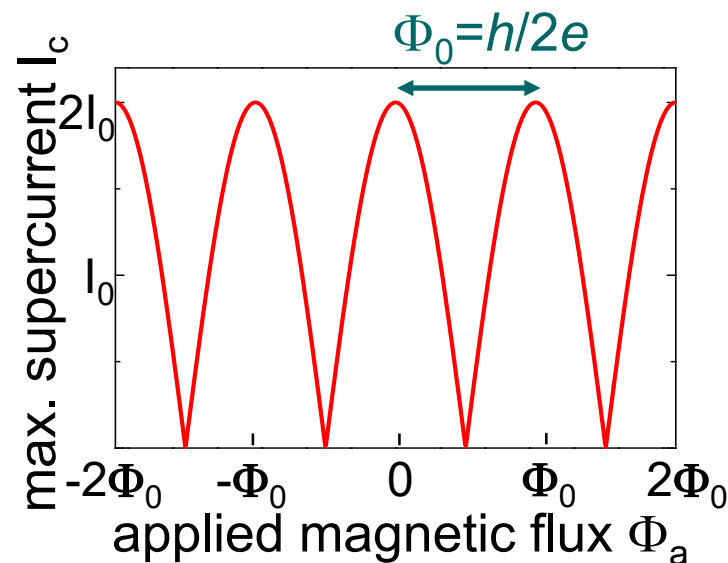
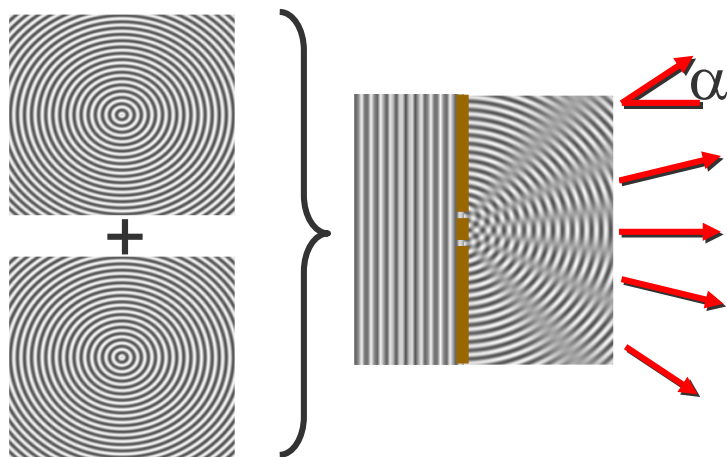
Josephson
junction

interference of superconductor wavefunction

$$\Psi = \Psi_0 \cdot e^{i\varphi}$$

→ 2 junctions intersect SQUID loop

interference at double slit





dc SQUID Basics: Fluxoid Quantization

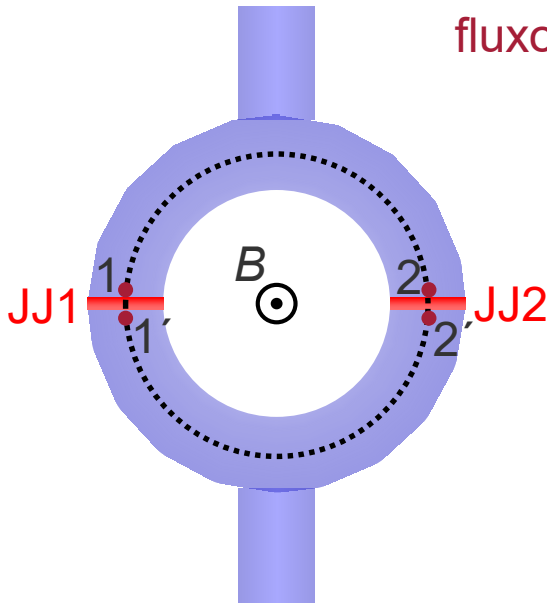
fluxoid quantization:
$$2\pi n = \oint \nabla \varphi \, dl \quad n = 1, 2, 3 \dots$$

with the **phase gradient** in the ring segments

$$\nabla \varphi = \frac{2\pi}{\Phi_0} (\mathbf{A} + \mu_0 \lambda_L^2 \mathbf{j}_s)$$

and the **phase difference** across the junctions

$$\delta = \varphi_b - \varphi_a - \frac{2\pi}{\Phi_0} \int_a^b \mathbf{A} \, dl$$



calculation as for the determination of $\delta(x)$ in a single JJ in an applied magnetic field:

path $2 \rightarrow 1$:
$$\int_2^1 \nabla \varphi \, dl = \frac{2\pi}{\Phi_0} \int_2^1 \mathbf{A} \, dl + \frac{2\pi}{\Phi_0} \mu_0 \lambda_L^2 \int_2^1 \mathbf{j}_s \, dl$$

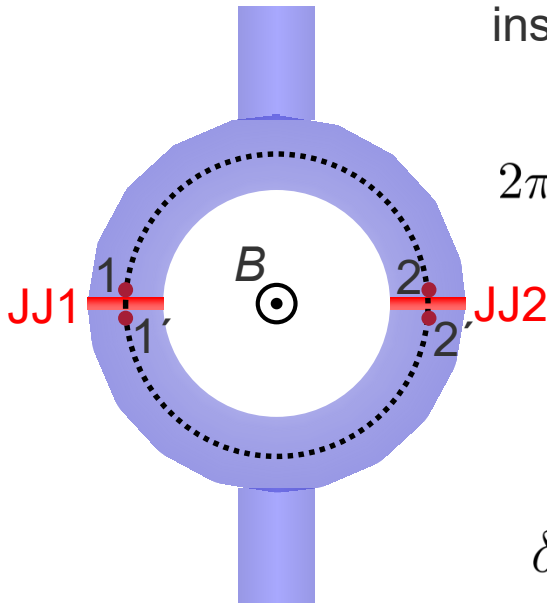
path $1' \rightarrow 2'$:
$$\int_{1'}^{2'} \nabla \varphi \, dl = \frac{2\pi}{\Phi_0} \int_{1'}^{2'} \mathbf{A} \, dl + \frac{2\pi}{\Phi_0} \mu_0 \lambda_L^2 \int_{1'}^{2'} \mathbf{j}_s \, dl$$

path $1 \rightarrow 1'$:
$$\int_1^{1'} \nabla \varphi \, dl = \varphi_{1'} - \varphi_1 = \delta_1 + \frac{2\pi}{\Phi_0} \int_1^{1'} \mathbf{A} \, dl$$

path $2' \rightarrow 2$:
$$\int_{2'}^2 \nabla \varphi \, dl = -(\varphi_{2'} - \varphi_2) = -(\delta_2 + \frac{2\pi}{\Phi_0} \int_2^{2'} \mathbf{A} \, dl)$$



dc SQUID Basics: Fluxoid Quantization



inserted into $2\pi n = \oint \nabla\varphi d\mathbf{l}$ yields:

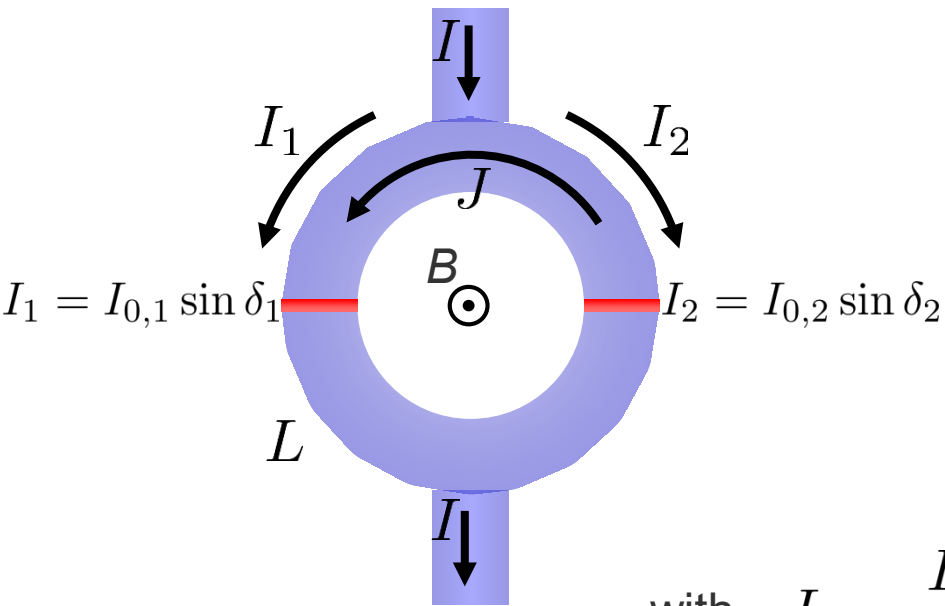
$$2\pi n = \delta_1 - \delta_2 + \frac{2\pi}{\Phi_0} \left\{ \underbrace{\oint \mathbf{A} d\mathbf{l}}_{= \int \mathbf{B} d\mathbf{f} = \Phi} + \mu_0 \lambda_L^2 \left(\int_2^1 \mathbf{j}_s d\mathbf{l} + \int_{1'}^{2'} \mathbf{j}_s d\mathbf{l} \right) \right\}$$

$\int_2^1 + \int_{1'}^{2'} \equiv \oint_{C'}$

$$\delta_2 - \delta_1 + 2\pi n = \frac{2\pi}{\Phi_0} \left\{ \underbrace{\Phi + \mu_0 \lambda_L^2 \oint_{C'} \mathbf{j}_s d\mathbf{l}}_{\equiv \Phi_{\text{tot}} \text{ total flux}} \right\} \equiv \frac{2\pi}{\Phi_0} \Phi_{\text{tot}}$$



dc SQUID Basics: Fluxoid Quantization



$$\Phi_{\text{tot}} = \Phi_a + LJ$$

applied flux loop inductance circulating current

with

$$I_1 = \frac{I}{2} + J$$

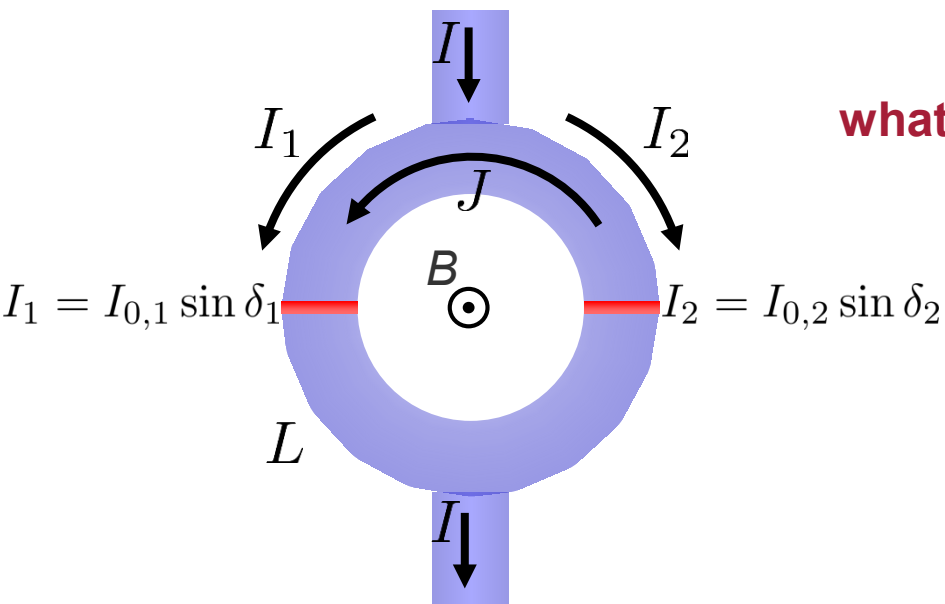
$$I_2 = \frac{I}{2} - J$$

circulating current:

$$J = \frac{I_1 - I_2}{2}$$



dc SQUID Basics: Static Case



what is the maximum supercurrent $I_c(\Phi_a)$?

assume symmetric SQUID: $I_{0,1} = I_{0,2} = I_0$

with $\sin \alpha + \sin \beta = 2 \cos\left(\frac{\beta - \alpha}{2}\right) \cdot \sin\left(\frac{\alpha + \beta}{2}\right)$

$$I = I_1 + I_2 = I_0 (\sin \delta_1 + \sin \delta_2) = 2I_0 \cos\left(\frac{\delta_2 - \delta_1}{2}\right) \cdot \sin\left(\frac{\delta_1 + \delta_2}{2}\right)$$

$$\text{with } \delta_2 = \frac{2\pi}{\Phi_0} \Phi_{\text{tot}} + \delta_1 - 2\pi n \quad I = 2I_0 \cos\left(\frac{\pi \Phi_{\text{tot}}}{\Phi_0} - \pi n\right) \cdot \sin\left(\frac{\pi \Phi_{\text{tot}}}{\Phi_0} + \delta_1 - \pi n\right)$$

with $\cos(\alpha - \pi n) \cdot \sin(\beta - \pi n) = \cos(\alpha) \cdot \sin(\beta)$

$$I = 2I_0 \cos\left(\frac{\pi \Phi_{\text{tot}}}{\Phi_0}\right) \cdot \sin\left(\frac{\pi \Phi_{\text{tot}}}{\Phi_0} + \delta_1\right)$$



dc SQUID Basics: Static Case

now maximize by proper choice of δ_1 : $I = 2I_0 \cos\left(\frac{\pi\Phi_{\text{tot}}}{\Phi_0}\right) \cdot \sin\left(\frac{\pi\Phi_{\text{tot}}}{\Phi_0} + \delta_1\right)$

with $\Phi_{\text{tot}} = \Phi_a + LJ$

$$J = \frac{I_1 - I_2}{2} = \frac{I_0}{2} (\sin \delta_1 - \sin \delta_2) = I_0 \sin\left(\frac{\pi\Phi_{\text{tot}}}{\Phi_0}\right) \cdot \cos\left(\frac{\pi\Phi_{\text{tot}}}{\Phi_0} + \delta_1\right)$$

➡ must be solved self-consistently

➡ simple analytic solutions for two limiting cases



dc SQUID Basics: Static Case

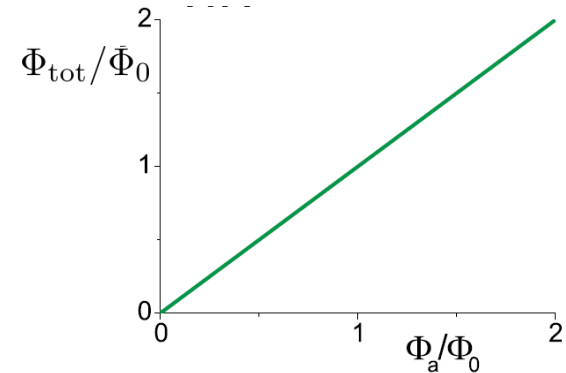
a) negligible inductance:

if maximum flux induced by screening current

$$\Phi_{J,\max} = I_0 L \ll \frac{\Phi_0}{2} \iff \beta_L \equiv \frac{2LI_0}{\Phi_0} \ll 1 \quad \text{screening parameter}$$

$$\implies \Phi_{\text{tot}} \approx \Phi_a$$

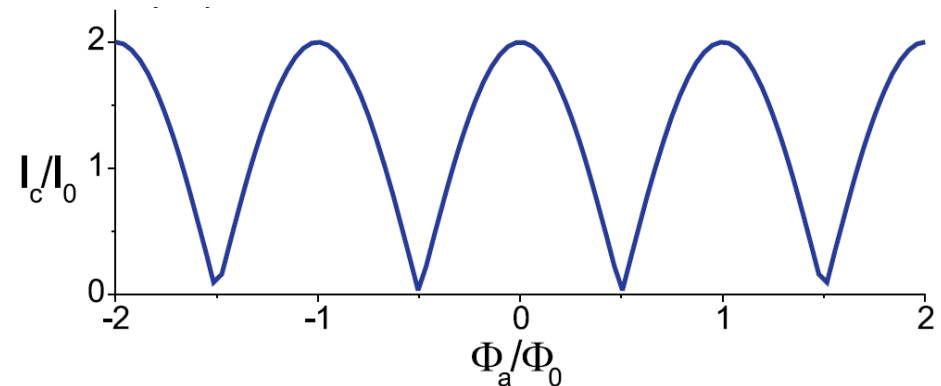
$$I \approx 2I_0 \cos\left(\frac{\pi\Phi_a}{\Phi_0}\right) \cdot \sin\left(\frac{\pi\Phi_a}{\Phi_0} + \delta_1\right)$$



maximum supercurrent I_c through the SQUID:

$$\rightarrow \sin\left(\pi\frac{\Phi_a}{\Phi_0} + \delta_1\right) = \pm 1$$

$$I_c \approx 2I_0 \left| \cos\left(\frac{\pi\Phi_a}{\Phi_0}\right) \right|$$



dc SQUID Basics: Static Case

b) large inductance: $\beta_L \gg 1$

the applied flux is screened by the flux induced by LJ

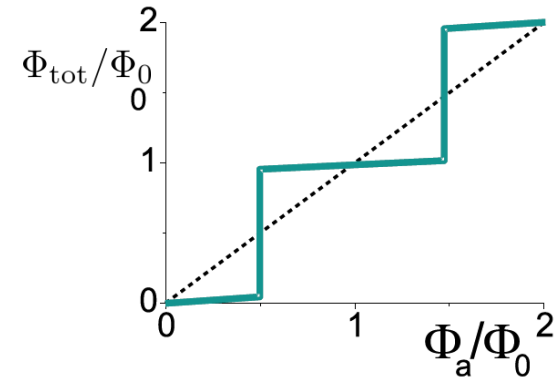
to minimize magnetic energy $L|J| \leq \frac{\Phi_0}{2} \implies |J| \leq \frac{\Phi_0}{2L} = \frac{\Phi_0}{2LI_0} I_0 \ll I_0$

$= \frac{1}{\beta_L} \ll 1$

\implies the effect of the induced circulating current J on δ_1, δ_2 is small: $\delta_2 - \delta_1 \approx 0$

$\implies \Phi_{\text{tot}} = \Phi_a + LJ \approx n\Phi_0$

$\implies J \approx -\frac{\Phi_a - n\Phi_0}{L}$ i.e., for large L , $J \rightarrow 0$

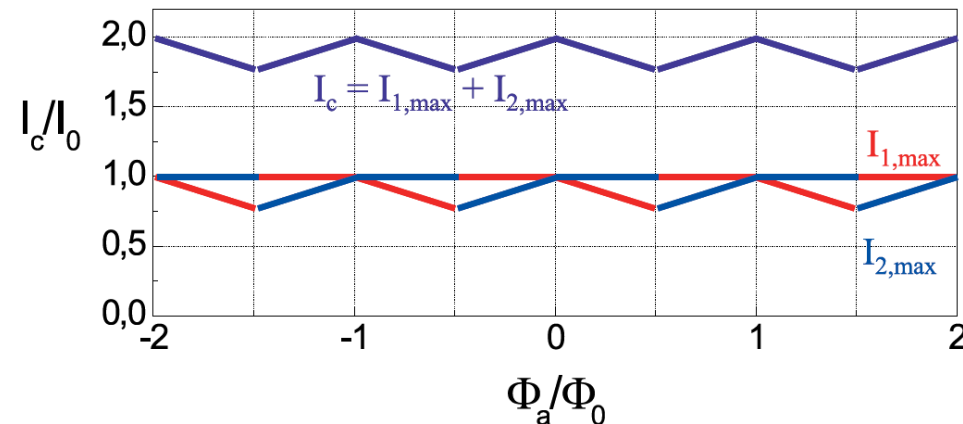


one finds for the maximum change of I_c

$$\Delta I_c \approx \frac{\Phi_0}{L} = \frac{2}{\beta_L} I_0 \ll 2I_0$$

or for the relative modulation depth

$$\frac{\Delta I_c}{2I_0} \approx \frac{\Phi_0}{2I_0 L} = \frac{1}{\beta_L} \ll 1$$

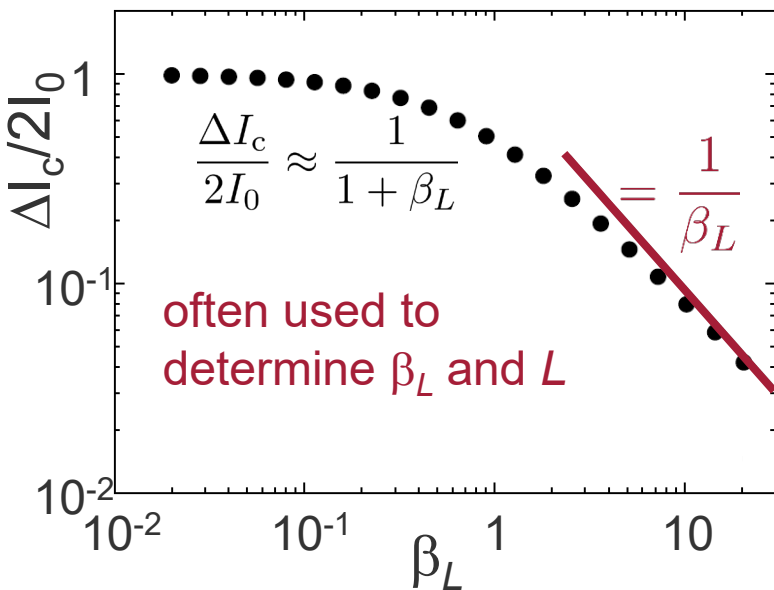


dc SQUID Basics: Static Case

General case:

from numerical simulations for

- symmetric dc SQUID
- at $T=0$ & sinusoidal CPR

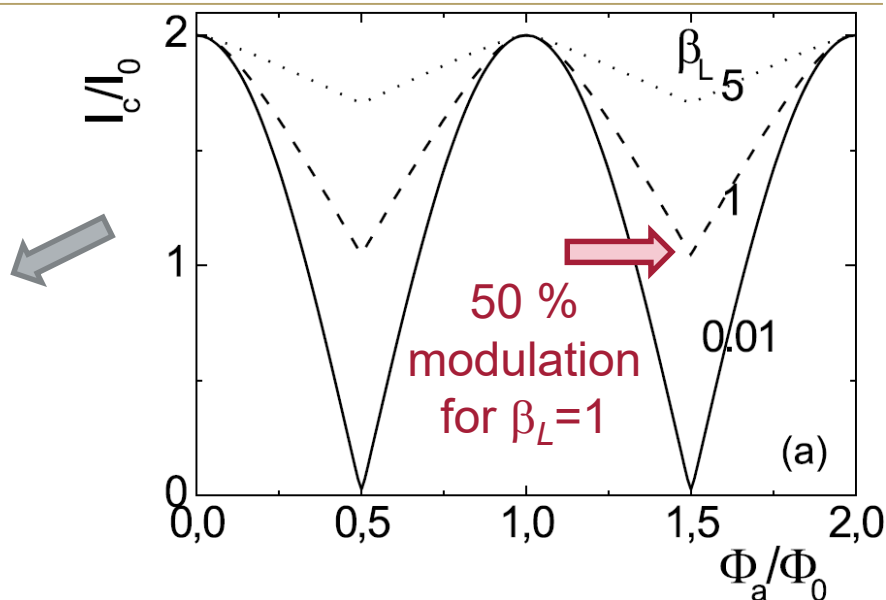


... and by thermal noise!

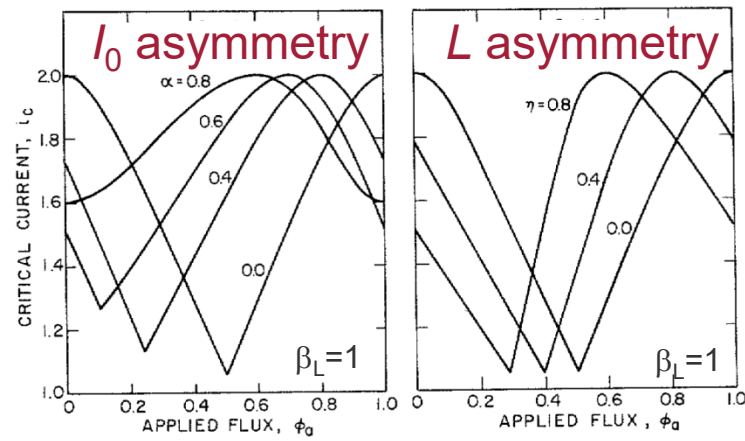
... and deviations from sinusoidal CPR!

J. R. Prance & M. D. Thompson, *Appl. Phys. Lett.* **122** (2023)

D. Jetter, B.Sc. Thesis, Univ. Tübingen (2019)

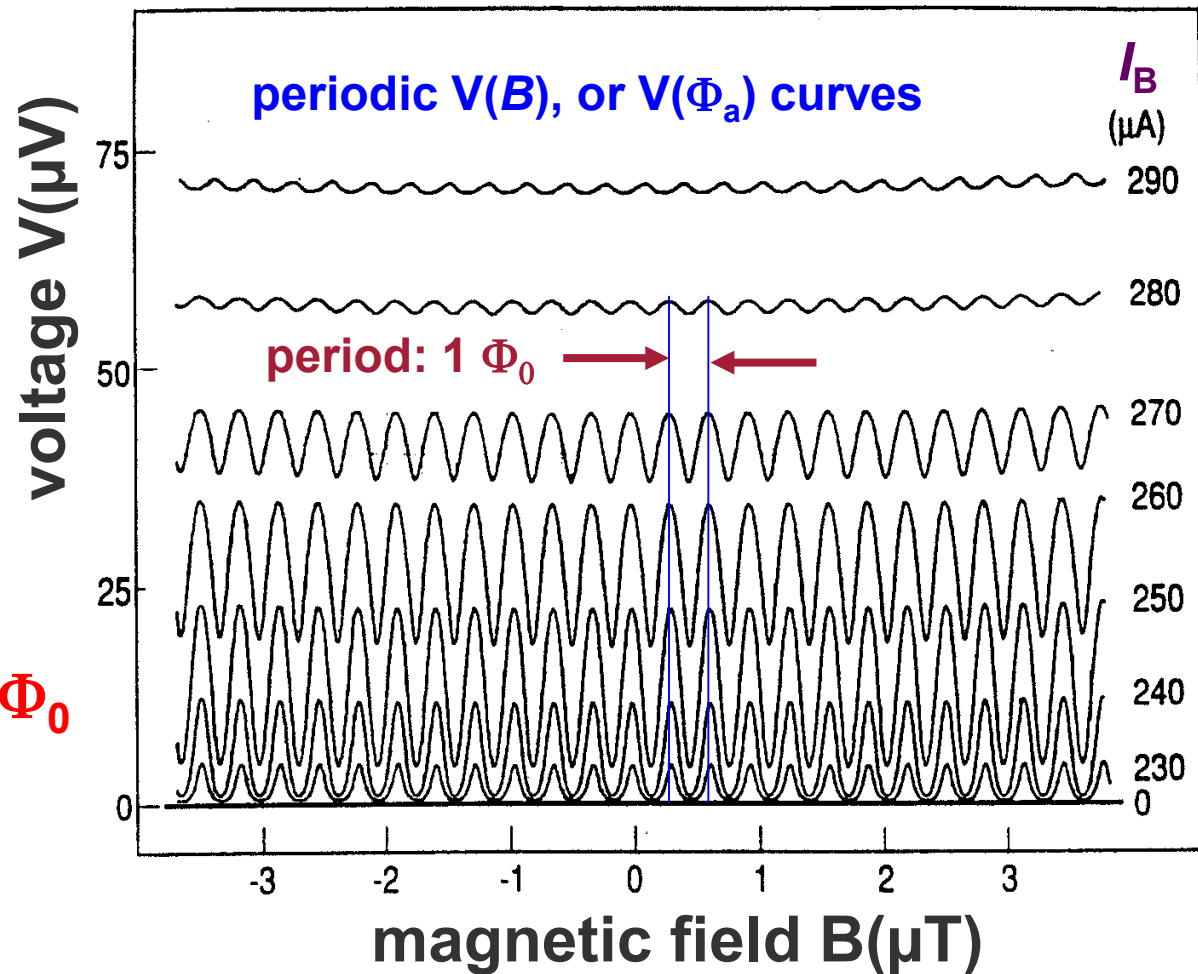
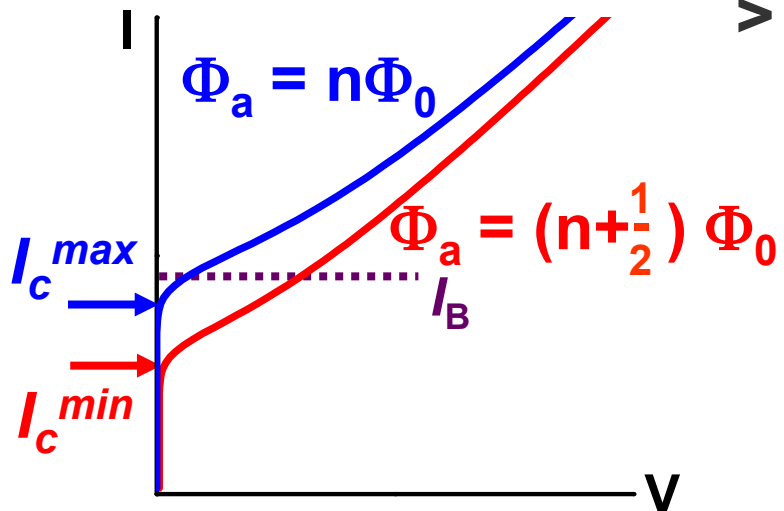
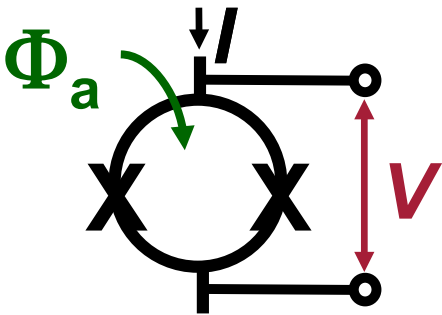


Caution: $I_c(\Phi_a)$ modified for asymmetric SQUIDs



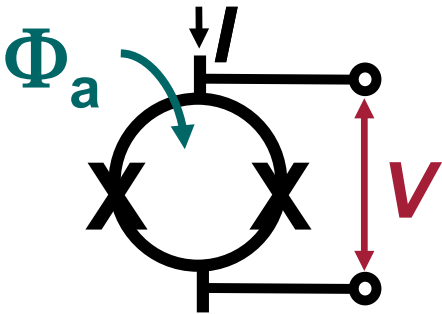


dc SQUID Basics: Dynamic Case

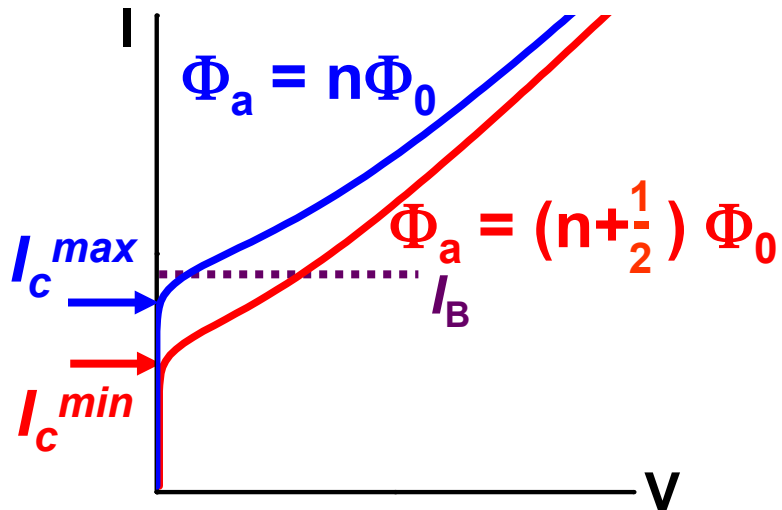
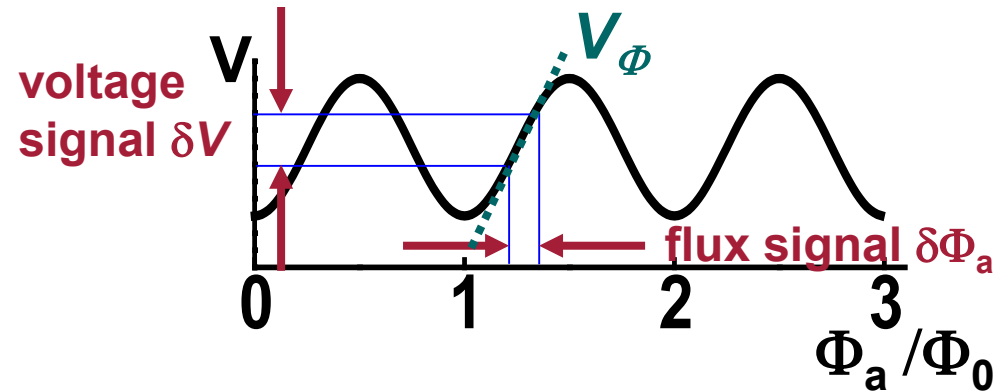




dc SQUID Basics: Dynamic Case



voltage vs applied flux $V(\Phi_a)$
(at $I_B = \text{const}$)

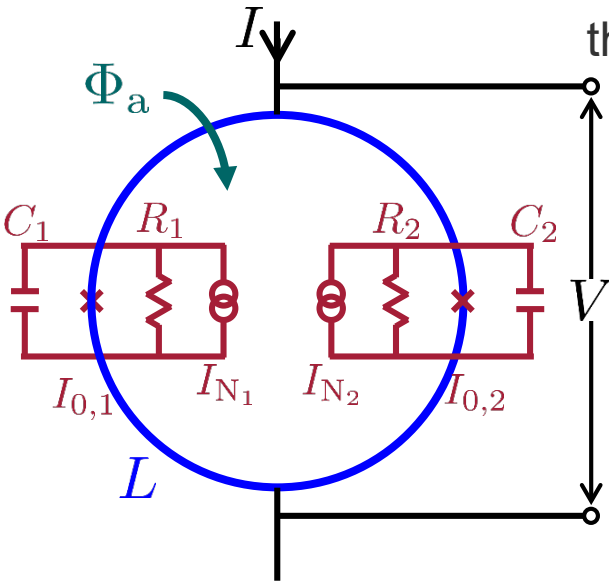


transfer function = maximum slope of $V(\Phi_a)$

$$V_{\Phi} \approx R/L \quad \text{typical: } V_{\Phi} \approx 100 \mu\text{V}/\Phi_0$$



dc SQUID Basics: Dynamic Case



theoretical description based on RCSJ model

$$I_1 = \frac{I}{2} + J = I_{0,1} \sin \delta_1 + \frac{\Phi_0}{2\pi R_1} \dot{\delta}_1 + \frac{\Phi_0 C_1}{2\pi} \ddot{\delta}_1 + I_{N_1}$$



$$I_2 = \frac{I}{2} - J = I_{0,2} \sin \delta_2 + \frac{\Phi_0}{2\pi R_2} \dot{\delta}_2 + \frac{\Phi_0 C_2}{2\pi} \ddot{\delta}_2 + I_{N_2}$$

$$\delta_2 - \delta_1 = \frac{2\pi}{\Phi_0} (\Phi_a + LJ) = \frac{2\pi}{\Phi_0} \Phi_{\text{tot}} \quad V = \frac{\Phi_0}{2\pi} \frac{\overline{\dot{\delta}_1} + \overline{\dot{\delta}_2}}{2}$$

asymmetry:

$$\begin{aligned} I_{0,1} &= I_0(1 - \alpha_I); & R_1 &= R/(1 - \alpha_R); & C_1 &= C(1 - \alpha_C) & I_0 &= (I_{0,1} + I_{0,2})/2 \\ I_{0,2} &= I_0(1 + \alpha_I); & R_2 &= R/(1 + \alpha_R); & C_2 &= C(1 + \alpha_C) & R &= 2R_1R_2/(R_1 + R_2) \\ & & & & & & C &= (C_1 + C_2)/2 \end{aligned}$$

normalization: currents i, j (I_0), voltage (I_0R), time ($\tau = \Phi_0/(2\pi I_0R)$), magnetic flux ϕ (Φ_0)

$$i_1 = \frac{i}{2} + j = (1 - \alpha_I) \sin \delta_1 + (1 - \alpha_R) \dot{\delta}_1 + \beta_C (1 - \alpha_C) \ddot{\delta}_1 + i_{N_1}$$

$$i_2 = \frac{i}{2} - j = (1 + \alpha_I) \sin \delta_2 + (1 + \alpha_R) \dot{\delta}_2 + \beta_C (1 + \alpha_C) \ddot{\delta}_2 + i_{N_2}$$

$$\delta_2 - \delta_1 = 2\pi(\phi_a + \frac{\beta_L j}{2}) \quad v = \frac{\overline{\dot{\delta}_1} + \overline{\dot{\delta}_2}}{2}$$



dc SQUID Basics: Dynamic Case

dc SQUID Potential

with normalized circulating current $\frac{J}{I_0} \equiv j = \frac{2}{\pi\beta_L} \left(\frac{\delta_2 - \delta_1}{2} - \pi\phi_a \right)$

inserted into the normalized Eqs. of motion (for symmetric case):

$$\beta_C \ddot{\delta}_k + \dot{\delta}_k = - \frac{\partial u_{\text{dcsquid}}}{\partial \delta_k} \quad (\text{with } k = 1, 2)$$

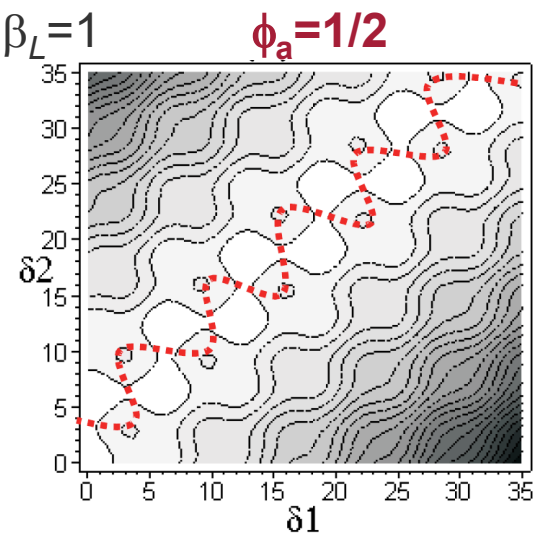
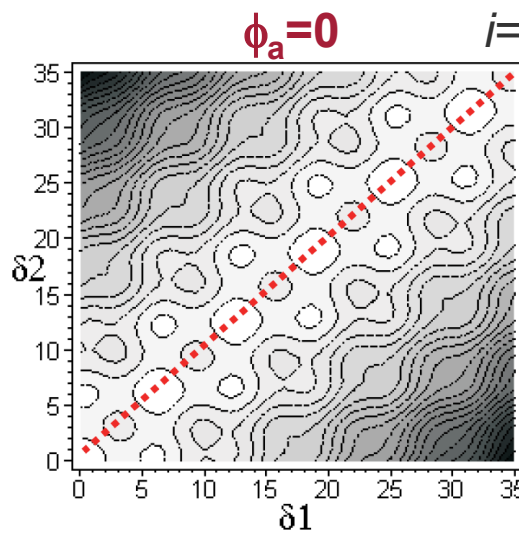
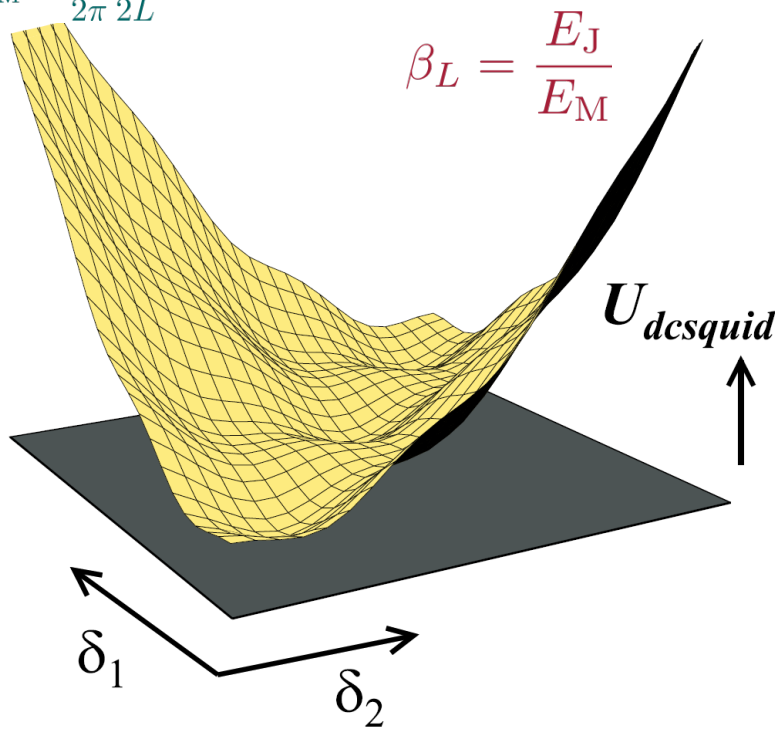
with the 2-dim. dc SQUID potential

$$\frac{U_{\text{dcsquid}}}{E_J} = u_{\text{dcsquid}} = \underbrace{\frac{2}{\pi} \frac{1}{\beta_L} \left(\frac{\delta_2 - \delta_1}{2} - \pi\phi_a \right)^2}_{\text{magnetic energy}} - \underbrace{\cos \delta_1 - \cos \delta_2}_{\text{Josephson energy}} - i \frac{\delta_1 + \delta_2}{2}$$

$$E_J \equiv \frac{I_0 \Phi_0}{2\pi}$$

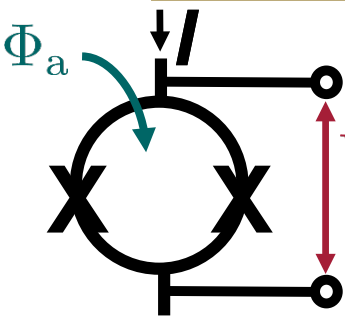
$$E_M = \frac{1}{2\pi} \frac{\Phi_0^2}{2L}$$

$$\beta_L = \frac{E_J}{E_M}$$





dc SQUID: noise



noise voltage $V_N(t)$ with spectral power density of voltage noise $S_V(f)$

$$V = V_{dc} + V_N$$

equivalent noise flux

$$\Phi_N(t) = \frac{V_N}{V_\Phi}$$

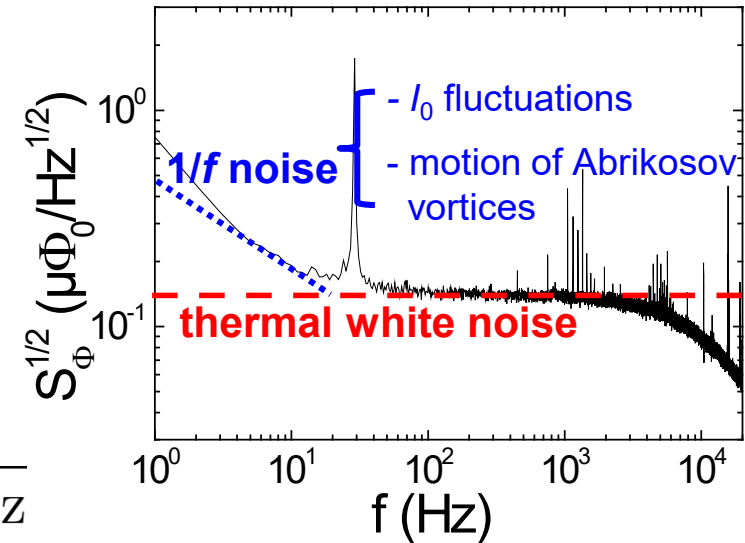
$$S_\Phi(f) = \frac{S_V}{V_\Phi^2}$$

with equivalent spectral density of flux noise

→ rms flux noise $S_\Phi^{1/2} = S_V^{1/2} / V_\Phi$ units: $\Phi_0 / \sqrt{\text{Hz}}$

fluctuation energy $\frac{\Phi_N^2}{2L}$

energy resolution $\varepsilon = \frac{S_\Phi}{2L}$ units: $\text{J} / \sqrt{\text{Hz}}$





dc SQUID Basics: Thermal Fluctuations

thermal fluctuations at finite temperature T become important when $k_B T$ approaches

- the Josephson coupling energy $E_J = \frac{I_0 \Phi_0}{2\pi}$ i.e. $\frac{k_B T}{E_J} = \Gamma = \frac{\frac{2\pi}{\Phi_0} k_B T}{I_0} = \frac{I_{th}}{I_0} \rightarrow 1$

- the characteristic magnetic energy $E_M = \frac{E_J}{\beta_L} = \frac{1}{2\pi} \frac{\Phi_0^2}{2L}$
i.e. $\frac{k_B T}{E_M} = \Gamma \beta_L = \frac{L}{\Phi_0^2 / (4\pi k_B T)} = \frac{L}{L_{th}} \rightarrow 1$

regime of small thermal fluctuations:

$$\Gamma \ll 1 \quad \Rightarrow \quad I_0 \gg I_{th} = \frac{2\pi}{\Phi_0} k_B T \propto T$$

for $T = 4.2$ K: $I_{th} \sim 0.18 \mu\text{A}$
for $T = 77$ K: $I_{th} \sim 2.3 \mu\text{A}$

$$\Gamma \beta_L \ll 1 \quad \Rightarrow \quad L \ll L_{th} = \frac{\Phi_0^2}{4\pi k_B T} \propto \frac{1}{T}$$

for $T = 4.2$ K: $L_{th} \sim 5.9 \text{ pH}$
for $T = 77$ K: $L_{th} \sim 320 \text{ pH}$

$$\Leftrightarrow LI_{th} \ll \frac{\Phi_0}{2}$$



dc SQUID: thermal „white“ noise

analysis based on numerical simulations (RCSJ model: solve coupled Langevin Eqs.)

in the limit of small thermal fluctuations (at ~ 4 K):

transfer function $V_{\Phi} \propto \frac{1}{1 + \beta_L}$ optimum noise performance at $\beta_L \approx 1$

for $\beta_L \approx 1$; $\Gamma \approx 1/20$: $V_{\Phi} \approx \frac{R}{L} \approx \frac{2I_0 R}{\Phi_0}$

$S_V \approx 16k_B T R$ $S_V^{1/2} \approx 15 \frac{\text{pV}}{\sqrt{\text{Hz}}} \times \sqrt{T[\text{K}] \cdot R[\Omega]}$

$S_{\Phi} \approx 16k_B T \frac{L^2}{R}$ $S_{\Phi}^{1/2} \approx 0.7 \frac{\mu\Phi_0}{\sqrt{\text{Hz}}} \times \sqrt{\frac{T[\text{K}]}{R[\Omega]}} \times L[100 \text{ pH}]$

$\varepsilon \approx 9k_B T \frac{L}{R} \approx \frac{9\Phi_0}{2} \frac{k_B T}{I_0 R} \approx \underbrace{10^{-34} (\text{J/Hz})}_{\approx \hbar} \times \frac{T[\text{K}]}{I_0 R[\text{mV}]}$

typical values:

$S_{\Phi}^{1/2} \sim 1 - 10 \mu\Phi_0/\text{Hz}^{1/2}$

extremely sensitive to tiny changes of magnetic flux



dc SQUID: thermal „white“ noise

analysis based on numerical simulations (RCSJ model: solve coupled Langevin Eqs.)

including large thermal fluctuations (~ 77 K):

normalized quantities
vs. Γ and β_L ($\beta_C=0.5$)
→ factorize into
 $f(\beta_L) \cdot g(\Gamma\beta_L)$

significantly deteriorate for
 $\Gamma\beta_L \gtrsim 0.3$

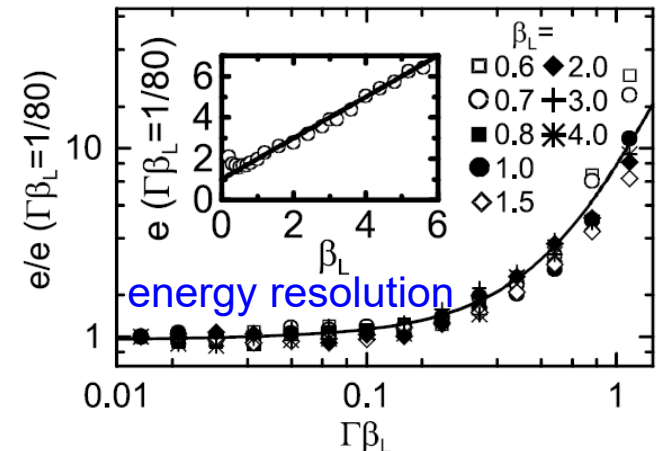
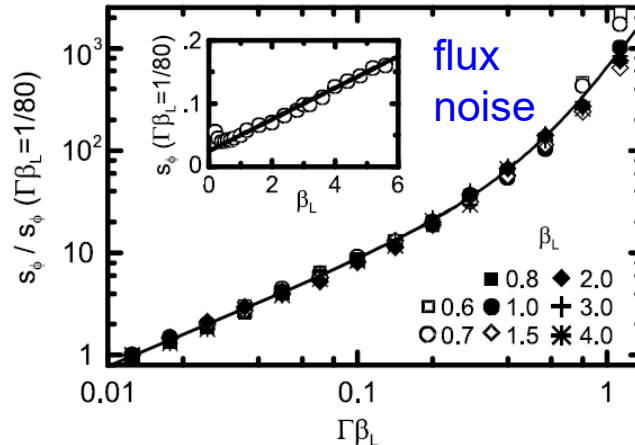
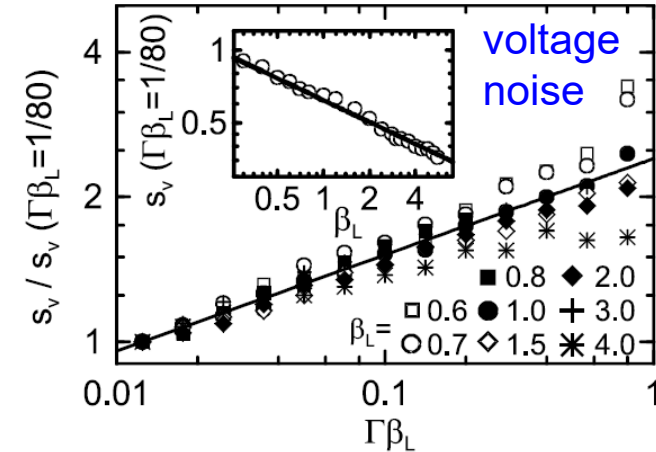
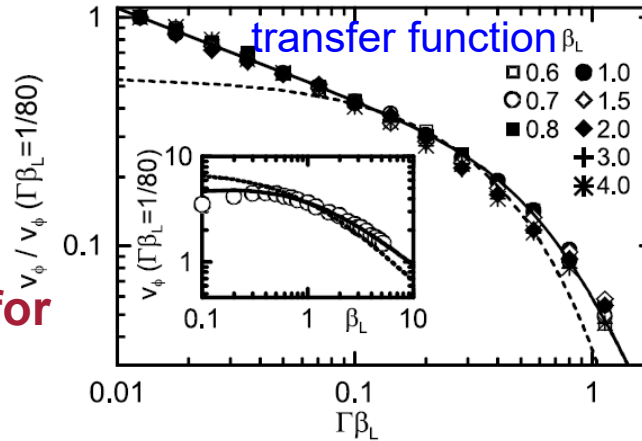
$L \gtrsim 0.3L_{th} \approx 100$ pH at 77 K
normalized quantities:

transfer function : $v_\phi \equiv V_\Phi \cdot \frac{\Phi_0}{I_0 R}$

voltage noise : $s_v \equiv S_V \cdot \frac{2\pi}{\Phi_0 I_0 R}$

flux noise : $s_\phi \equiv S_\Phi \cdot \frac{2\pi I_0 R}{\Phi_0^2}$

energy resolution : $e \equiv \varepsilon \cdot \frac{I_0 R}{2k_B T \Phi_0}$





I. SQUIDs: Basics & principle of operation

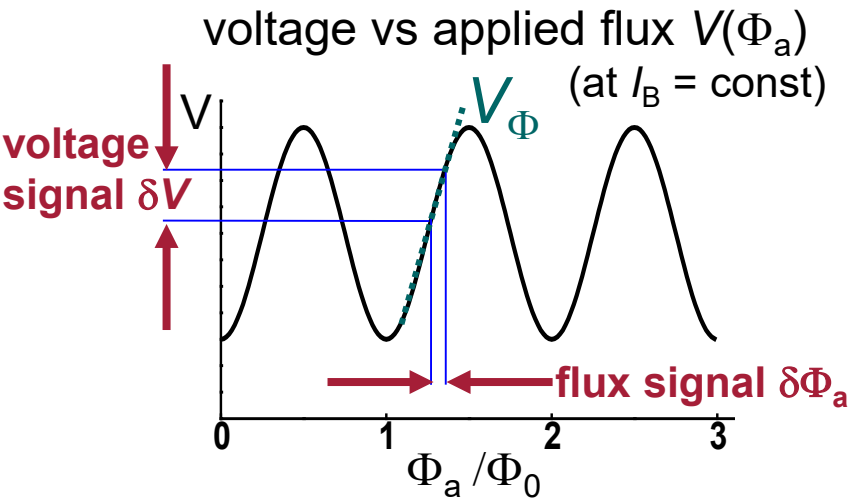
II. Practical devices and readout

III. SQUID applications

- a. Magnetometry, Susceptometry
- b. Biomagnetism: MEG, low-field MRI
- c. Scanning SQUID microscopy
- d. magnetic nanoparticle (MNP) detection

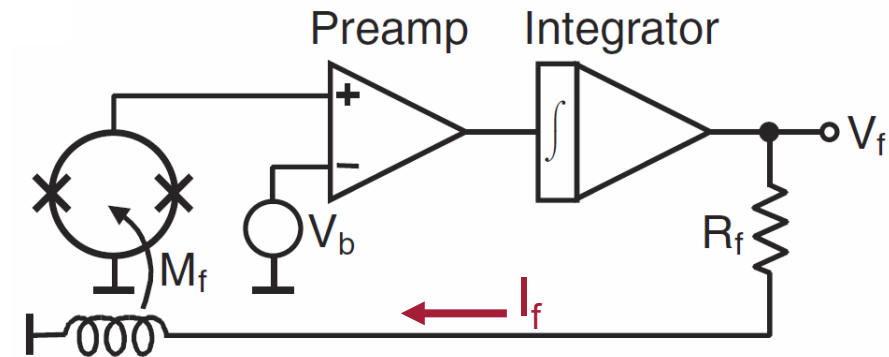


dc SQUID Readout Flux-Locked Loop (FLL)



flux-locked loop (FLL)

- linearizes output voltage
- maintains optimum working point



feedback flux $\Phi_f = I_f M_f$ compensates $\delta \Phi_a$

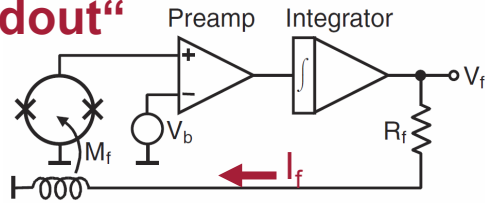
$$\text{output signal: } V_f = \frac{R_f}{M_f} \Phi_f \propto \delta \Phi_a$$

typical bandwidth: ~ 10 kHz ... ~ 10 MHz



dc SQUID Readout APF & ac Flux Modulation

„direct readout“



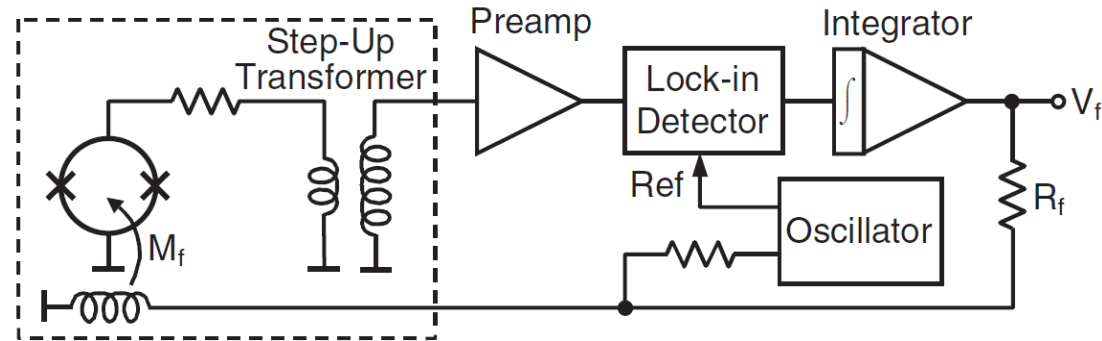
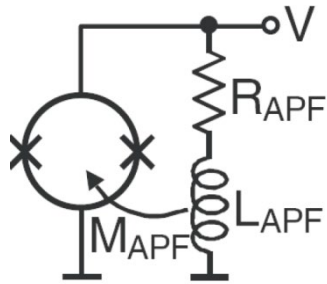
sensitivity can be limited by preamplifier (p.a.) noise

$$S_{V, \text{p.a.}}^{1/2} > S_{\Phi}^{1/2} \cdot V_{\Phi}$$

alternative readout schemes:

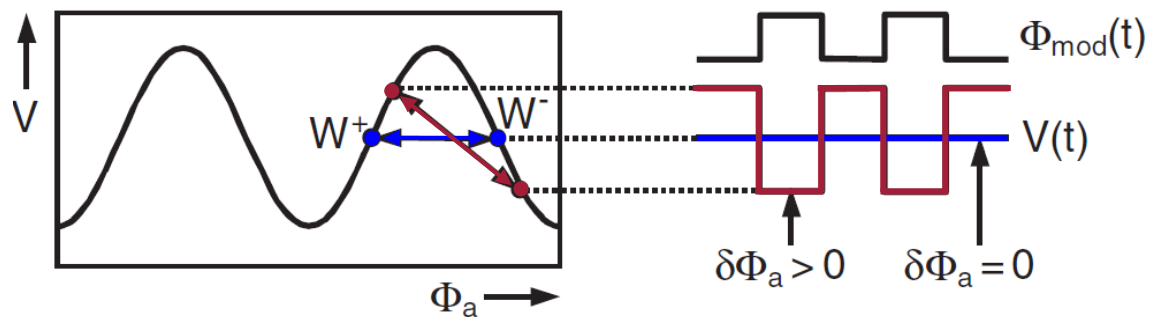
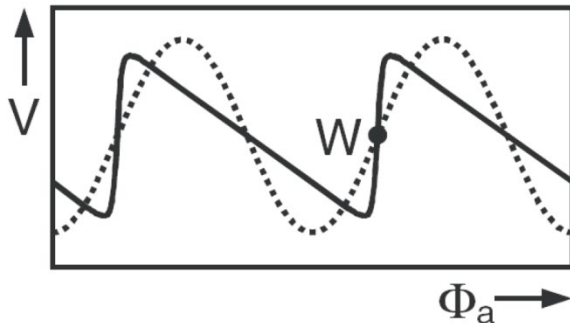
additional positive feedback (APF)

ac flux modulation



enhances V_{Φ} to overcome p.a. noise

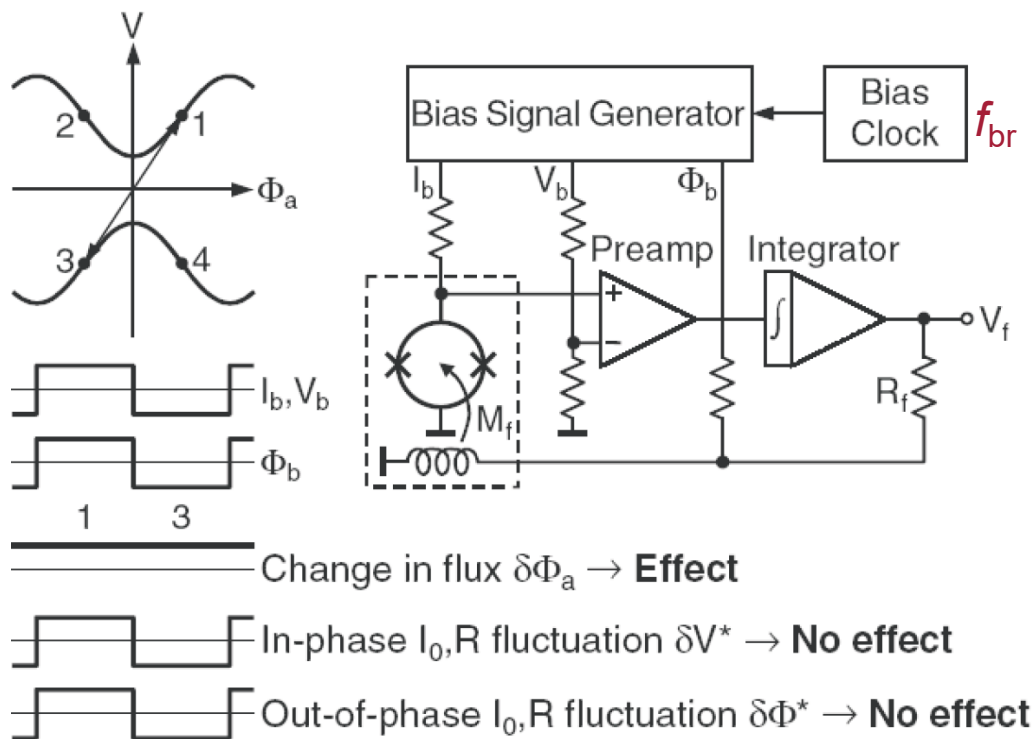
transformer enhances signal above p.a. noise level





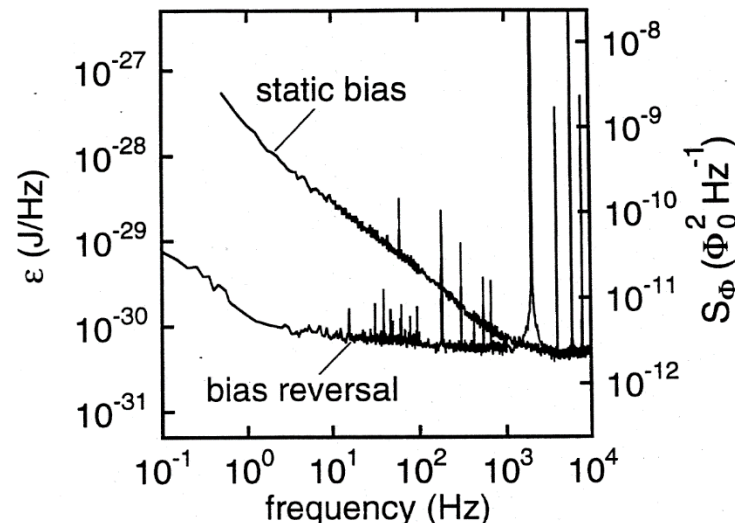
dc SQUID Readout: Bias Reversal

elimination of $1/f$ noise contribution from I_0 fluctuations



by reversing bias current (and bias flux, bias voltage) at reversal frequency f_{br} up to few 100 kHz

YBCO SQUID at 77 K



D. Koelle *et al.*, *Rev. Mod. Phys.* **71**, 631 (1999)

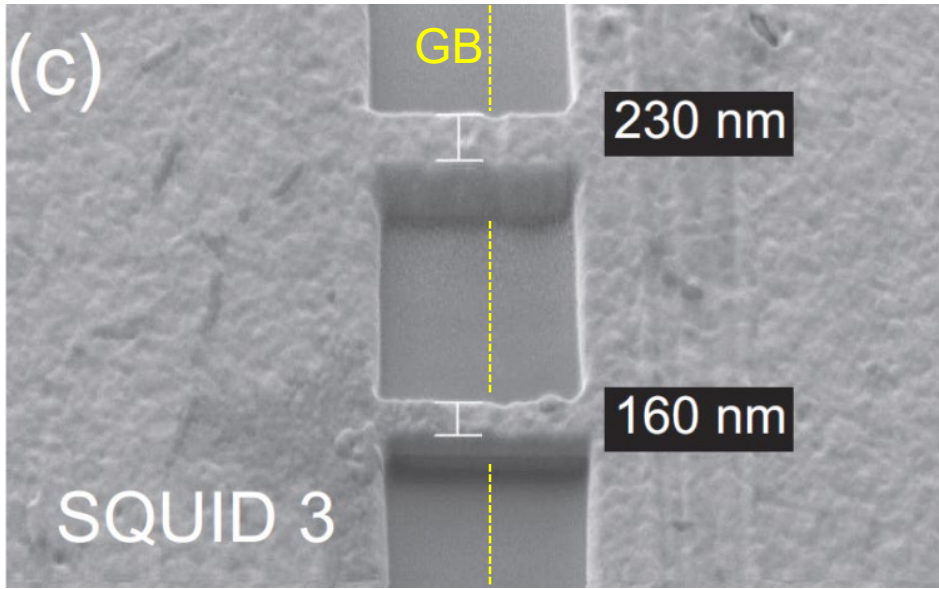
D. Drung, *High-Tc and Low-Tc dc SQUID Electronics*, *Supercond. Sci. Technol.* **16**, 1320 (2003)



Examples: SQUID Structures

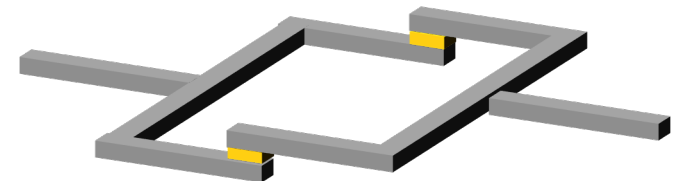
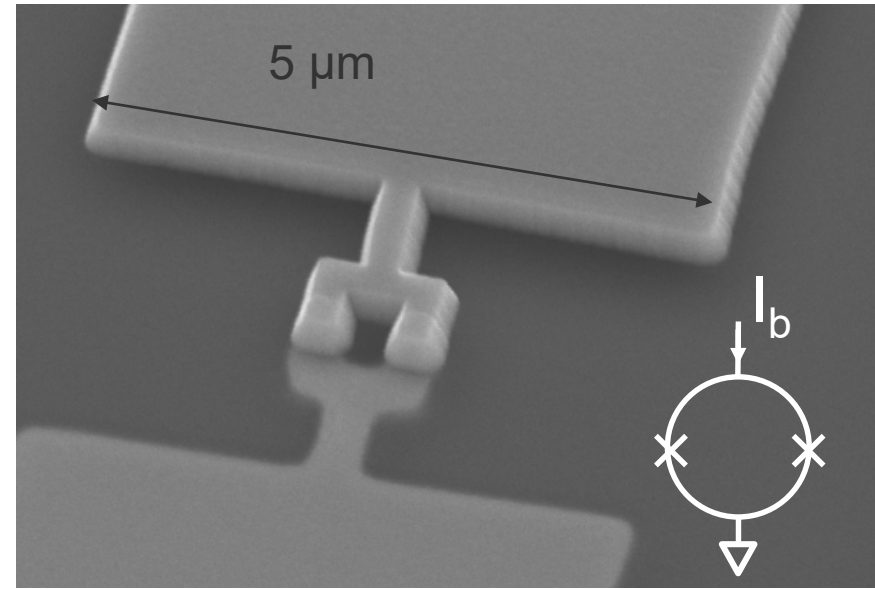
YBCO SQUID

Nb SQUID



1 μm

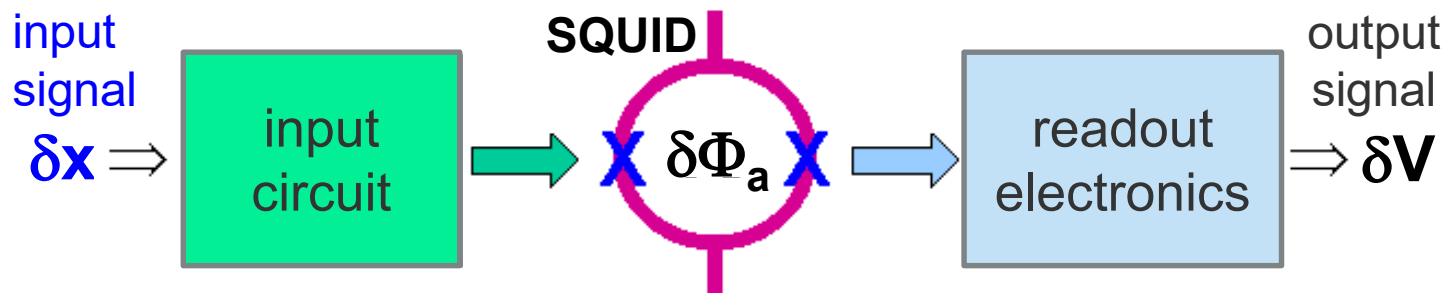
grain boundary (GB) junctions



sandwich-type trilayer Nb/HfTi/Nb junctions



SQUIDs for Measurements of Magnetic Fields & Field Gradients



typical signal to be detected:

change of magnetic field $\delta B \rightarrow$ flux signal: $\delta\Phi = \delta B \cdot A_{\text{eff}}$

effective sensor area \leftrightarrow flux-to-field conversion factor

magnetic field resolution:

\rightarrow rms spectral density of field noise

$$S_B^{1/2} \equiv \frac{S_\Phi^{1/2}}{A_{\text{eff}}} = S_\Phi^{1/2} B_\Phi$$

$$\frac{1}{A_{\text{eff}}} \equiv B_\Phi = \frac{\delta B}{\delta\Phi_a} = \frac{B_0}{\Phi_0}$$

experiment:

measure the homogeneous field B_0 required to induce one oscillation in $V(B)$, i.e. a flux change by $1 \Phi_0$

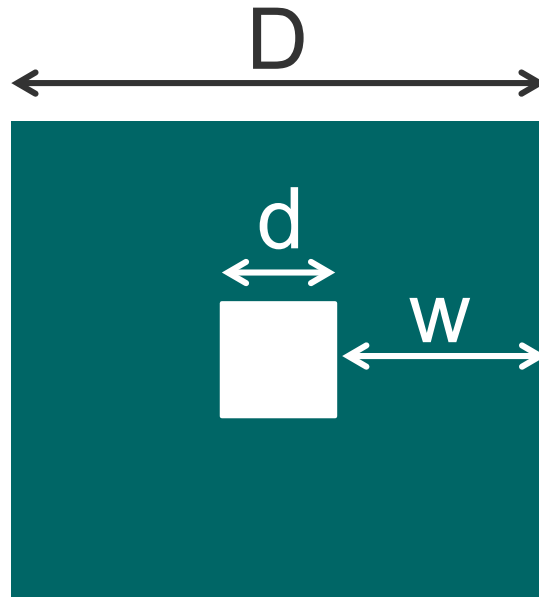
\rightarrow low field noise requires

- **small SQUID inductance L**
- **large sensor area A_{eff}**

solution: properly designed SQUID layouts and input circuit structures

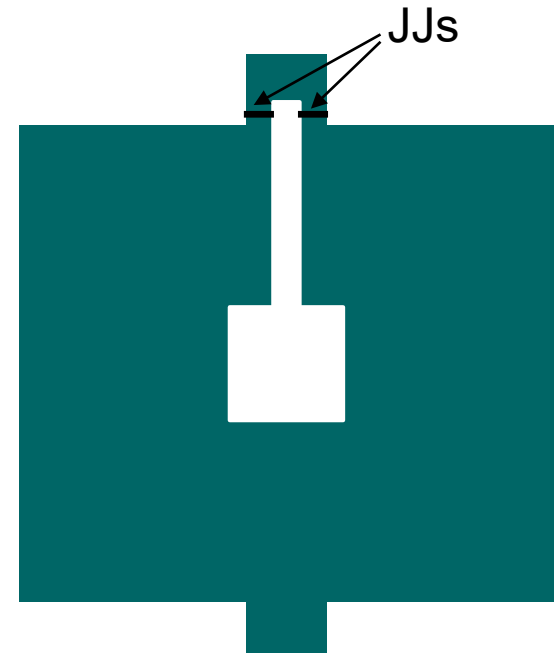


Washer SQUID



$$A_{\text{eff}} \approx dD$$

$$L \approx 1.25 \mu_0 d \quad (\text{for } w \gtrsim d)$$



with $d = 20 \mu\text{m}$
 $D = 500 \mu\text{m}$

$$A_{\text{eff}} = (100 \mu\text{m})^2$$

$$B_{\Phi} \sim 0.2 \mu\text{T}/\Phi_0$$

M.B. Ketchen *et al.*, in SQUID'85, deGruyter (1985)
M.B. Ketchen, IEEE Trans. Magn. **23**, 1650 (1987)

with flux noise
 $S_{\Phi}^{1/2} = 5 \mu\Phi_0/\text{Hz}^{1/2}$



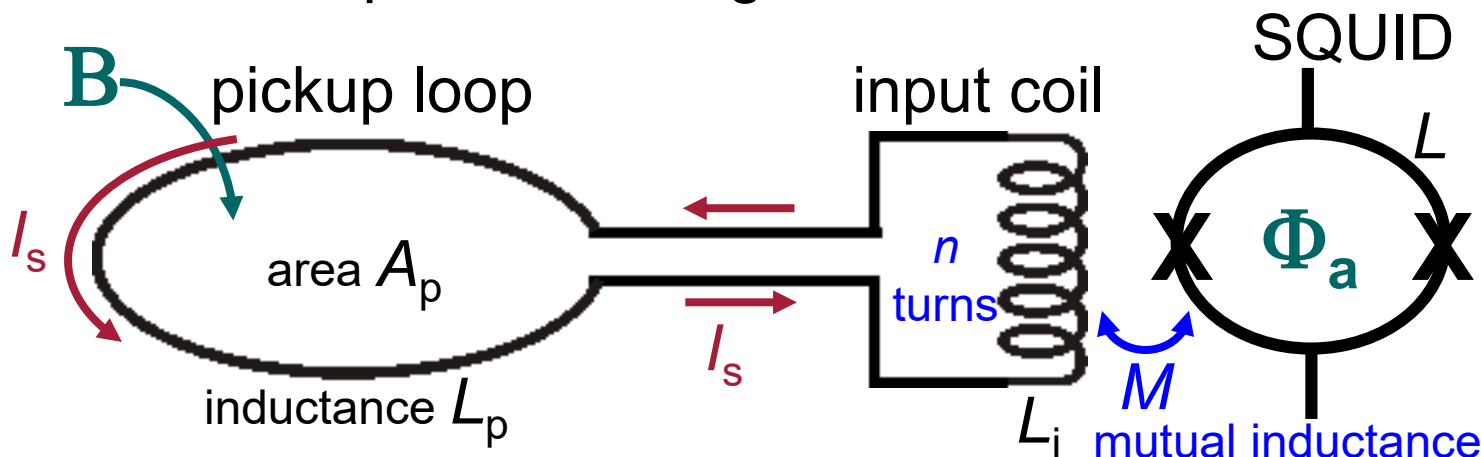
typical field noise
 $S_B^{1/2} \approx 1 \text{ pT}/\text{Hz}^{1/2}$

signals $< 10^{-6} B_{\text{earth}}$ detectable



Superconducting Flux Transformer

closed superconducting structure



B induces screening current

$$I_s = \frac{BA_p}{L_p + L_i}$$

couples flux into SQUID

$$\Phi_a = MI_s$$

with $M = \alpha\sqrt{L_i L}$

$$A_{\text{eff}} = \frac{\Phi_a}{B} = \frac{\alpha\sqrt{L_i L}}{L_p + L_i} A_p$$

coupling factor ≤ 1

maximize $A_{\text{eff}} \rightarrow L_i = L_p$ („matched“ transformer): $A_{\text{eff,mt}} = \frac{\alpha}{2} \sqrt{\frac{L}{L_p}} A_p$

adjust $L_i \approx n^2 L$ via number of turns

$$A_{\text{eff,mt}} \approx \frac{n}{2} \alpha \frac{L}{L_p} A_p$$

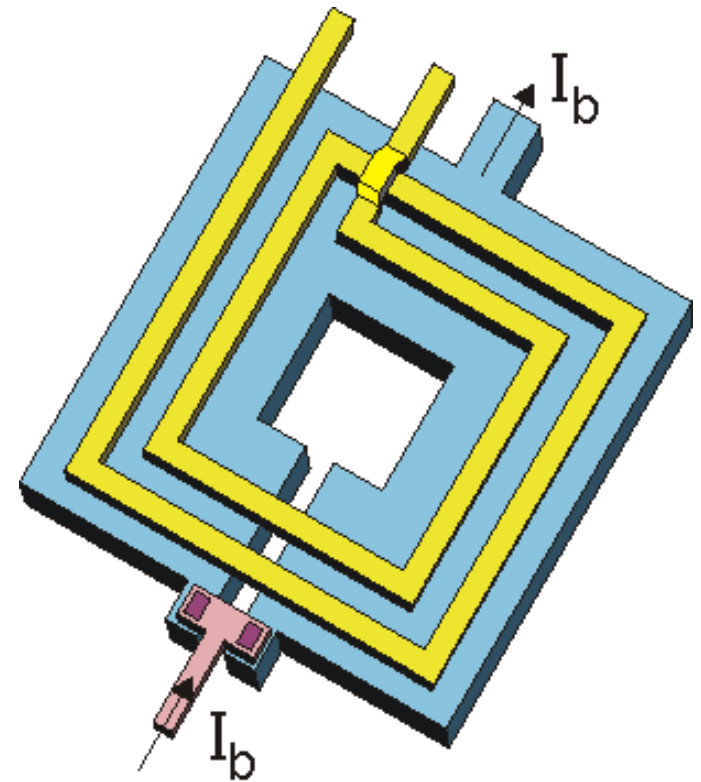
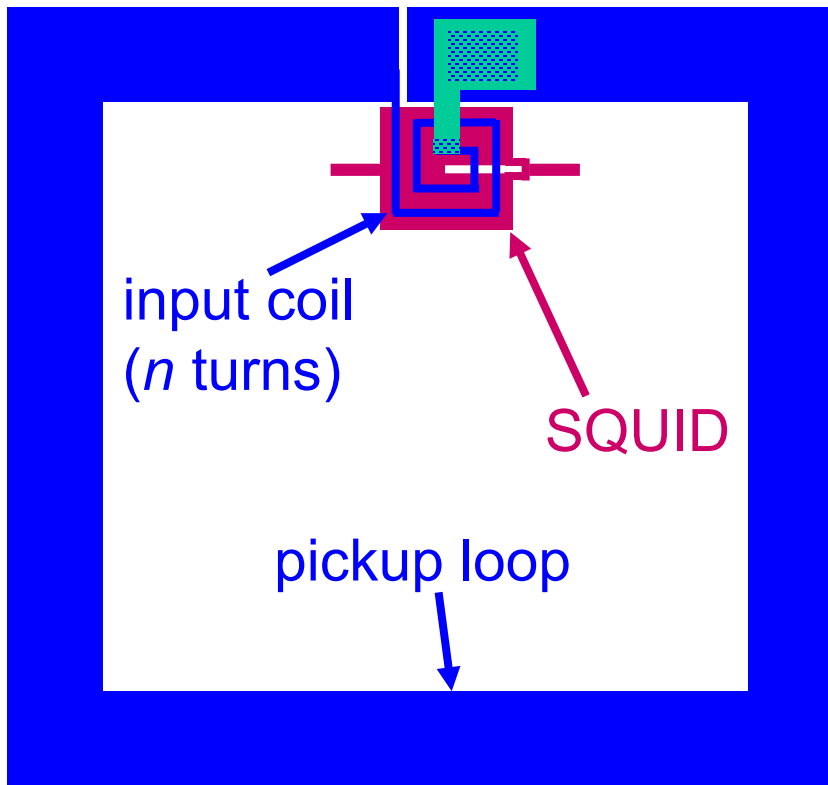
significantly enhanced effective area

with $A_p = 1 \text{ cm}^2$, $L_p = 22.5 \text{ nH}$, $L = 100 \text{ pH} \rightarrow n = 15$ & $\alpha = 0.75$: $A_{\text{eff}} \approx 2.5 \text{ mm}^2$



Inductively Coupled SQUID Magnetometer

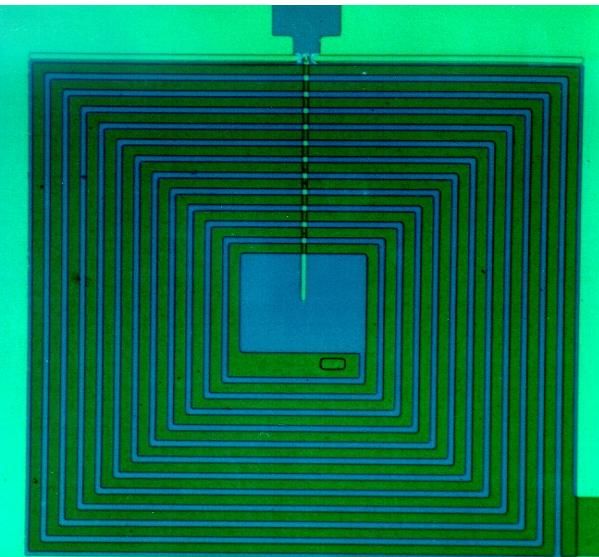
SQUID + flux transformer = Ketchen Magnetometer



multilayer structure



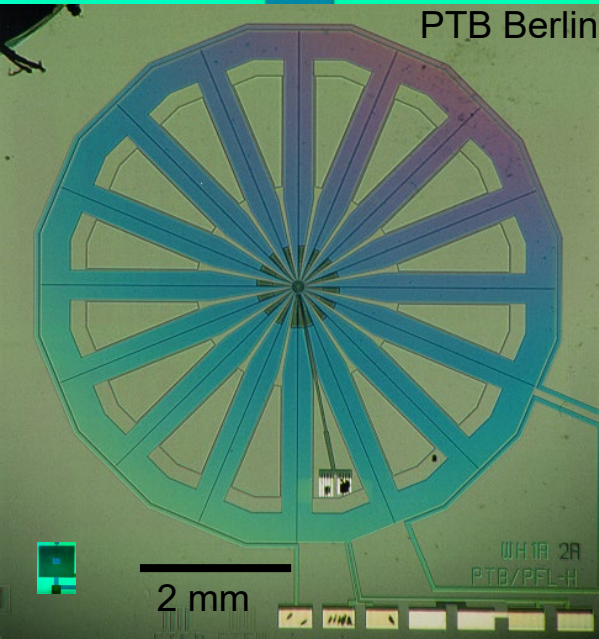
SQUID Magnetometer



UC Berkeley

100 μm

PTB Berlin



2 mm

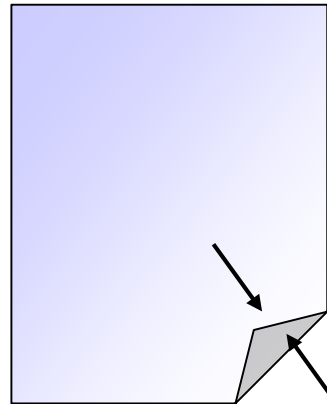


$$S_B^{1/2} \sim 1 \text{ fT} / \text{Hz}^{1/2} \text{ at } T = 4.2 \text{ K}$$

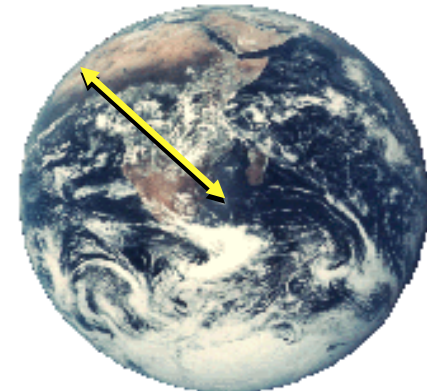
for frequencies $> 1 \text{ Hz}$

1 fT x 60 billions = earth magnetic field

comparison in size:



paper thickness 0.1 mm x 60 bill. = earth radius



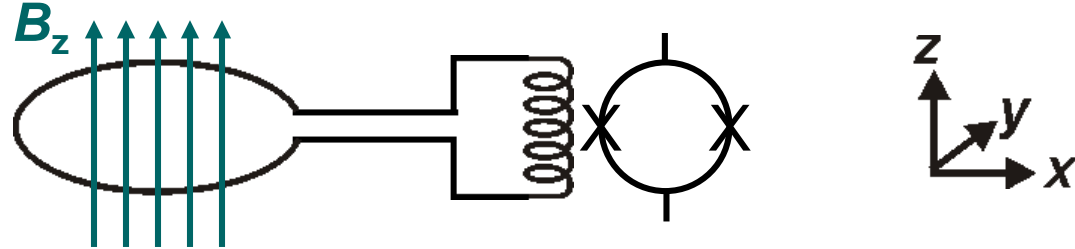
$$S_B^{1/2} \sim 150 \text{ aT} / \text{Hz}^{1/2} \text{ at } T = 4.2 \text{ K}$$

J.-H. Storm *et al*, Appl. Phys. Lett. **110**, 072603 (2017)

SQUID Gradiometer

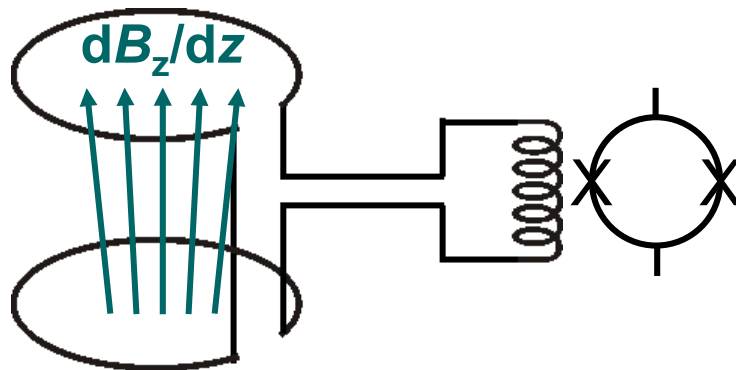
magnetometer:

measures field B_z



1. order gradiometer:

counter-wound
pickup-loops:



measures gradient dB/dz
→ local signals at one loop

*insensitive against
homogeneous
disturbing fields !!*



I. SQUIDs: Basics & principle of operation

II. Practical devices and readout

III. SQUID applications

a. Magnetometry, Susceptometry

b. Biomagnetism: MEG, low-field MRI

c. Scanning SQUID microscopy

d. Magnetic nanoparticle (MNP) detection



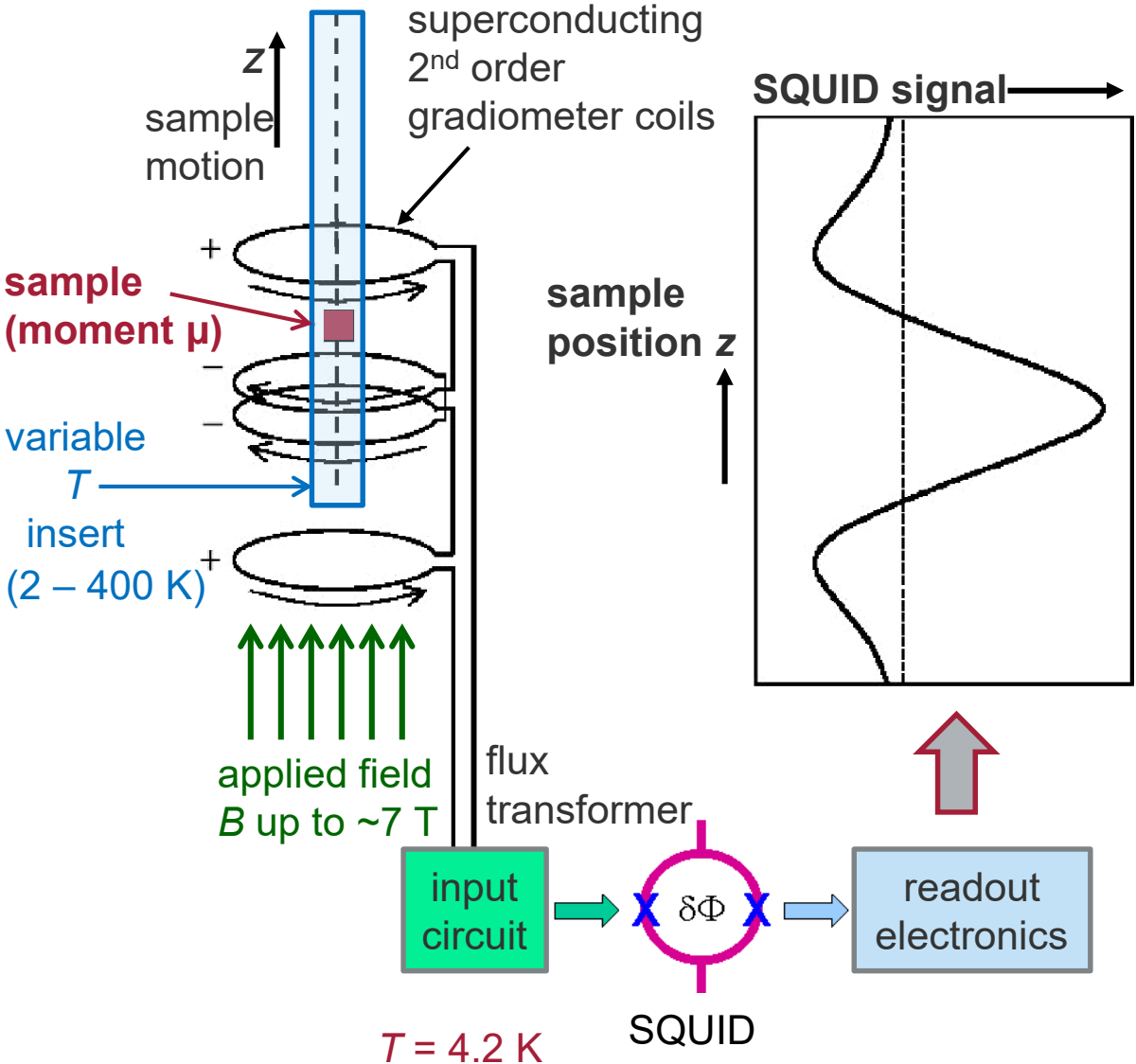
What is a SQUID good for?

quantities

- Magnetometry, Susceptometry
 - *materials-/geosciences, chemistry, physics* → magnetization M
 - *magnetic nanoparticles/molecules* → nanoSQUIDs → magnetic susceptibility χ
- Biomagnetism
 - *non-invasive imaging of brain and heart activity,* → $I, B, \nabla B$
 - *magnetic resonance imaging (MRI) at low magnetic fields* → M
- Geophysics
 - *search for fossile or geothermal energy resources, ...* → $B, \nabla B$
- Non-destructive evaluation of materials
 - *cracks or magnetic inclusions (airplane wheels, -turbines, reinforced steel in bridges), ...* → $I, B, \nabla B$
- Metrology
 - *voltmeter, amperemeter, noise thermometer, ...* → V_{dc}, V_{rf}, I, T
- Particle detectors (e.g. calorimeters)
 - $\delta\varepsilon, \delta T$
- SQUID microscopy
 - $B, \Phi(x, y, z)$

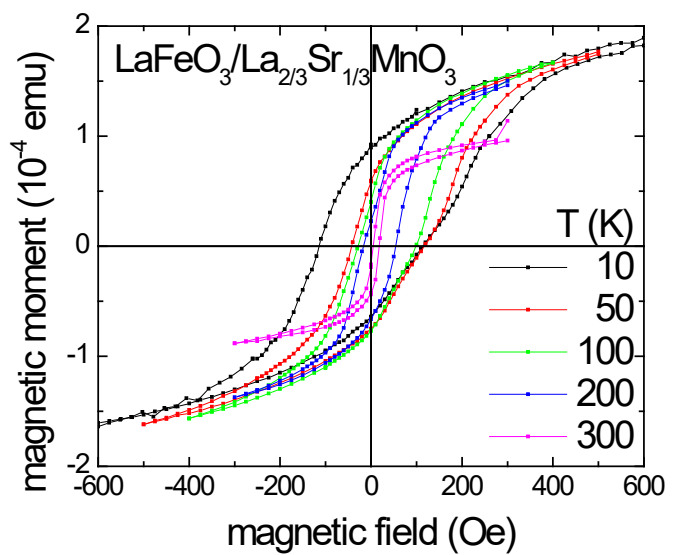


IV.a Magnetometry, Susceptometry



fitting routine $f(z)$

magnetic moment $\mu(T, B)$





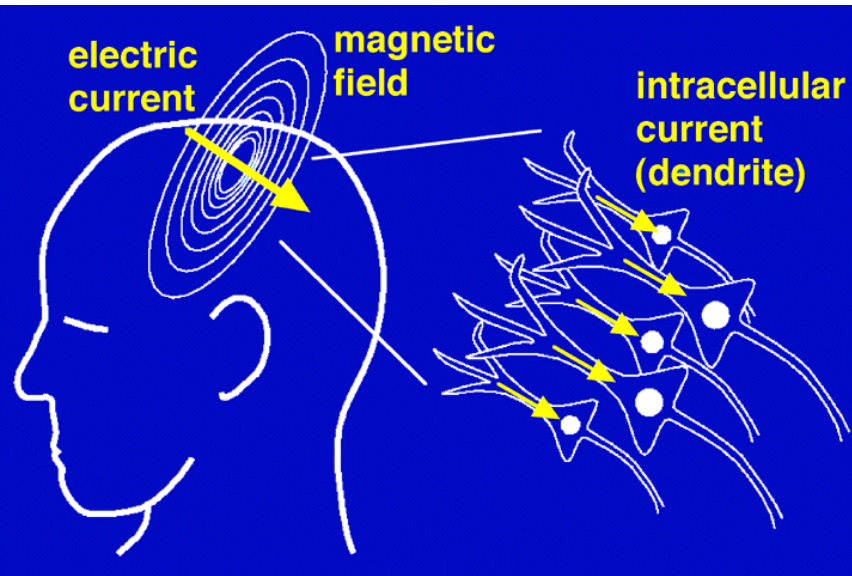
IV.b Biomagnetism

detection of magnetic fields (currents, magnetic particles, nuclear spins)
in living organisms, in particular humans

- Magneto-encephalography (MEG) → brain currents
- Magneto-cardiography (MCG) → heart currents
- Magneto-neurography (MNG) → action currents in nerves
- Liver-susceptometry → the only non-invasive method for quantitative determination of Fe-concentration in the liver
- Magneto-gastrography (MGG) & -enterography (MENG)
 - currents due to spontaneous activity of stomach & intestine muscles (MGG)
 - magnetic marker → mobility/transport in stomach-intestine (MENG)
- Magnetic relaxation immunoassays (MARIA)
 - magnetic relaxation of marker, coupled to antibodies
 - detection of smallest concentrations of specific substances (hormone, virus, ...)
- Low-field magnetic resonance imaging (MRI)
 - simpler MRI systems; cancer diagnosis



Detection of brain currents



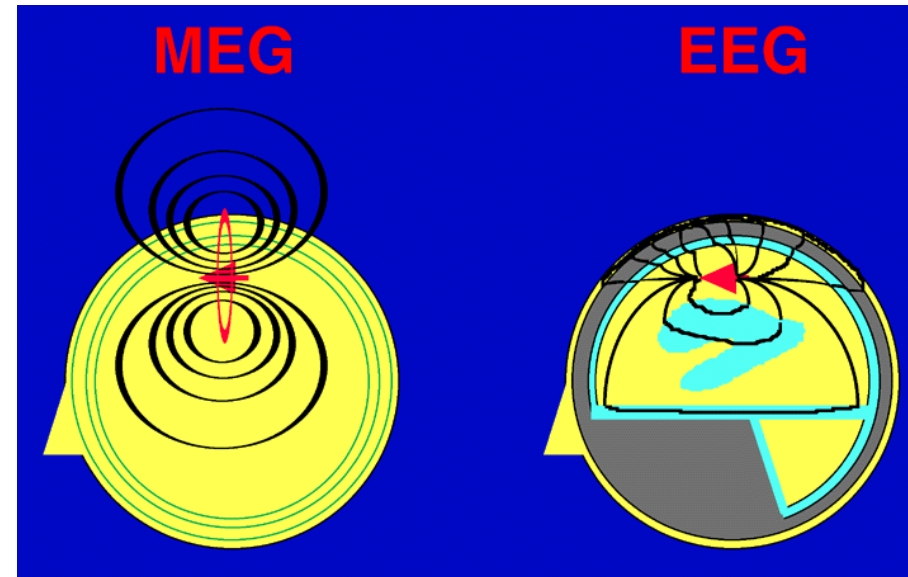
➔ $B \sim \text{pT} - \text{fT}$

comparison:

magnetic



electric



CTF Systems Inc.: MEG Introduction: Theoretical Background (2001); <http://www.ctf.com>

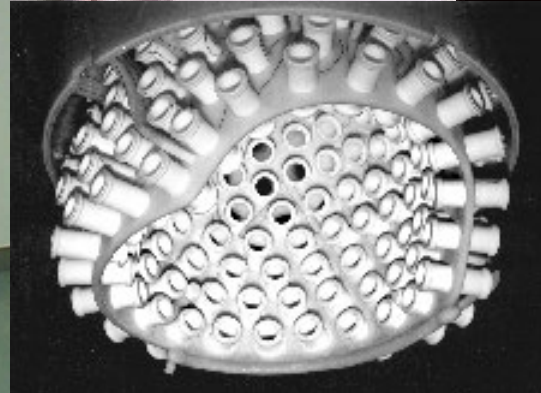


MEG with SQUIDs

multichannel SQUID systems  imaging



EEG (Electro~)



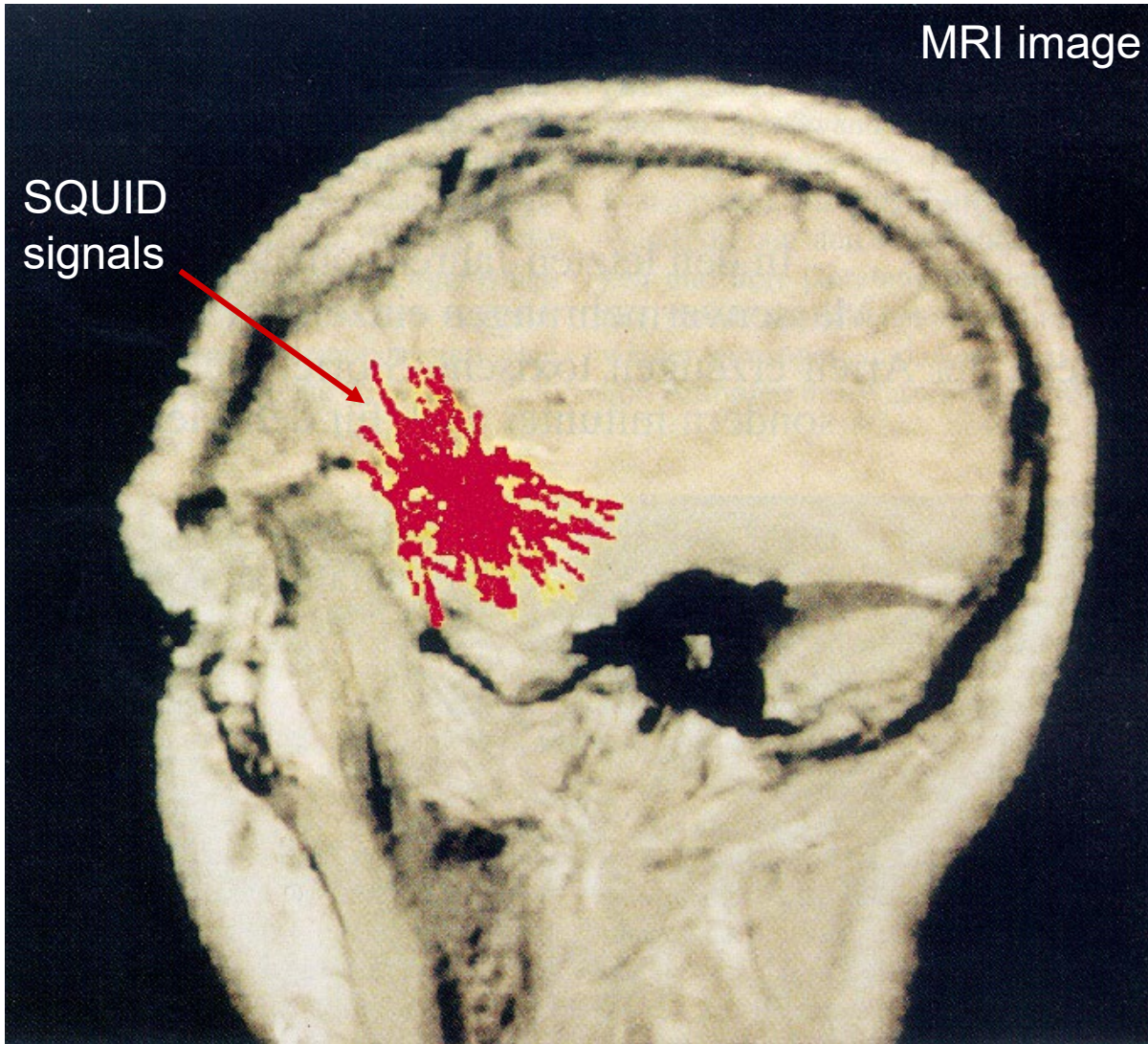
SQUID system:
>100 channels,
He cooling ($T=4.2\text{K}$)



Diagnosis of Focal Epilepsy

MRI image

SQUID
signals



localized
neural defect

magnetic
field pulses

J. Clarke, Scientific American
08/1994



Magnetic Resonance Imaging (MRI) in Low Magnetic Fields

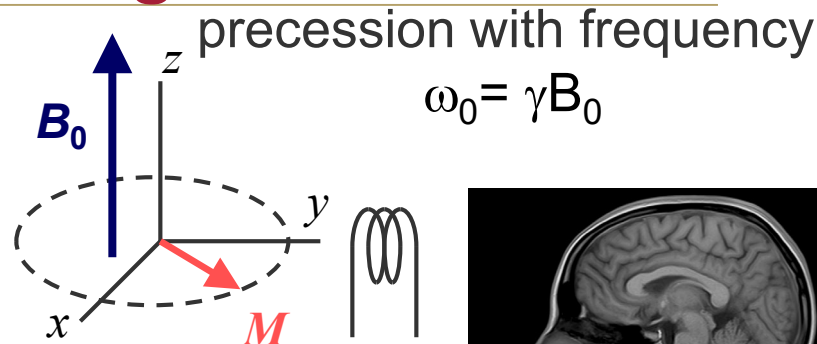
conventional Tesla-MRI:

detection of magnetization $M \propto \mu_p B_0 / k_B T$

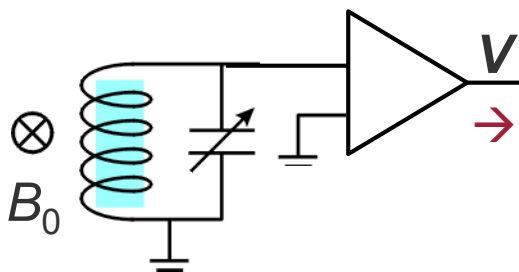
(proton spins μ_p in magnetic field B_0)

at frequencies $\omega_0/2\pi = B_0 \cdot 42.6 \text{ MHz/T}$

with induction coils $V \propto dM/dt \propto \omega_0 B_0 \propto B_0^2$



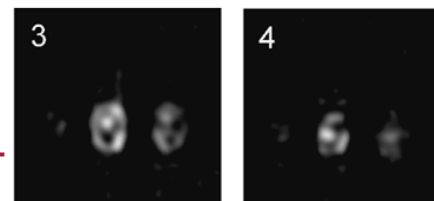
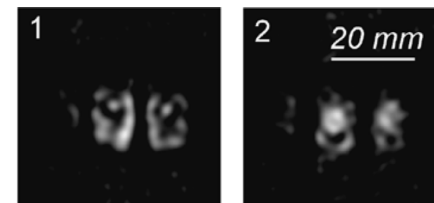
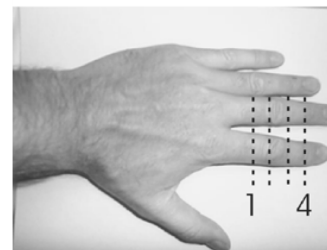
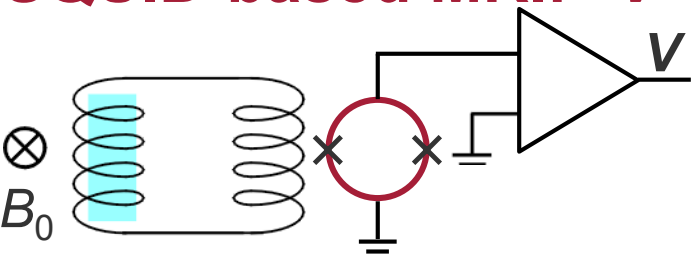
Siemens Healthcare
MAGNETOM Area 1.5T



Tesla fields

→ enormously high requirements
for homogeneity of B_0

SQUID-based MRI: $V \propto M \propto B_0$ (ultra-) low fields ($\leq mT$)



$B_0 = 132 \mu T$

prepolarization with extra coil or
hyperpolarization of spins:

→ M and V is independent of B_0



Advantages of Low-Field MRI

+ no superconducting coils → cheap, mobile systems

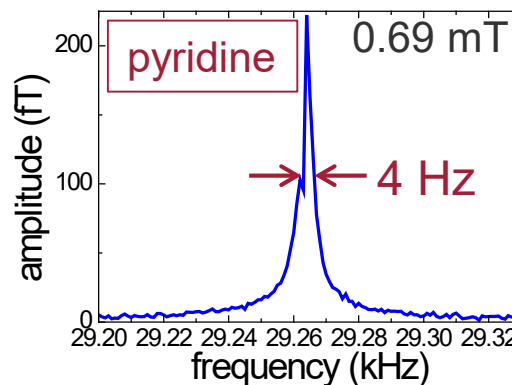
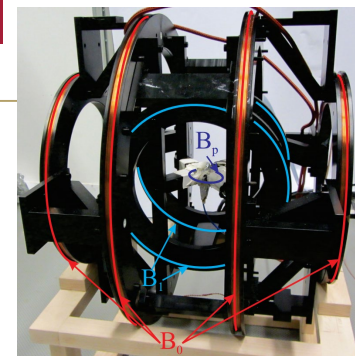
+ extremely narrow line width

+ broad band readout
→ simultaneous detection of various nuclei

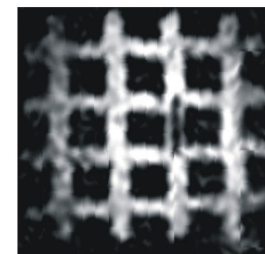
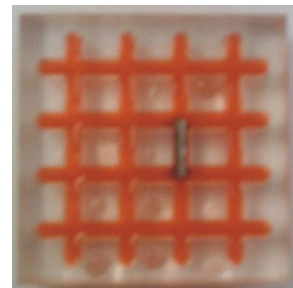
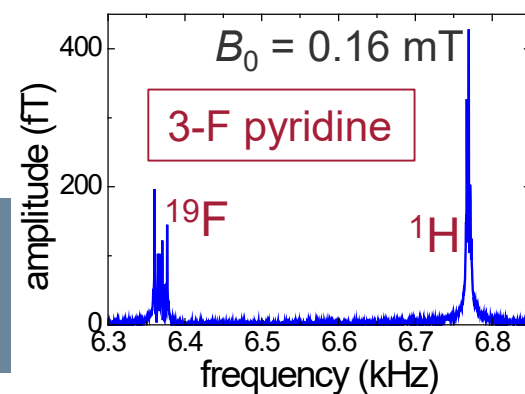
+ avoids artefacts from metals
→ monitoring of biopsies

+ enhanced image contrast at low fields/frequencies → cancer diagnosis

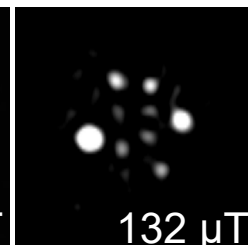
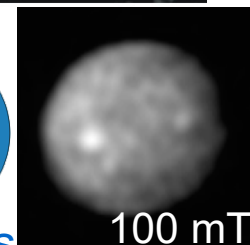
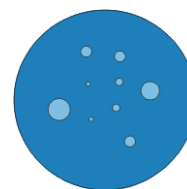
+ **combine fMRI & MEG**



Buckenmaier *et al.*,
Rev. Sci. Instr. 89,
125103 (2018)



M. Mössle,
UC Berkeley



H₂O-columns
in Agarose-Gel

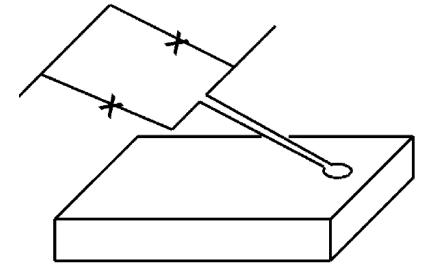
100 mT

132 μT



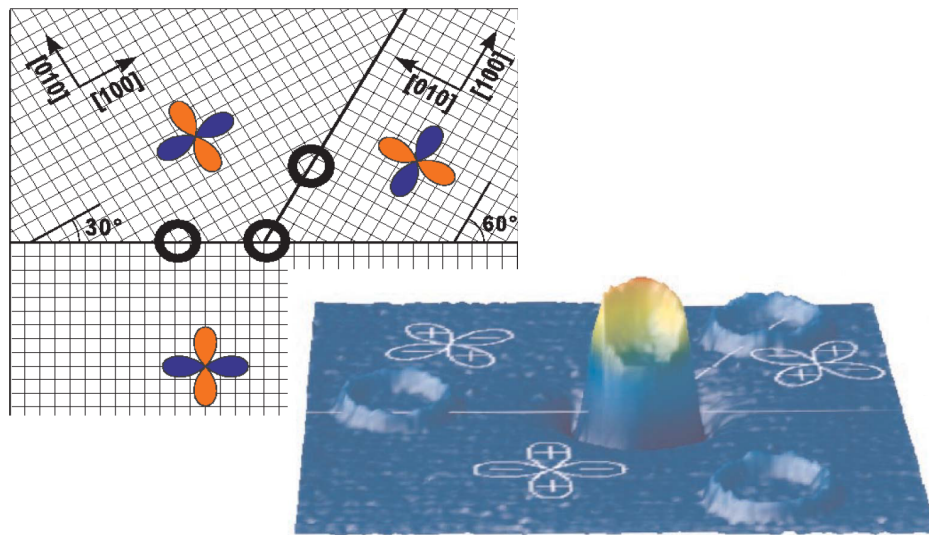
IV.c Scanning SQUID Microscopy

- early results in 1990s:
Fundamental studies on the order parameter symmetry of cuprate superconductors (Kirtley & Tsuei)



Kirtley, Physica C 368, 55 (2002)

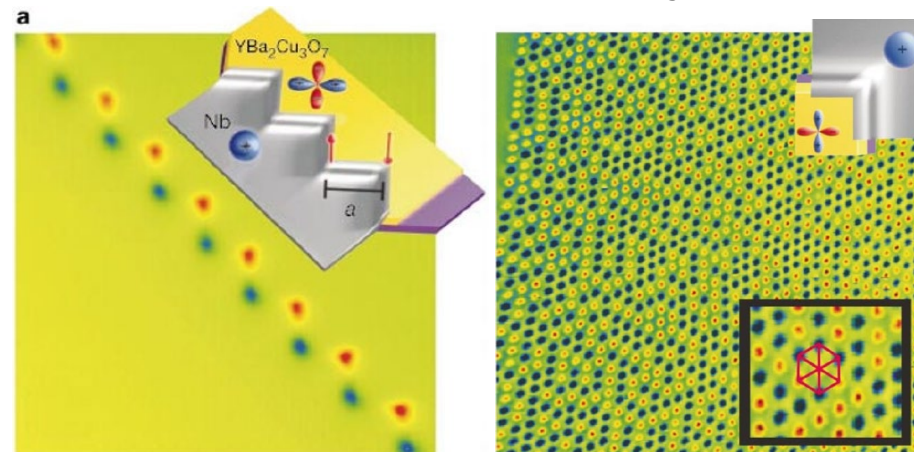
semifluxon in a YBCO π -loop



50 μm —

Tsuei & Kirtley, Rev. Mod. Phys. 72, 969 (2000)

semifluxons in YBCO/Nb $0-\pi$ junctions



50 μm —

— 50 μm

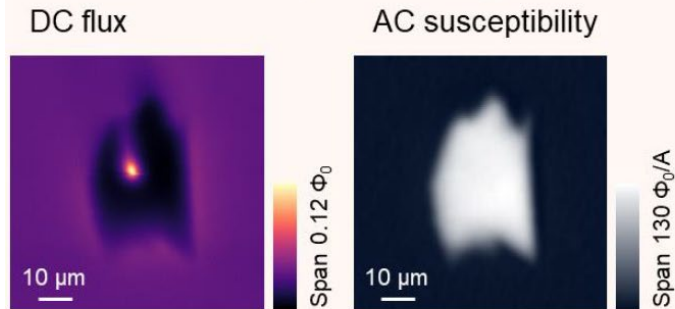
Hilgenkamp et al., Nature 422, 50 (2003)



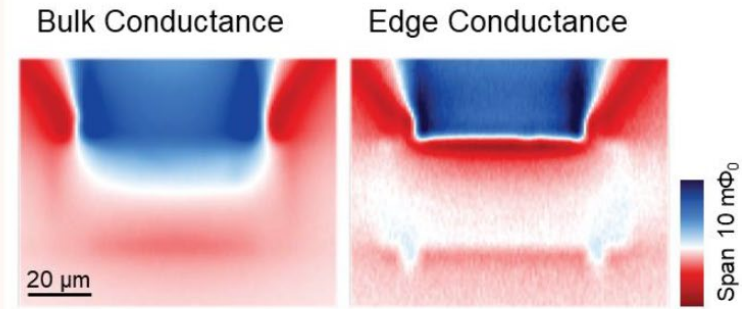
• today:

investigation of fundamental properties of a wide range of novel materials

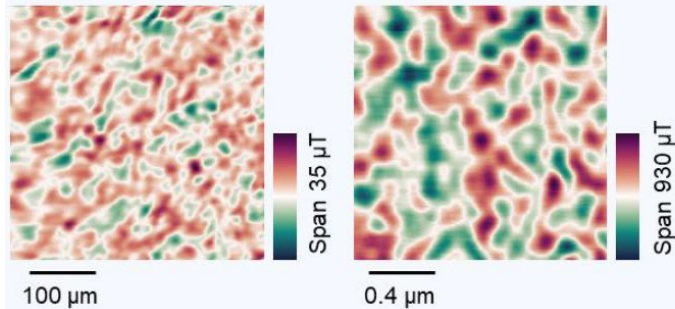
a. Superconductivity in 4Hb-TaS₂



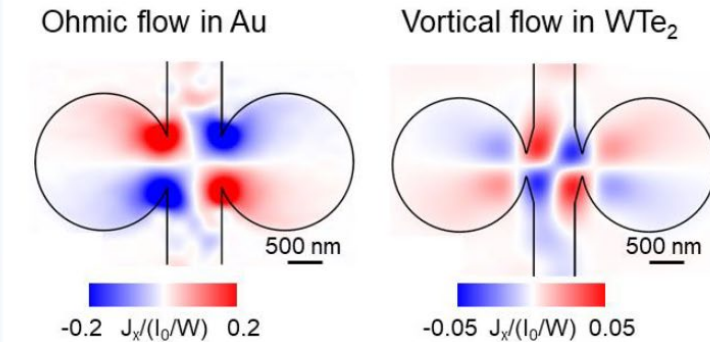
c. Currents in HgTe Quantum Wells



b. Dense magnetic domains in LaMnO₃/SrTiO₃



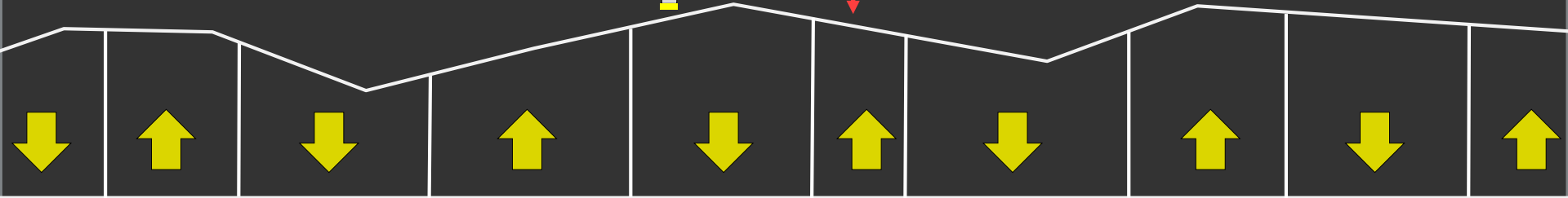
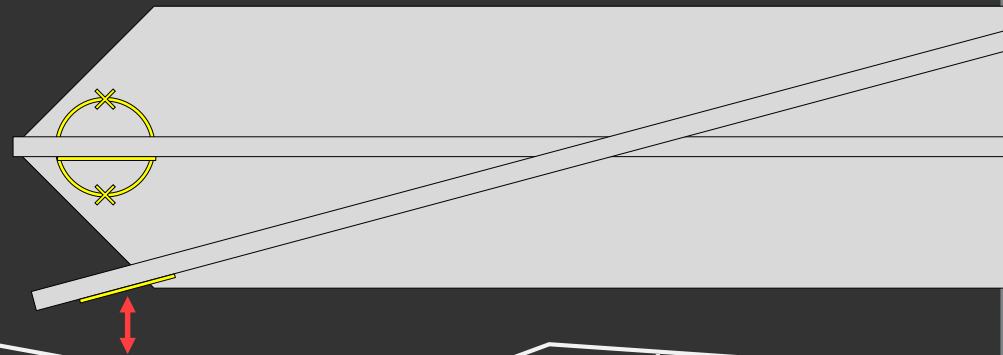
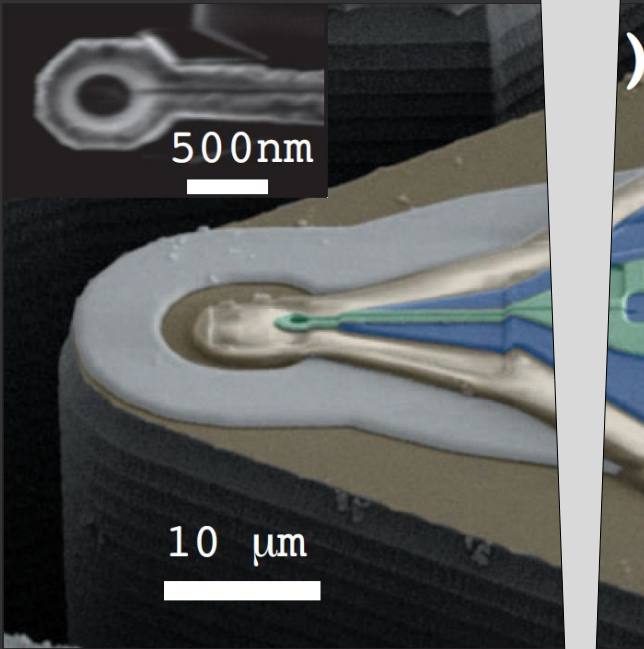
d. Current vortices



Devidas et al., Sec. 1. *Scanning SQUID Microscopy* in Christensen et al., 2024 *Roadmap on Magnetic Microscopy Techniques and Their Applications in Materials Science*, arXiv:2401.04793

See also: Marchiori et al., *Nanoscale magnetic field imaging for 2D materials*, *Nature Reviews Physics* **4**, 49 (2022)

Conventional scanning SQUID *-on-tip*





SQUID-on-tip (SOT)

E. Zeldov (Weizman Inst.)

Al, Pb, Nb, on apex of nanotip (quartz tube, diam. ≥ 50 nm) \rightarrow cJJs

fabrication by 3-step shadow evaporation
 \rightarrow no lithography steps required !

performance depends strongly on applied field

(no flux feedback to maintain optimum working point)

\rightarrow not easily applicable for magnetization reversal measurements



- spin sensitivity $0.38 \mu_B/\text{Hz}^{1/2}$

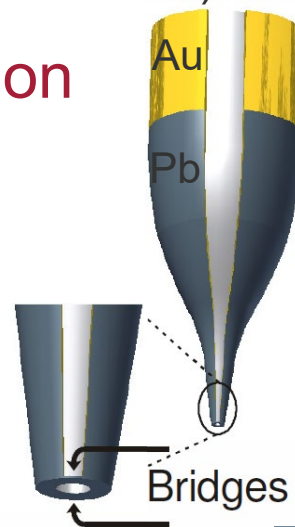
(@ 0.5 T; for dipole @ loop center, \perp to loop)

- $\sim 10 - 100$ nm spatial resolution

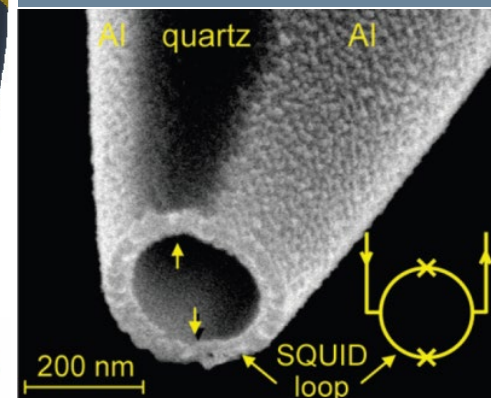
breakthrough for scanning SQUID microscopy !

also nanoscale thermal imaging !!

exploits T -dependence of $I_c \rightarrow$ sensitivity $< 1 \mu\text{K}/\text{Hz}^{1/2}$

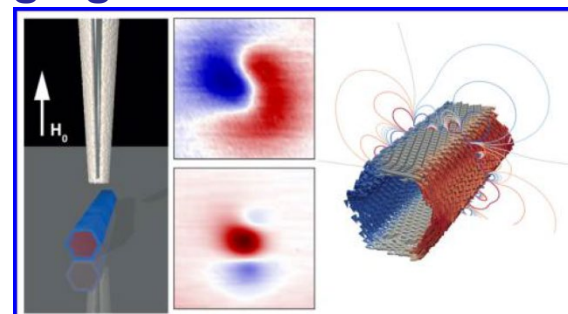


Vasyukov *et al.*, Nature Nanotechnol. 8 (2013)



Finkler *et al.*, Nano Lett. 10 (2010)

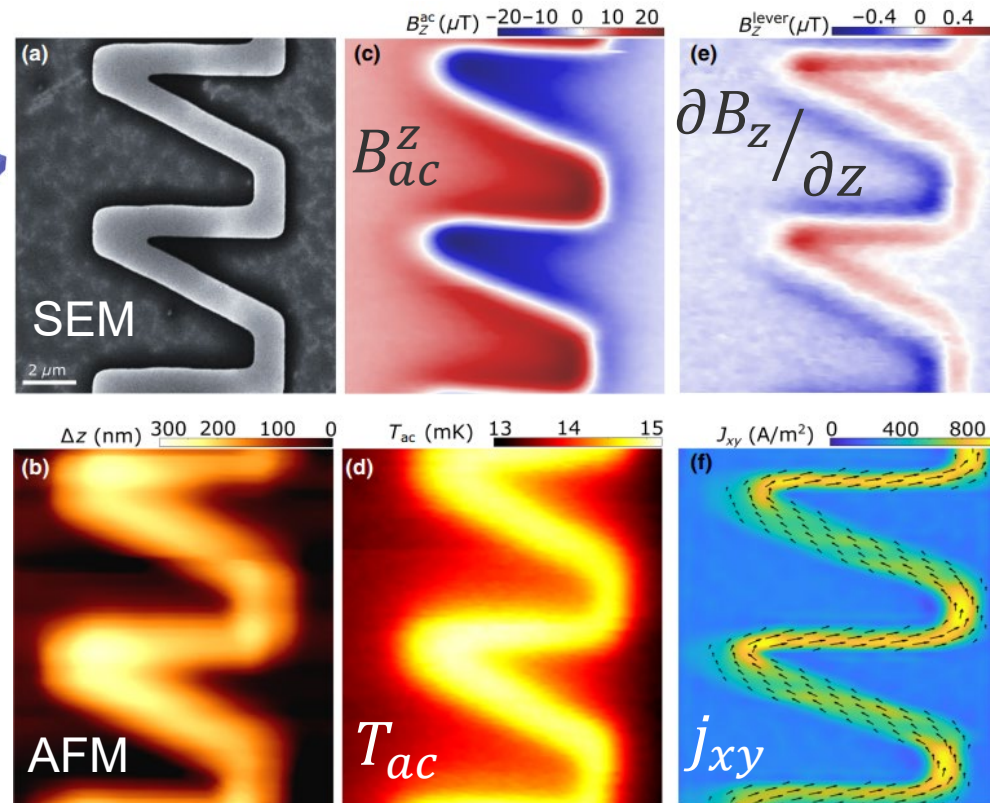
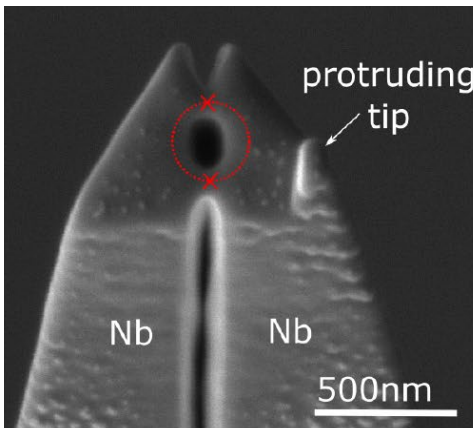
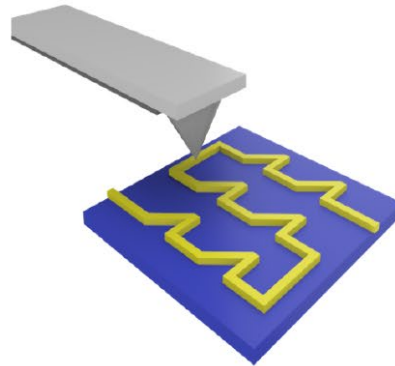
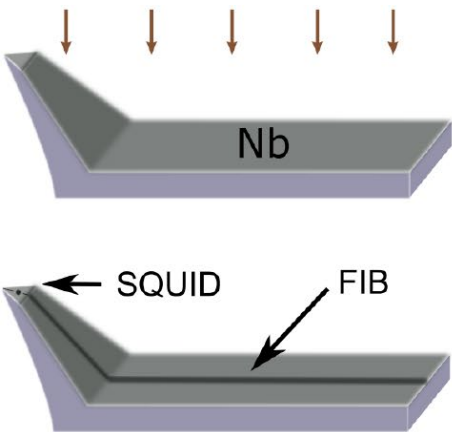
**SOT microscopy @ Basel (Poggio):
Imaging FM nanotubes**



Vasyukov *et al.*, Nano Lett. 18 (2018)

- improve surface approach for scanning SQUID microscopy

→ SQUID-on-cantilever: Combine topographic AFM imaging with nanoSQUID-based magnetic & thermal imaging



2 μm —

Poggio (Univ. Basel)



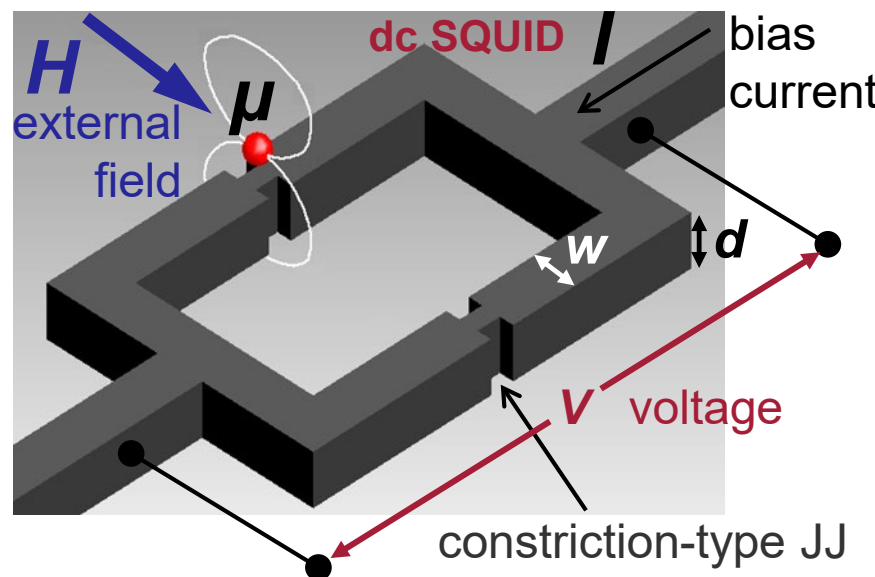
IV.d nanoSQUIDs for Studies on Magnetic Nanoparticles (MNP)

strongly miniaturized SQUIDs can measure $M(H)$ hysteresis loops of **individual MNPs** directly → pioneered by W. Wernsdorfer in the 1990s

Wernsdorfer, *Adv. Chem. Phys.* **118**, 99-190 (2001)

principle of detection:

- place particle on constriction in loop
common approach: constriction-type JJs
- apply external magnetic field H
(in the loop plane → no flux coupled to loop)
→ switch magnetic moment μ
- detect change of stray field of particle
→ magnetic flux change $\Delta\Phi$ in the loop
→ voltage change ΔV across JJs



key requirements:

- ultra-low SQUID noise
- strong coupling
- robust operation in strong & variable fields



nanoSQUIDs for MNP Studies: Spin sensitivity

sensitivity determined by

- **flux noise:** rms spectral density of flux noise $S_{\Phi}^{1/2}$ [$\Phi_0/\text{Hz}^{1/2}$]
- **coupling factor** $\phi_{\mu} = \frac{\text{magnetic flux } \Phi \text{ (coupled into SQUID loop)}}{\text{magnetic moment } \mu}$ [Φ_0/μ_B]
 μ_B : Bohr magneton
 depends on particle position, orientation of moment and SQUID geometry



spin sensitivity:

$$S_{\mu}^{1/2} = S_{\Phi}^{1/2} / \phi_{\mu}$$

units: [$\mu_B/\text{Hz}^{1/2}$]

**smallest amount of spin flips detectable
in 1 Hz bandwidth**

$$S_{\mu}^{1/2} = \frac{\mu\Phi_0/\text{Hz}^{1/2}}{10 n\Phi_0/\mu_B} = 100 \mu_B/\text{Hz}^{1/2}$$

improvements in minaturization & sensitivity



$S_{\mu}^{1/2} < 1 \mu_B/\text{Hz}^{1/2}$
demonstrated with SOT
→ SQUID microscopy !

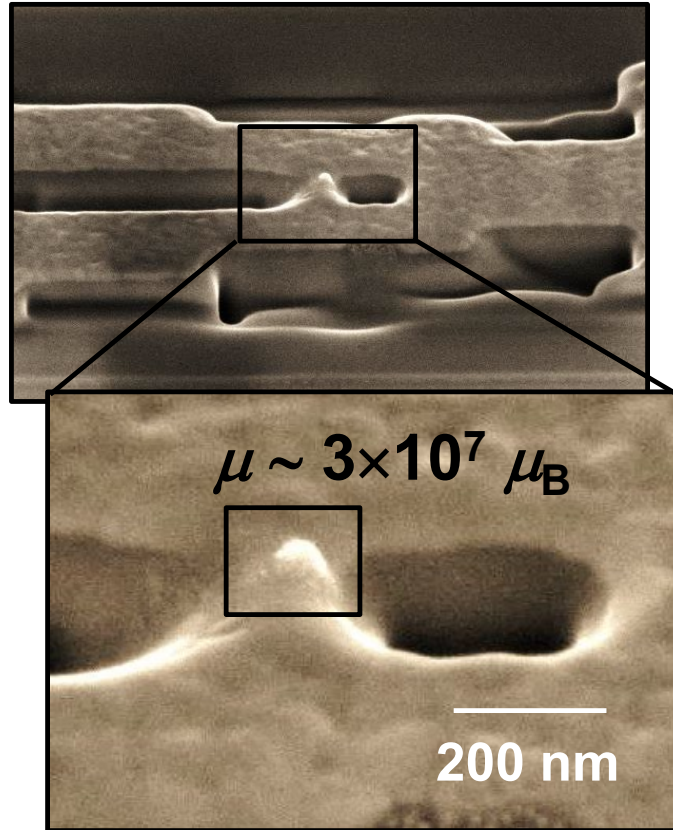
Vasyukov *et al.*, Nature Nano 8, 639 (2013)

Granata & Vettoliere, Phys. Rep. 614, 1 (2016)
 Martínez-Pérez & Koelle, Phys. Sci. Rev. 2,
 20175001 (2017)



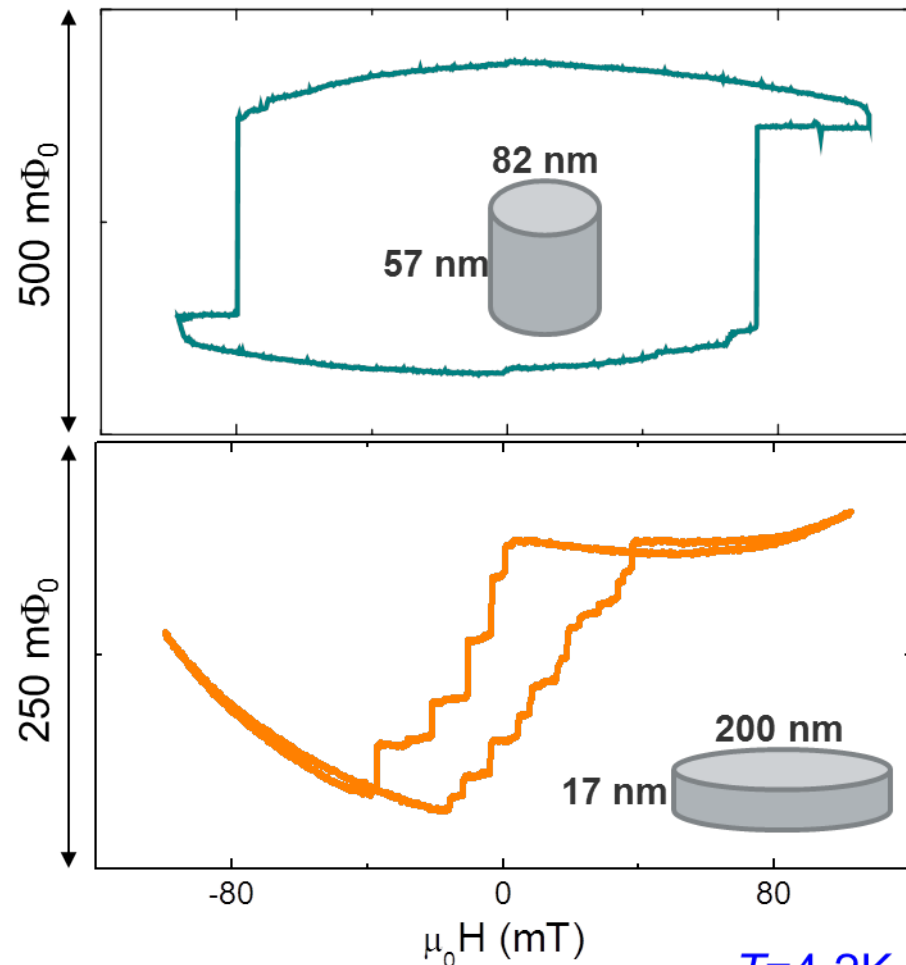
Magnetization Reversal of Co MNPs

polycrystalline Co nanopillars grown by
focused electron-beam-induced deposition
(FEBID)



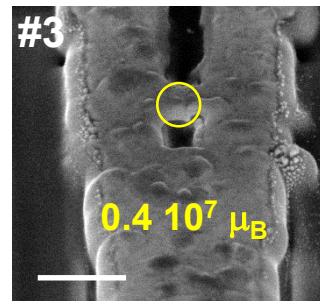
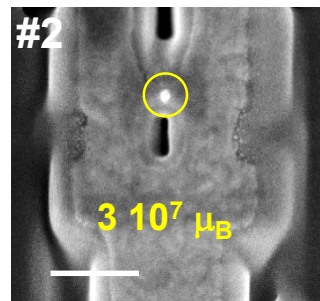
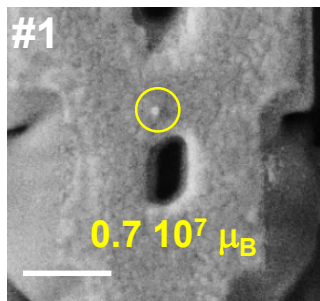
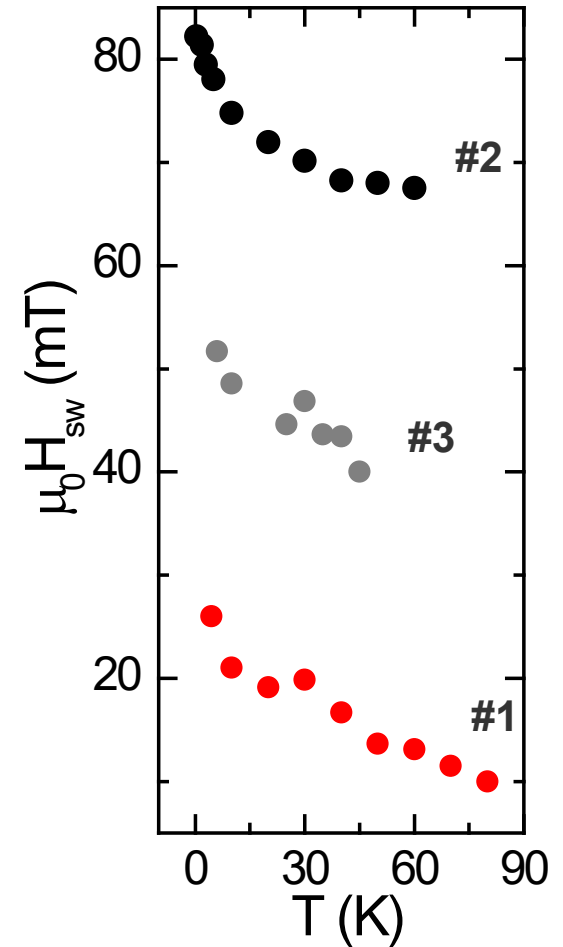
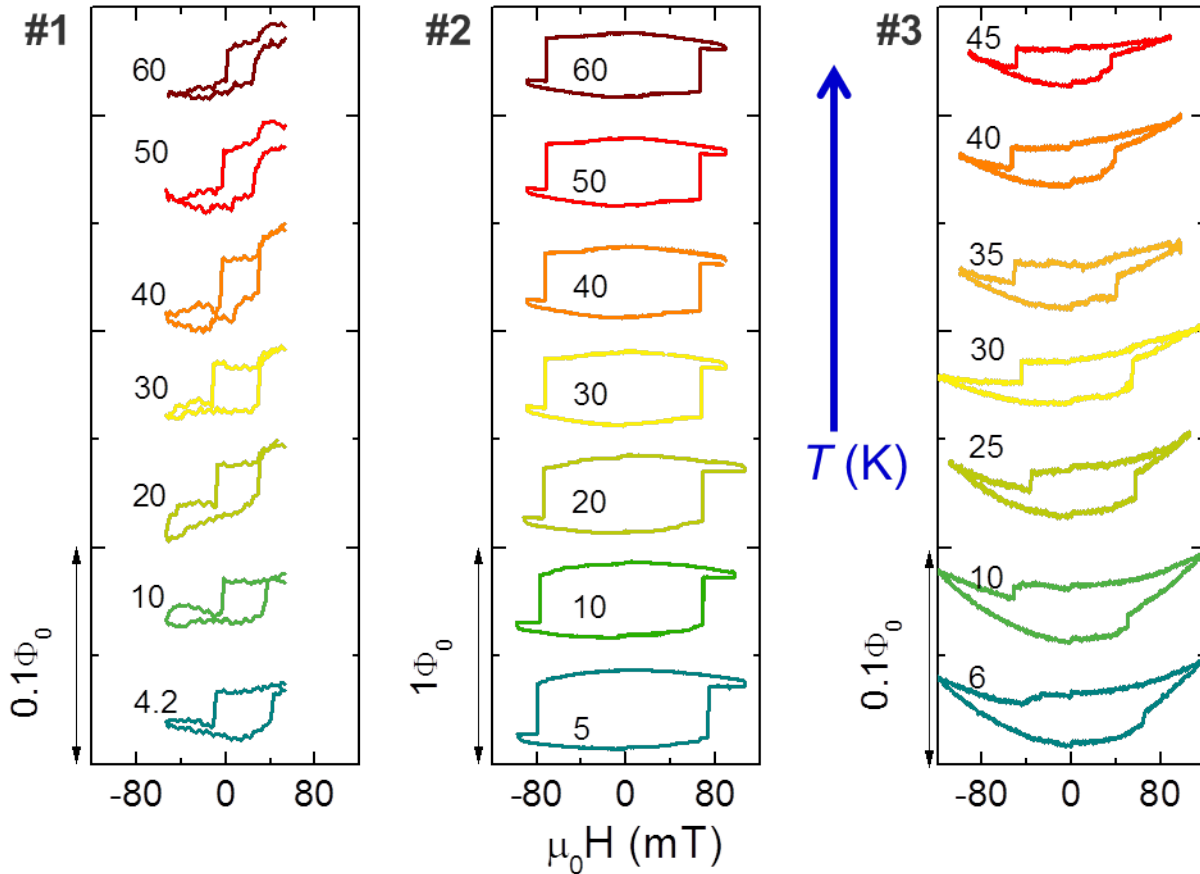
with J. Sesé (INA Zaragoza)

single & multidomain states
depending on particle size





single domain particles: T dependence



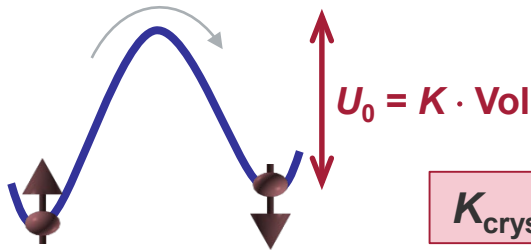
switching fields H_{sw} vs T



Magnetization Reversal of Co MNPs

single domain particles: T dependence

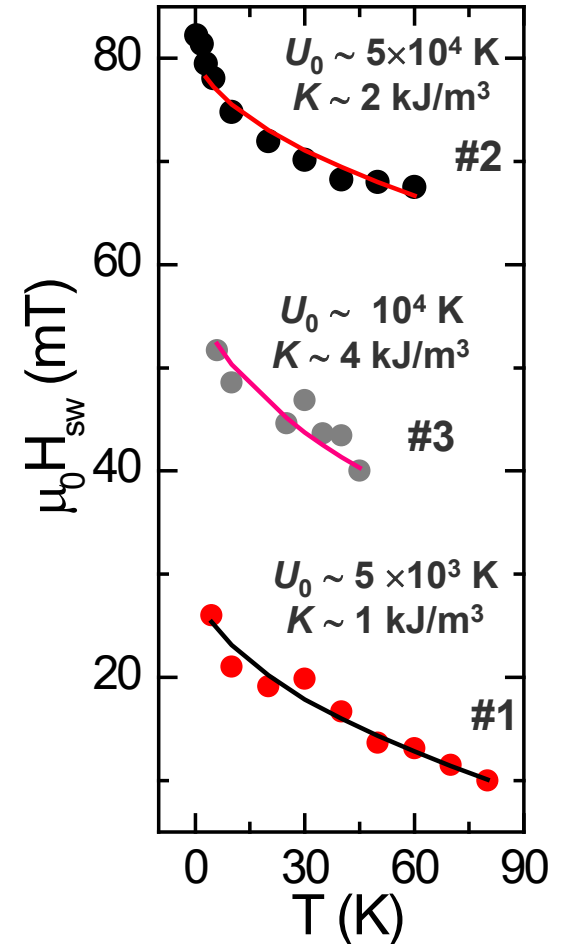
classical thermally activated reversal process over energy barrier

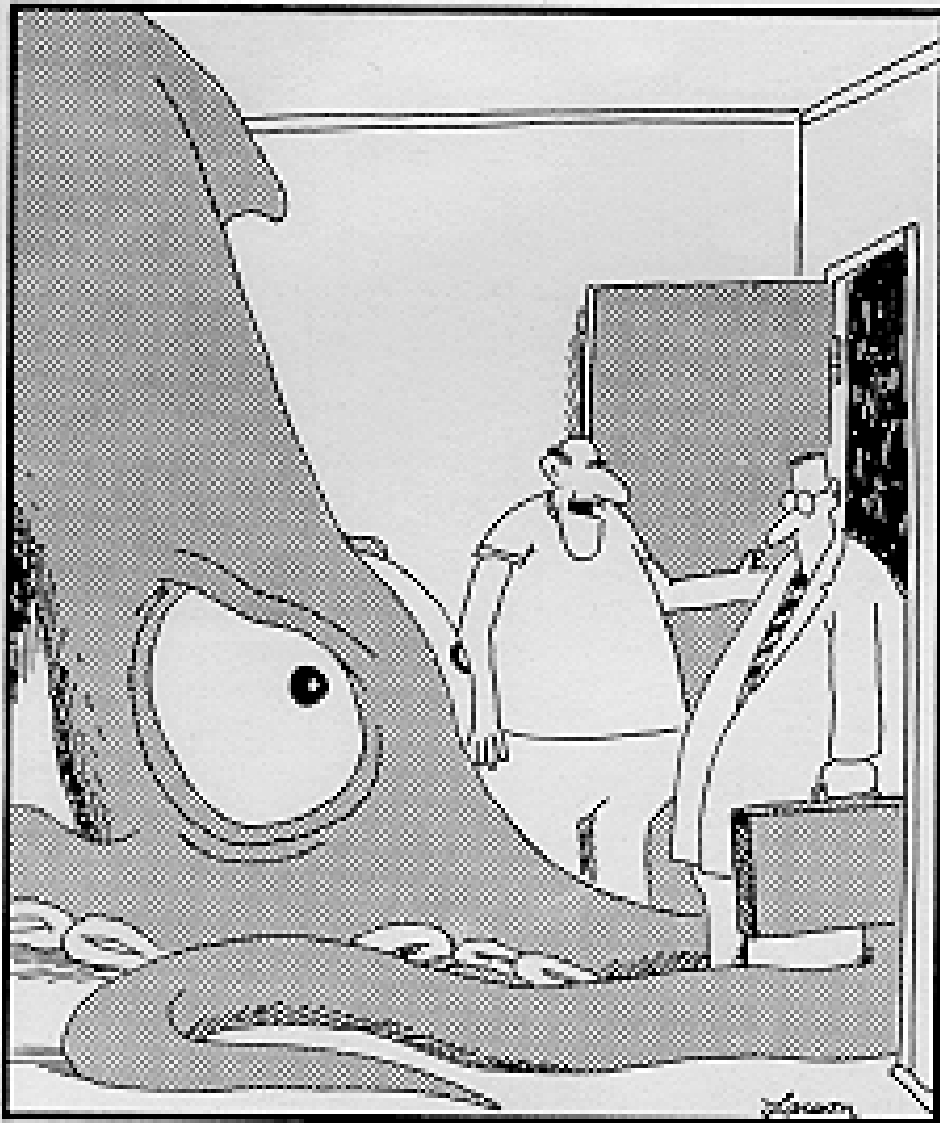


K_{crys} (bulk Co) $\sim 260 \text{ kJ/m}^3$

$$H_{\text{SW}} = H_{\text{SW}}^0 \left\{ 1 - \left[\frac{k_B T}{U_0} \ln \left(\frac{k_B T H_{\text{SW}}^0}{2\tau_0 U_0 v (1 - H_{\text{SW}}/H_{\text{SW}}^0)} \right) \right]^{1/2} \right\}$$

J. Kurkijärvi, Phys. Rev. B (1971)





„Oh, no, he's quite harmless. ...

Just don't show any fear. ...

SQUIDS can sense fear.”